

United States Department of Agriculture
Agricultural Marketing Service | National Organic Program
Document Cover Sheet

<https://www.ams.usda.gov/rules-regulations/organic/petitioned-substances>

Document Type:

National List Petition or Petition Update

A petition is a request to amend the USDA National Organic Program's National List of Allowed and Prohibited Substances (National List).

Any person may submit a petition to have a substance evaluated by the National Organic Standards Board (7 CFR 205.607(a)).

Guidelines for submitting a petition are available in the NOP Handbook as NOP 3011, National List Petition Guidelines.

Petitions are posted for the public on the NOP website for Petitioned Substances.

Technical Report

A technical report is developed in response to a petition to amend the National List. Reports are also developed to assist in the review of substances that are already on the National List.

Technical reports are completed by third-party contractors and are available to the public on the NOP website for Petitioned Substances.

Contractor names and dates completed are available in the report.

Perlite

Handling

Identification of Petitioned Substance

Chemical Names:	11
Perlite	12 CAS Numbers:
	13 130885-09-5 (perlite)
Other Names:	14 93763-70-3 (perlite, expanded)
Aragats; Expanded perlite; Filtroperlite; Perfil;	15
Perlite rock	16 Other Codes:
	17 EC No. (perlite) 603-442-8
Trade Names:	18 EC No. (perlite, expanded) 618-970-4
Europel® 50M; Perlite; Pearlite Beads Grade 5	

Summary of Petitioned Use

This full scope technical report provides updated information to the National Organic Standards Board (NOSB) to support the sunset review of perlite, listed at 7 CFR 205.605(a)(22). This report focuses on uses of perlite in organic processing and handling, as a filter aid in food processing (per the substance's annotation).

While authors wrote a Technical Advisory Panel (TAP) report about the substance in 1996, no technical report has been written on perlite since (NOSB, 1996). Perlite was included on the National List of Allowed and Prohibited Substances (hereafter referred to as the "National List") with the first publication of the National Organic Program (NOP) Final Rule ([65 FR 80548](#), December 21, 2000). The NOSB has continued to recommend its renewal in 2005, 2010, 2015, and 2019 (NOSB, 2005, 2010, 2015, 2019).

As perlite is listed at § 205.605(a), only nonsynthetic forms are allowed. The annotation for perlite specifies that it is "for use only as a filter aid in food processing."

Characterization of Petitioned Substance

Composition of the Substance

Perlite is a natural, fused sodium potassium aluminum silicate rock material with 3% - 5% water (U.S. Pharmacopeia, 2023). It has a high silicon dioxide (silica, SiO₂) content, typically between 65-80% (Burriesci et al., 1985; Maxim et al., 2014; Reka et al., 2019). It contains several other oxides such as (in decreasing concentration order) (Burriesci et al., 1985; Maxim et al., 2014):

- aluminum oxide, Al₂O₃ (7.5 – 18%)
- potassium oxide, K₂O (1.4 – 5.5%)
- sodium oxide, Na₂O (2 – 5%)
- magnesium oxide, MgO (0.1 – 1.5%)
- iron oxide or ferric oxide, F₂O₃ (0.5 – 3.66%)
- calcium oxide, CaO (0.5 – 3.66%)

Source or Origin of the Substance

Formed from viscous lava, perlite is a volcanic glass of rhyolitic composition that contains between 2 and 5% water.¹ Expanded perlite is produced from three kinds of rock varying in water content; obsidian [< 2% (wt/wt)], perlite (2–5%) and pitchstone (> 5%) (Bush, 1973). The lava that forms perlite is deposited and cooled near the surface and hydrated over time (Denton et al., 2009).

With 1.5 million metric tons (Mmt), China was the top producing country of perlite in 2022, followed by Turkey (1.1 Mmt), Greece (0.71 Mmt) and the U.S. (0.52 Mmt) (Staff of US Geological Survey, 2023).

¹ Rhyolite is a volcanic rock with a high silica content and a glassy or fine-grained texture. It is similar to granite in composition; granite is an igneous rock that forms underground while rhyolite forms from volcanic eruption.

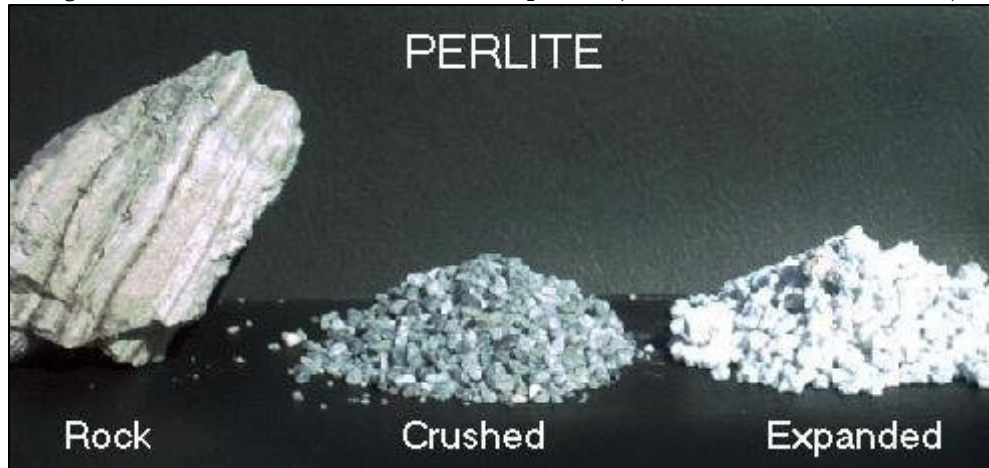
57 Greece has the largest global reserves, followed by China, Iran, Turkey, and the U.S. Perlite production
 58 and reserves in the U.S. are concentrated in the southwestern states (New Mexico, Arizona, California,
 59 Utah, Nevada, and Oregon) (Staff of US Geological Survey, 2023).

61 **Properties of the Substance**

63 *Physical properties*

64 Perlite is an amorphous natural material from glassy volcanic rock that is formed from the hydration of
 65 obsidian or pitchstone (see [Figure 1](#)). Perlite is known to expand up to 20 times its original volume upon
 66 heating [at 1400 to 2000 °F (760–1100 °C)] to produce expanded perlite (Doğan & Alkan, 2004). The
 67 resulting frothy, irregularly shaped material is light, highly porous and very low in density. It typically
 68 has 2–5% water and its color ranges from translucent to gray.

70 **Figure 1: Perlite forms; rock, crushed, and expanded** (Products - Fixmax Perlite, 2024).



71
 72
 73 The bulk density depends on the parent material, with perlite produced from pitchstone having almost
 74 double the bulk density of that produced from obsidian (Sodeyama et al., 1999). The bulk density of
 75 obsidian-derived perlite ranges between 0.05 and 0.10 kg/L (Patterson, 2009). Expanded perlite can be
 76 manufactured to various densities depending on the specific application (Austin & Barker, 1998), with
 77 densities varying from 2 to 12 lbs./ft³ (32 – 192 kg/m³) ([Table 1](#)) (Meisinger, 1985). The grades and
 78 nomenclature are usually specific to the application (e.g., horticultural, cryogenic, industrial, and
 79 construction) (Meisinger, 1985).

80
 81 **Table 1: Perlite bulk density for main uses (Meisinger, 1985).**

End Use	Density (lbs./ft ³)
Plaster and concrete aggregate	7.5 – 8.5
Roof insulation board	4
Filter aids	7– 12
Formed products	3.5
Low-temperature insulation	2 – 4
Masonry and cavity-fill insulation	6
Fillers	7 – 12
Horticultural aggregate	6 – 8

82
 83 Depending upon the grade, grain sizes range from 20 µm to as much as 10 mm. There are different grades
 84 of perlite used for filter aid purposes, which differ in their particle size distribution with some examples
 85 provided in [Table 2](#) (Patterson, 2009).

86

87

Table 2: Particle size distribution of expanded perlite particles [adapted from Patterson (2009)].

	Size (microns ²)	0-5	5-10	10-20	20-30	30-50	50-100	100-150	>150
		Particle size distribution (%)							
Grade	A	7	9	29	12	17	12	13	1
	B	3	4	8	6	19	34	14	12

88

89 Transmission electron microscopy testing of raw perlite shows that the glassy mass of perlite presents
90 very fine crystalline phases, with dimensions ranging from 10–50 nm (Reka et al., 2019).

91

92 *Chemical properties*

93 Perlite is acidic, negatively charged, and contains structural hydroxyl groups. The negative charge of the
94 surface is due to (Alkan, Demirbaş, et al., 2005):

- 95 • adsorption of ions from the electrolyte solution
- 96 • dissociation of the surface hydroxyl groups
- 97 • isomorphic replacement of ions of the solid phase by others of a different charge

98

99 The negative surface charge of perlite samples increases with an increase in pH (Alkan & Doğan, 1998),
100 which could be due to the ionization of surface silanol groups (Alkan, Karadaş, et al., 2005).³ Perlite is
101 chemically inert in many environments and hence is considered an excellent filter aid and filler in various
102 processes and materials. Perlite exhibits a slight photocatalytic activity in the presence of solar radiation.
103 Assessing the ability of perlite to remove oxytetracycline from water, Ardhaoui et al. (2023) reported that
104 conducting the experiment in the presence of solar radiation increased the removal efficiency from 81.1%
105 to 99.97%.

106

107 Perlite is an anionic adsorbent with a zeta potential ranging between –40 and –50 mV (Alkan et al., 2005).⁴
108 Acid activation has no significant effect on the zeta potential of perlite. Sodium chloride (NaCl),
109 potassium nitrate (KNO₃), sodium nitrate (NaNO₃), sodium carbonate (Na₂CO₃), and sodium sulfate
110 (Na₂SO₄) are indifferent electrolytes for perlite, whereas aluminum chloride (AlCl₃) and calcium chloride
111 (CaCl₂) change the interface charge from negative to positive. The surface charge may be a result of some
112 combination of H⁺/metal reactions during the adsorption process, which control the number of protons
113 released, or hydroxide ions adsorbed, for each cation adsorbed (Doğan et al., 1997).

114

115 **Specific Uses of the Substance**

116 Perlite is a lightweight material extensively used in construction products such as insulation boards,
117 plasters, mortars, and ceiling tiles. Other uses of expanded perlite include fillers or extenders in paints,
118 enamels, glazes, plastics, resins, and rubber, as a catalyst in chemical reactions, as an abrasive, and as an
119 agent in mixtures for oil well cementing (Alkan, Demirbaş, et al., 2005). It is also used as a plant-growing
120 medium.

121

122 The petitioned use of perlite is focused on its use as a filter aid in food and beverages, including filtration
123 of juices, beer, wine, and vegetable oils. The listing of perlite has been consistently supported by the
124 NOSB and organic stakeholders (NOSB, 2019). Exposure to perlite during preparation or use presents a
125 health hazard of inhalation of fine silica dust (Ampian & Virta, 1992). Personal protective equipment such
126 as a dust mask can minimize this risk (Maxim et al., 2014).

127

² The micron rating refers to the distance between pieces of filter media, which determines the size of particles that the filter will allow to pass through (Dickenson, 1997).

³ Functional group represented by Si-O-H.

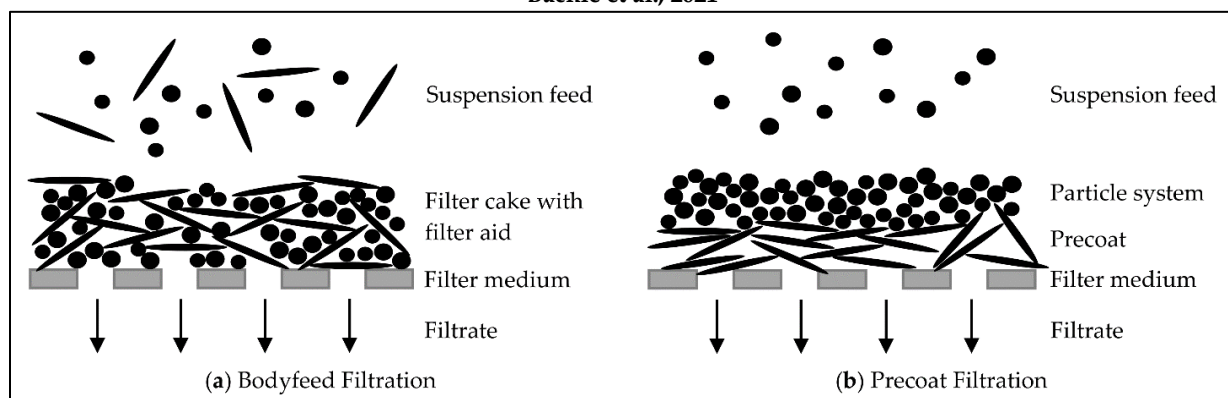
⁴ Zeta potential, also known as electrokinetic potential, is a physical property exhibited by any particle in suspension, macromolecule or material surface. It measures the electrochemical equilibrium at the particle-liquid interface. It quantifies the magnitude of electrostatic repulsion/attraction between particles and thus, it has become one of the fundamental parameters known to affect stability of colloidal particles. It can be used to optimize the formulations of suspensions, emulsions and protein solutions, predict interactions with surfaces, and optimize the formation of films and coatings (Shaw, 1980).

128 The most important factor in selecting the appropriate filter aid is the solid's characteristics (granular,
 129 coarse, fine, etc.). Other factors include the settling rate, solids density, and filtrate/filter aid interaction
 130 (Perlmutter, 2015). Perlite is used as a low-cost inorganic adsorbent in filters for food, beverage, and
 131 pharmaceutical applications due to its inert nature (Doğan et al., 2000). For example, perlite has been
 132 successfully used as a filter to remove yeast aflatoxins from milk (Foroughi et al., 2018), metals [e.g.,
 133 copper (Alkan & Doğan, 2001; Tanaydin et al., 2017)] and impurities in beer (Rögener, 2021). It is also an
 134 inexpensive method used to remove heavy metals and radioactive cations (Cecilia et al., 2018). Perlite has
 135 also been used as a carrier in bioaerosol filtration. A zinc oxide/perlite filter inactivated (killed) 70% of a
 136 bioaerosol (>80% bacteria) (Valdez-Castillo et al., 2019).

137
 138 Filter aids may be applied either as precoat on the filter material and/or as body feed in the liquid (see
 139 [Figure 2](#)), although in practice a combination of the two approaches is the most common (Perlmutter,
 140 2015). Body feed refers to the filter aid being constantly applied to the suspension (Kuhn & Briesen, 2016).
 141 As for precoat, the filter aid forms a thin layer over the filter before the suspension to be filtered is
 142 pumped to the filtering apparatus. The precoat and filter beds are deposited on the cellulose sheet and
 143 the bed is built up in the inlet frames or chambers on either side of the outlet plate. The filter is cleaned at
 144 the end of a cycle and sheets can generally be used again (Sparks & Chase, 2016). Precoat filtration
 145 depends upon the flow of liquid through the cake and factors influencing this rate of movement (Illner,
 146 1989). The precoat recirculates the slurry and prevents the particles in suspension from clogging the filter
 147 medium and causing excessive resistance (Perlite Institute, Inc., 2020). In other words, the precoat filter
 148 aid protects the filter media against the penetration of unwanted solids and premature blinding of the
 149 media (Perlmutter, 2015). Furthermore, the precoat layer facilitates the removal of cake from the filter
 150 material at the end of the filtration cycle. Other advantages of using precoat include producing
 151 immediate clarity when filtering and protecting the filter cloth (Illner, 1989; Svarovsky, 1977). In all cases,
 152 the filter aid becomes part of the solids and there is no practical way to separate them, so they add to the
 153 amount of solids that must be disposed (Perlmutter, 2015).

154
 155 The grade of filter aid selected will offer the appropriate performance with respect to clarity and flow
 156 characteristics (Illner, 1989). Particles as small as 0.2 μm can be removed by precoat filtration. When a
 157 soluble contaminant is present, it must be precipitated prior to filtration; where colloidal matter or
 158 dispersed particles are present, precoat filtration alone may not be adequate to reduce the turbidity to
 159 desired level (Illner, 1989).

160
 161 **Figure 2: Continuous addition of the filter aid with the suspension (a) and addition as a precoat (b) Adapted from**
 162 **Bächle et al., 2021**



Other uses of perlite filter aids that are not approved for organic use have been reported by Onur et al. (2018). They embedded perlite particles into a paper or textile (e.g., cotton, nylon polyamide, polyester, etc.) membrane. Perlite-nanocellulose filters can remove bacteria (1 μm) at higher than 99% efficiency in high flux conditions, which is very promising for cold pasteurization applications (Onur et al., 2018).

170 **Approved Legal Uses of the Substance**

171 When used as a filtering aid, perlite *could* be considered a food additive by the FDA, as defined at
172 21 CFR 170.3(e)(1). However, perlite is not listed in any sections within 21 CFR specific to food additives
173 or related applications. Perlite is also not listed as *Generally Recognized as Safe* (GRAS) within 21 CFR Part
174 182, 184, or 186. Despite this, when used as a filter aid, perlite is still considered GRAS, as discussed
175 below.

176
177 Under the Federal Food, Drug, and Cosmetic (FD&C) Act, manufacturers are required to obtain
178 premarket approval for new uses of food additives (Gaynor & Cianci, 2006). Substances that are GRAS
179 for specific uses are excluded from the definition of a food additive under the FD&C Act (Gaynor &
180 Cianci, 2006). As such, GRAS substances do not require premarket approval by the FDA for those specific
181 GRAS uses (Gaynor & Cianci, 2006). Unlike food additive safety determinations, which are made by the
182 FDA, GRAS determinations can be made by non-governmental experts (Gaynor & Cianci, 2006). In 2016,
183 the FDA published an updated Final Rule on GRAS substances, which amended the rule so that the
184 GRAS notification program was voluntary (81 FR 54960-55055). The notification program provides a
185 mechanism for a company (or a person) to notify the FDA that a substance is GRAS. However, as the
186 notification is now voluntary, identifying whether a substance is or is not considered GRAS by some
187 experts (such as within food manufacturing businesses) may not always be possible. Furthermore, not all
188 previous GRAS determinations are easily searchable.

189
190 Under a contract between the FDA and the Life Sciences Research Office (LSRO), the Select Committee on
191 GRAS Substances (SCOGS; consultants working under the FDA-LSRO contract) reviewed perlite in 1979,
192 and noted that they had no concerns about its use as a filter aid (Federation of American Societies for
193 Experimental Biology, 1979). The FDA reports it as a GRAS substance within the SCOGS database (FDA,
194 2015).

195
196 In 2001, World Minerals, Inc. submitted a GRAS notice to the FDA for a composite filtration media,
197 composed of diatomaceous earth and perlite (Smith, 2001). The FDA had no questions about the GRAS
198 notice (FDA, 2002), which indicates that these materials can be considered GRAS as filtration media.

199 **Action of the Substance**

200 The macroporosity of perlite allows it to host large molecules such as biomolecules, tensoactives
201 (surfactants), or dyes. In addition, the existence of hydroxyl groups in this amorphous aluminosilicate
202 material favors the adsorption of cations and anions (Cecilia et al., 2018).

203
204
205 The adsorbent nature of perlite is due to the silanol groups formed by silicon atoms on the surface of the
206 perlite (see [Properties of the Substance](#) above). The silicon atoms at the surface tend to maintain their
207 tetrahedral coordination with oxygen. They complete their coordination at room temperature by
208 attachment to monovalent hydroxyl groups, forming silanol groups. One silicon atom can bear two or
209 three hydroxyl groups, yielding silanediol and silanetriol groups, respectively (Alkan, Karadaş, et al.,
210 2005). Silanols are the active sites for physisorption (hydrogen bonding) and condensation of silane
211 molecules. Reaction phase deposition of silane is governed by the available surface area.⁵

212
213 In an experiment investigating oxytetracycline removal, Ardhaoui et al. (2023) showed that the
214 adsorption on perlite occurred across multiple layers on the heterogeneous surface of the material. This
215 phenomenon occurs on surface sites which are energetically heterogeneous (Proctor & Toro-Vazquez,
216 2009), following Freundlich model, which assumes that heterogeneous surfaces with different affinities
217 have multilayer adsorption (Sun & Selim, 2020).

218

⁵ Silanes can be used to prime metals, bind biomaterial, immobilize catalysts, provide crosslinking, and improve polymer and particle dispersions.

219 **Combinations of the Substance**

220 Filter aids applied as pre-coat and body feed additives can employ the same or different grades of perlite
221 (Perlite Institute, Inc., 2020). Food processors may pair layers of perlite as a pre-coat filtration aid with
222 layers of other filtration aids in a food filtering system (Patterson, 2009). These may include diatomaceous
223 earth or cellulose derivatives (Movasati et al., 2014). Processors may use a mix of perlite and
224 diatomaceous earth filter aids (NIHS, 2019).

225
226 Some filter aids can be formulated composites of these different filtering materials. There is a GRAS
227 diatomaceous earth-perlite composite filter media approved under GRN No. 87 (FDA, 2002). Composite
228 filters can be further engineered. One study reported the use of a composite filter consisting of a
229 nanocellulose fiber embedded with perlite and added polyamide-amine-epichlorohydrin (PAE) as a wet
230 strengthening agent (Onur et al., 2018).

231
232 Per FCC specifications, food-grade, expanded perlite used as a filter aid may have food-grade flow agents
233 added to it, including sodium carbonate and sodium silicate (U.S. Pharmacopeia, 2023).

234

235 Status

236

237 **Historic Use**

238 According to one report from London in 1938, filter aids had been in use for a long time to improve the
239 rate of filtration during the removal of impurities from liquid suspensions (Carman, 1938). The author
240 named diatomaceous earth, brick dust, precipitated calcium carbonate, and paper pulp as examples of
241 filter aids in use at the time. They did not mention perlite as a filter aid.

242

243 Human use of perlite may go back millennia. Modern uses, however, developed in the mid-twentieth
244 century (Austin & Barker, 1998). Production of perlite in the U.S. began in 1946 or 1947 in the Southwest
245 (Austin et al., 1996; Austin & Barker, 1998). A material researcher at the time introduced the practice of
246 treating perlite in kilns and mixing it with gypsum for use in plaster (Jaster, 1956). The U.S. construction
247 industry has employed perlite in light-weight aggregates or thermal insulation since then. Around the
248 same time, people began experimenting with “flash popped” perlite, expanding it in gas-fired furnaces,
249 and investigating its different treatments and uses (Jaster, 1956). In 1958, the Socorro perlite plant in New
250 Mexico added a filter aid expansion furnace to the facility.

251

252 More recently in the U.S., some perlite sourcing has moved overseas due to the lower cost of ocean
253 transport to production facilities on the East Coast, as compared to the cost of transporting perlite mineral
254 via highways (McLemore & Austin, 2017). In 1997, the bulk of domestic perlite production went to the
255 construction industry, whereas 11% of perlite sourced from Arizona, New Mexico, Nevada and
256 California went to filter aid use (Austin & Barker, 1998).

257

258 **Organic Foods Production Act, USDA Final Rule**

259 OFPA (1990) does not include any reference to perlite.

260

261 For processing and handling purposes, USDA organic regulations include perlite on the National List
262 [7 CFR 205.605(a)(22)]. The annotation specifies that perlite is only for use as a filter aid in food
263 processing. Perlite was originally included in the first publication of the NOP Final Rule (65 FR 80548).

264

265 **International**

266 Perlite is allowed as a processing aid under several other international organic standards (see [Table 3](#),
267 below). Like the USDA Organic standards, the Canada Organic Standards also specify that it be used as a
268 filtering aid. Other standards refer to it more generically as a processing aid.

269

270 **Table 3: Allowance of perlite in processing and handling applications under a selection of international organic**
 271 **standards**

Standard	Applicable regulations	Allowed?	Source and use restrictions (if applicable)
Canada Organic Standards (CAN/CGSB 32.311-2020)	PSL Table 6.5, Processing aids.	Yes	For use as a filtering aid.
European Union Organic Standards (EU No. 2021/1165)	Section A2: Processing aids and other products, which may be used for processing of ingredients of agricultural origin from organic production.	Yes	For use in products of plant origin; gelatin.
Japanese Agricultural Standard for Organic Processed Foods	Appended Table 1-1, Additives (Organic processed foods other than organic alcohol beverages); Appended Table 1-2 Additives (Organic alcohol beverages).	Yes	In Organic foods other than organic alcohol beverages: Limited to the use in processed products of plant origin. In Organic alcohol beverages: no restrictions
Codex Alimentarius Commission – Guidelines for the Production, Processing, Labelling and Marketing of Organically Produced Foods (GL 32-1999)	Table 4: Processing aids which may be used for the preparation of products of agricultural origin referred to in section 3 of these guidelines.	Yes	-
IFOAM-Organics International	Appendix 4, Table 1: List of approved additives and processing/ post-harvest handling aids.	Yes	For use as a processing and post-harvest handling aid.

Evaluation Questions for Substances to be used in Organic Handling

272
 273
 274
 275 **Evaluation Question #1: Describe the most prevalent processes used to manufacture or formulate the**
 276 **petitioned substance. Further, describe any chemical change that may occur during manufacture or**
 277 **formulation of the petitioned substance when this substance is extracted from naturally occurring**
 278 **plant, animal, or mineral sources [7 U.S.C. 6502 (21)].**

279 Perlite is produced from glassy volcanic rock raw materials (obsidian or pitchstone) extracted from open
 280 pit deposits. The materials are mechanically crushed, which causes them to break in preferential
 281 directions along pre-existing fractures or planar structural elements (Weber, 1955). Perlite is known to
 282 expand up to 20 times its original volume upon heating [at 1400 to 2000 °F (760–1100 °C)] to produce
 283 expanded perlite (Doğan & Alkan, 2004). The process involves heating granulated perlite ore until it
 284 becomes molten glass.

285
 286 Water plays the most important role in the expansion process by expanding the grain during evaporation
 287 and by reducing the viscosity of the softened grain (Friedman et al., 1963). During the expansion process
 288 the grains start to soften superficially. At the pyroplastic stage, the water trapped into the inner layers of
 289 grains starts to evaporate and pushes its way out, resulting in the expansion of grains (Friedman et al.,
 290 1963).

291
 292 Perlite expansion begins in the central part of the sample (Varuzhanyan et al., 2006). Accomplished
 293 rapidly and under carefully controlled conditions, this combination of glass liquefaction/water
 294 vaporization results in the virtual instantaneous explosive formation of partially fractured, low bulk
 295 density macromolecular particles (Bush, 1973). The process is accompanied by a marked strength gain,
 296 which impedes any further removal of water (Varuzhanyan et al., 2006). Water release from perlite
 297 sharply raises its viscosity, preventing the material from softening, sticking to the reactor wall, or
 298 agglomeration (Varuzhanyan et al., 2006).

299

300 In addition to the expansion in volume, the heat treatment induces another physical change in the
301 material (Reka et al., 2019). The high percentage of aluminosilicate glass in perlite results in amorphous
302 behavior when undergoing heat treatment. Compared with raw perlite, the expanded perlite shows an
303 increase in the amount of cristobalite, which is one of silica's crystalline forms (polymorphs) (Reka et al.,
304 2019).

305

306 Two types of furnaces are used for perlite expansion (Lagaly et al., 2003):

- 307 • Tilted horizontal rotary furnaces: the feed material passes in countercurrent flow to the
308 combustion gas.
- 309 • Vertical rotary or blast furnaces: the expanding grains and hot gases pass in concurrent flow. This
310 furnace type is more commonly used in perlite expansion.

311

312 **Evaluation Question #2: Discuss whether the petitioned substance is formulated or manufactured by a**
313 **chemical process or created by naturally occurring biological processes [7 U.S.C. 6502(21)]. Discuss**
314 **whether the petitioned substance is derived from an agricultural source.**

315

316 *Synthetic or nonsynthetic classification*

317 Evaluation of perlite against Guidance NOP 5033-1 *Decision Tree for Classification of Materials as Synthetic*
318 *or Nonsynthetic* (NOP, 2016a) is discussed below.

319

320 1. *Is the substance manufactured, produced, or extracted from a natural source?*

321 Yes. Perlite is a mined mineral of low solubility.

322

323 2. *Has the substance undergone a chemical change so that it is chemically or structurally different than how it*
324 *naturally occurs in the source material?*

325 No. Material reviewers have historically considered that perlite satisfies the following definition or
326 nonsynthetic mined minerals in NOP Guidance 5034-1: "minerals must not have been heated (calcined) in
327 a way that produces a chemical change in the material."

328

329 The main change that happens to perlite after its excavation from natural deposits is the heat-mediated
330 expansion, which is a physical change. The high temperature used results in a mass loss of 3.8% due to
331 the loss of water and hydroxyl groups. Most of this loss (~2.7%) occurs in the temperature interval from
332 215–477 °C and is a consequence of the hydroxyl groups release (Reka et al., 2019).

333

334 Water in perlite is present in the form of molecular water and hydroxyl groups (Sodeyama et al., 1999).

335 The heating process releases hydroxyl groups bound to silicon atoms (Si–OH bonds) and introduced into

336 the silicate network, a process that contributes to perlite expansion (Rouliat et al., 2006; Tazaki et al., 1992).

337 Gas release research shows two different H₂O-species release stages (Heide & Heide, 2011):

- 338 • water-release occurs by diffusion between 80 and 800 °C,
- 339 • whereas a spontaneous release is observed between 500 and 1450 °C.

340

341 This loss of water (including hydroxyl groups originating from water) during perlite calcination does not
342 result in a chemical transformation of the material.

343

344 Thus, the material is nonsynthetic according to the decision tree.

345

346 *Agricultural or nonagricultural classification*

347 Evaluation of perlite against Guidance NOP 5033-2 *Decision Tree for Classification of Agricultural and*
348 *Nonagricultural Materials for Organic Livestock Production or Handling* (NOP, 2016b) is discussed below.

349

350 1. Is the substance a mineral or bacterial culture as included in the definition of nonagricultural substance at
351 section 205.2 of the USDA organic regulations?

352 Yes, perlite is a mineral mined from the ground. Therefore it should be classified as a nonagricultural
353 substance.

354

355 **Evaluation Question #3: If the substance is a synthetic substance, provide a list of nonsynthetic or**
356 **natural source(s) of the petitioned substance [7 CFR 205.600(b)(1)].**

357 As discussed above, nonsynthetic forms of the substance exist and are commercially available.

358

359 **Evaluation Question #4: Specify whether the petitioned substance is categorized as generally**
360 **recognized as safe (GRAS) when used according to FDA's good manufacturing practices**
361 **[7 CFR 205.600(b)(5)]. If not categorized as GRAS, describe the regulatory status.**

362 Perlite is categorized as GRAS when used as a filter aid (Federation of American Societies for
363 Experimental Biology, 1979); however this determination is not published within FDA regulations (21
364 CFR). It is however noted as GRAS within the FDA's SCOGS database (FDA, 2015), as well as within the
365 GRAS Notices Inventory (FDA, 2002).

366

367 See [Approved Legal Uses of the Substance](#) for more details regarding the GRAS status of perlite.

368

369 **Evaluation Question #5: Describe whether the primary technical function or purpose of the petitioned**
370 **substance is a preservative. If so, provide a detailed description of its mechanism as a preservative**
371 **[7 CFR 205.600(b)(4)].**

372 The primary technical function of perlite in food processing applications is not as a preservative, but as a
373 filter aid.

374

375 The act of filtration may indirectly affect preservation, depending on the commodity and the impurities
376 filtered. For example, Ergönül & Nergiz (2015) found that using perlite as a filter aid to process vegetable
377 oils during the winterization step helped maintain the oils' oxidative stability.⁶

378

379 However, because the action of perlite as a filter aid is to enhance the flow of a solution through a filter
380 matrix, perlite is not itself a preservative. In the study by Valdez-Castillo et al. (2019), the
381 photocatalytically-treated zinc and titanium oxide exerted toxic effects on airborne bacteria, while the
382 perlite functioned as carrier for the metal oxides.

383

384 **Evaluation Question #6: Describe whether the petitioned substance will be used primarily to recreate**
385 **or improve flavors, colors, textures, or nutritive values lost in processing (except when required by**
386 **law) and how the substance recreates or improves any of these food/feed characteristics**
387 **[7 CFR 205.600(b)(4)].**

388 Perlite is a filter aid and does not itself impart any odor, taste, or color to the food filtered (Movasati et al.,
389 2014; Perlite Institute, Inc., 2020; Termolita®, n.d.). It can be used to clarify food products or beverages.

390

391 Movasati et al. (2014) found concentrations of 6.85% heavy perlite and 5% light perlite filter aids to be
392 optimal for decreasing the color and turbidity of date liquid sugar, while maintaining the highest possible
393 levels of Brix.

394

395 Manufacturers also employ perlite as a filtration aid to help clarify beer. This clarification process
396 removes yeast cells remaining after fermentation, along with precipitated proteins, dextrans, beta-glucans,
397 and polyphenols (Rögener, 2021).

398

399 In oil refining, filtration aids such as perlite assist in the removal of waxes and free fatty acids, thereby
400 reducing turbidity, as well as removing trace metals (i.e., iron and copper), peroxides, aldehydes, mono-

⁶ Winterization is a step in the vegetable oil refining process which removes compounds that crystallize at low temperatures and cause oil turbidity (Ergönül & Nergiz, 2015).

401 and diglycerides, moisture, and other volatile compounds that have negative effects on the sensory
402 properties of the oil (Nedić Grujin et al., 2023). However, the filter aid does not itself affect the flavor or
403 taste of the oil. It may remove some carotenoids – compounds that, while contributing to turbidity, can be
404 nutritionally beneficial due to their antioxidant activity (Nedić Grujin et al., 2023).

405
406 Redan (2020) reported on an earlier study from 1985 in which researchers filtered sake with perlite. They
407 observed an increase in iron levels in the sake, which can promote the oxidation of important flavor
408 compounds, thereby adversely affecting product quality. However, we found no other reports of
409 negative effects from perlite filtration on the flavor of filtered product.

410
411 **Evaluation Question #7: Describe any effect or potential effect on the nutritional quality of the food or**
412 **feed when the petitioned substance is used [7 CFR 205.600(b)(3)].**

413 Perlite used as a filter aid assists in the removal of unwanted compounds such as solids and sediment,
414 and even contaminants, from liquid suspensions (Wang et al., 2017). For example, Foroughi et al. (2018)
415 used perlite beads as a structure to support immobilized *Saccharomyces cerevisiae*, which they then used to
416 remove aflatoxin contaminants from milk through filtration. However, aside from removing undesirable
417 compounds, perlite does not alter the chemical composition of the materials they filter (Grujin et al.,
418 2023).

419
420 **Evaluation Question #8: List any reported residues of heavy metals or other contaminants in excess of**
421 **FDA tolerances that are present or have been reported in the petitioned substance**
422 **[7 CFR 205.600(b)(5)].**

423 The FDA establishes “action levels” for poisonous or deleterious substances that are unavoidable in
424 human food and animal feed (U.S. FDA, 2000). These include aflatoxin, cadmium, lead, polychlorinated
425 biphenyls (PCBs), and many other substances. The FDA uses different action level tolerances for these
426 substances, depending on the commodity. Commodities are largely food items; however, the FDA also
427 includes tolerances for ceramic and metal items, such as eating vessels and utensils. Perlite is not
428 included on the list of commodities with action levels (U.S. FDA, 2000).

429
430 The Food Chemicals Codex specifies limits on impurities in perlite: 10 ppm arsenic and 10 ppm lead (U.S.
431 Pharmacopeia, 2023). The Food Chemicals Codex does not provide specific limit values for other heavy
432 metals or contaminants in perlite, though the Select Committee on GRAS Substances recommended that
433 the FDA add an upper limit for cadmium for food grade perlite (FDA, 2015).

434
435 Filter aids can be potential sources of food and beverage contamination by trace metals (Wang et al.,
436 2017). Redan (2020) reported on an early study from 1985 in Japan, where researchers tested the levels of
437 arsenic and lead after filtering sake with diatomaceous earth or perlite. They found the arsenic content of
438 perlite-filtered sake to be 4-5 mg/L (4-5 ppm), but found no detectable lead. More recently, Wang et al.
439 (2017) tested 10 samples of different perlite filter aids for heavy metals, six of which were food grade.
440 They found the arsenic levels of food-grade perlite filter aids to range from 0.16 to 0.89 ppm, lead levels
441 ranging from 0.80 to 3.02 ppm, and cadmium levels from 0.1 to 0.3 ppm. All perlite samples, including
442 those that were not food grade, were within the FCC limits for metal contaminants (Wang et al., 2017).

443
444 In contrast, May et al. (2019) found substantially higher lead levels (average of 21 ppm) in the six
445 commercial perlite products they tested. The elevated lead levels reported exceed the FCC specifications
446 for food grade perlite (U.S. Pharmacopeia, 2023). The Select Committee on GRAS Substances determined
447 that the maximum amounts of minerals (presumably including heavy metals) in a filtered liquid that
448 originate from a perlite filter aid do not pose a hazard to public health (FDA, 2015).

449
450 Perlite can effectively remove heavy metal contaminants, including copper (Alkan & Doğan, 2001) and
451 cadmium (Mathialagan & Viraraghavan, 2002), from aqueous solutions.

452

453 **Evaluation Question #9: Discuss and summarize findings on whether the manufacture and use of the**
 454 **petitioned substance may be harmful to the environment or biodiversity [7 U.S.C. 6517(c)(1)(A)(i) and**
 455 **7 U.S.C. 6517(c)(2)(A)(i)].**

456 Few studies have evaluated the environmental effects of perlite manufacturing on the environment. One
 457 study that we located compares the environmental effects of perlite, bentonite and pozzolan production
 458 in Milos island, Greece (see [Table 4](#)) (Goudouva et al., 2018). Comparing the two filter aid raw materials
 459 (perlite vs. bentonite), the researchers found that:

- 460 • The energy consumption per metric ton produced was similar for the two materials.
- 461 • The consumption of mazut (heavy fuel oil) used for milling, however, was more than double the
 462 amount per metric ton of bentonite compared to perlite.
- 463 • Water consumption in perlite production was considerably lower than that used for bentonite.
- 464 • Nitrous oxide gas emissions were higher from perlite production.
- 465 • Sulfur dioxide emissions were higher in bentonite production.
- 466 • In terms of dust production, perlite produced more than double the dust amount during
 467 production. Most of that dust was produced during the mining phase, while dust production
 468 during bentonite manufacturing was recorded during the milling phase.

469
 470 Waste material produced for the two materials, consisting of waste slag and rubble, was $0.83 \pm 0.25 \text{ m}^3/\text{t}$
 471 for bentonite versus $0.39 \pm 0.19 \text{ m}^3/\text{t}$ for perlite (Goudouva et al., 2018). The water use reported here
 472 confirms earlier results from the same authors (Goudouva & Zorpas, 2017).

473
 474 **Table 4: Energy consumption and environmental footprint for bentonite and perlite production in the Island of**
 475 **Milos, Greece. Values are averages of three years (2012-2014) calculated from data in Goudouva et al. (2018).**

	Bentonite	Perlite
Energy consumption		
Diesel oil for mining (L per metric ton)	0.92	1.47
Diesel oil for transportation (L per metric ton)	0.89	0.59
Mazut for milling (kg per metric ton)	7.21	3.13
Electricity for milling (kWh per metric ton)	5.83	6.70
Loading (kWh per metric ton)	0.32	0.21
Water use (m^3 per metric ton)	0.05	0.03
Air emissions⁷		
SO ₂ (mg/Nm ³)	5.3	1.3
NO (mg/Nm ³)	96.8	126.8
NO ₂ (mg/Nm ³)	2.7	5.1
NO _x (mg/Nm ³)	99.5	131.9
Dust concentration in different activities		
Mining (mg/m ³)	0.1	16.1
Milling (mg/m ³)	6.6	0.2
Loading on ships (mg/m ³)	0.5	N/A

476
 477 **Evaluation Question #10: Describe and summarize any reported effects upon human health from use**
 478 **of the petitioned substance [7 U.S.C. 6517(c)(1)(A)(i), 7 U.S.C. 6517(c)(2)(A)(i) and 7 U.S.C. 6518(m)(4)].**
 479 Perlite is regulated as a “nuisance dust” in most countries (Elmes, 1987). However, early research on
 480 health effects of exposure to perlite show little to no effect. For example, Cooper (1975) conducted
 481 respiratory health problem tests using chest radiography and measurement of forced vital capacity on
 482 240 perlite mining workers employed for 1 to 23 years in three sites in Colorado and New Mexico. The

⁷ Nm³ or normal cubic meter: amount of gas which when dry, occupies a cubic meter at a temperature of 25 degree Celsius and at an absolute pressure of 1 atmosphere.

483 results showed no evidence of pneumoconiosis associated with perlite exposure. The researcher reached
484 the same conclusion in another study involving 117 workers (Cooper, 1976).

485
486 In Turkey, Polatli et al. (2001) investigated pulmonary function and the risk for silicosis in perlite workers
487 exposed to high levels of perlite dust for more than 10 years. They found that 12 years of perlite exposure
488 did not lead to a decrease in mean pulmonary function test parameters. However, they did observe a
489 change in the carbon monoxide transfer coefficient in nonsmoking perlite workers.⁸ The researchers
490 concluded that there is a tendency for transfer factor to decline if perlite dust levels exceed permissible
491 levels. Both perlite workers and office workers that smoke showed significant obstruction to airflow in
492 small airways with respect to predicted values and 4-year change in transfer factor.

493
494 Du et al. (2010) followed 24 workers who had acute exposure to perlite dust for 6 months due to a mining
495 accident in Taiwan. Within the first 6 months, the workers developed respiratory tract disorders such as
496 cough, shortness of breath and throat irritation. During this period of time, three of them showed
497 respiratory symptoms for more than 6 months, including signs of reactive airway dysfunction syndrome
498 and a decrease in Forced Expiratory Volume in one second (FEV1) of 20% from the baseline.

499
500 A few studies have assessed the effects of perlite on respiratory disease occurrence in production areas.
501 Sampatakakis et al. (2013) conducted a study comparing the prevalence of respiratory diseases and
502 asthma in two locations in Greece, based on the presence of perlite and bentonite mining locations. The
503 morbidity part of the study was conducted in two industrial communities with similar demographic
504 characteristics:

- 505 • the island of Milos, which has ambient air polluted by perlite and bentonite mining sites
- 506 • the municipality of Oinofita, which has air, water and ground pollution, mostly due to industrial
507 waste

508
509 The researchers found that the prevalence of allergic rhinitis, pneumonia and COPD was higher on the
510 island of Milos compared to the municipality of Oinofita, where a statistically significant association was
511 observed (Sampatakakis et al., 2013). The results found for bronchiectasis were similar, despite the small
512 number of observed cases. Regarding asthma, the difference was of borderline significance. They
513 concluded that factors related to the exposure of Milos' permanent residents to perlite and bentonite dust
514 may contribute to their respiratory health related mortality and higher morbidity rates.

515
516 As of 2014, no published studies on reproductive toxicity of perlite were found, and such effect is
517 unlikely in view of the likely routes of exposure (Maxim et al., 2014).

518
519 **Evaluation Question #11: Describe any alternative practices that would make the use of the petitioned**
520 **substance unnecessary [7 U.S.C. 6518(m)(6)].**

521 Centrifugation is a practice that can complement or replace the use of filter aids for wine clarification
522 (Jackson, 2014). Centrifugation avoids potential health problems related to dust and worker allergy that
523 can be associated with the use and disposal of perlite and other filter aids (*e.g.*, diatomaceous earth).

524
525 Centrifugation employs rotation at high speed to expedite settling (Jackson, 2014). It is equivalent to
526 spontaneous sedimentation, but occurs within minutes, rather than months. It often replaces multiple
527 rackings when early bottling is desired. Centrifugation is also useful when the wine is heavily laden with
528 particulate matter. Highly turbid musts and wine are prone to off-odor development if they are permitted
529 to clarify spontaneously. Centrifugation is much more efficient in removing large amounts of particulates
530 than plate filters (Jackson, 2014).

531
532 However, the use of centrifugation for other purposes beyond clarification is unclear.

533

⁸ Carbon monoxide transfer coefficient (KCO) is a pulmonary function test. It is also often written as DLCO/VA (diffusing capacity per liter of lung volume) and is an index of the efficiency of alveolar transfer of carbon monoxide.

534 **Evaluation Question #12: Describe all natural (nonsynthetic) substances or products which may be**
 535 **used in place of a petitioned substance [7 U.S.C. 6517(c)(1)(A)(ii)]. Provide a list of allowed substances**
 536 **that may be used in place of the petitioned substance [7 U.S.C. 6518(m)(6)].**

537 Filter aid materials can be classified into organic (carbon-containing) and inorganic materials. Organic
 538 (carbon-containing) filter aids, though not necessarily nonsynthetic, include activated charcoal
 539 [7 CFR 205.605(b)(2)] and cellulose [§ 205.605(b)(11)]. Because rice hulls are agricultural, rice hull ash
 540 would need to be certified organic in order to be allowed for use with organic products. Nonorganic rice
 541 hull ash could be used with “made with organic” products.

542

543 Besides perlite, other inorganic nonsynthetic filter aid materials allowed by the NOP include bentonite
 544 [§ 205.605(a)(5)] and diatomaceous earth [§ 205.605(a)(10)].

545

546 Several plant-derived materials have been tested as filter aids in food and beverage applications (see
 547 [Table 5](#)).

548

549

Table 5: Some adsorption filter aid materials and details of their use.

Filter aid material	Solution filtered	Material removed	Comments	Reference
Eucalyptus sheathiana bark powder	Water	Methylene blue (MB) dye	Aqueous solution, fixed bed Perspex glass column of 30 cm height with 2.5 cm internal diameter	(Afroze et al., 2016)
Activated charcoal	Diluted spirits; soy sauce	Ethyl carbamate	47% and 45% removal	(S.-R. Park et al., 2009)
Rice hull ash	Beer		Used as a clarifying agent	(Villar et al., 2004)
Sugar cane bagasse ash	Beer; wine		Used as a clarifying agent	(Keogh, 1988)
Kenaf fiber	Kaolin suspension	Kaolin	Body feed filter aid	(Varghese & Cleveland, 1998)
Kenaf core chips	Different solutions	Yeast, bacteria, silica particles	Body feed filter aid	(Lee & Eiteman, 2001)
Kenaf, milled cardboard, cellulose	Bioethanol	Enzymatically hydrolyzed biomass suspensions	Body feed and precoat	(Kinnarinen et al., 2013)

550

551 *Rice hull ash (nonsynthetic)*

552 Rice hull ash is another material used as filter aid (Li et al., 2005). Per guidance NOP 5033-2, rice hulls are
 553 agricultural materials. While organic rice hulls are available, certified organic rice hull *ash* is not.

554 Furthermore, rice hull ash does not appear on the National List. Therefore, rice hull ash would only be
 555 allowed for use in “made with organic” products.

556

557 Villar et al. (2004) tested the effectiveness of rice hull ash as a filter aid for beer filtration in different
 558 combinations (percentages) with the standard filter aid Dicalite (diatomaceous earth). They obtained the
 559 best results (higher filtrate volume recovery) using a 50%/50% mixture of Dicalite and rice hull ash. The
 560 resulting clarity, brightness and sensorial characteristics of the beer (i.e., taste and smell) were similar to
 561 those obtained with traditional filter aids. The recovered filtrate volume per time unit (productivity of the
 562 process) was also increased by the introduction of rice hull ash. Blending using rice hull ash with Dicalite
 563 reduces the cost of the filter aid.

564

565 *Bentonite (nonsynthetic)*

566 Bentonite clay is a nonsynthetic material allowed for use as a filter aid [§ 205.605(a)(5)]. Bentonite is
567 commonly used as a fining agent in the wine industry to promote beverage clarity (Redan, 2020).
568 However, one drawback of this material is that it can contain elevated levels of metals and trace elements
569 that can subsequently transfer to the processed product (El Youssfi et al., 2023). Nicolini et al. (2004)
570 conducted a study to investigate wine fining with 10 different bentonites (1 g/L) at three pH levels.
571 Bentonite fining resulted in statistically significant increases of the large majority of elements, but in
572 significantly lower levels of copper, potassium, rubidium and zinc.

573

574 *Diatomaceous earth (nonsynthetic)*

575 In addition to perlite, diatomaceous earth (or diatomite, celite or kieselgur/kieselguhr) is the other most
576 frequently applied inorganic filter aid (Jackson, 2008). Diatomaceous earth is allowed as a food filtering
577 aid only [§ 205.605(a)(10)], in addition to use in pest management.

578

579 Diatomaceous earth is made from the skeletons of diatoms, which are fossilized tiny, aquatic organisms
580 (US EPA, 1995). Their skeletons are made of a natural substance called silica. Over a long period of time,
581 diatoms accumulate in the sediment of water bodies, from which they are mined and calcined (heated at
582 high temperature) to get rid of any organic matter and agglomerate the diatoms together. The heat
583 treatment is achieved using rotary calciners (gas- or fuel oil-fired), with or without a fluxing agent.
584 Typical calciner operating temperatures range from 1200 to 2200°F (650 to 1200° C). For straight-calcined
585 grades, the powder is heated in large rotary calciners to the point of incipient fusion. The material exiting
586 the kiln then is further milled and classified. Straight calcining is used for adjusting the particle size
587 distribution for use as a medium flow rate filter aid. The product of straight calcining has a pink color
588 from the oxidation of iron in the raw material, which is more intense with increasing iron oxide content
589 (US EPA, 1995).

590

591 *Rice hull ash versus diatomaceous earth*

592 One study compared the effectiveness of diatomaceous earth (Celite 577) versus rice hull ash in removing
593 residual ochratoxin A in beer using an immunoaffinity column and high-performance liquid
594 chromatography (Lulamba et al., 2019). The results showed that rice hull ash was more effective (72%) in
595 the removal of ochratoxin A in beer than diatomaceous earth (38%). Adsorption was the major form of
596 ochratoxin A removal using rice hull ash, whereas with Celite 577 it was entrapment (Lulamba et al.,
597 2019).

598

599 *Perlite versus diatomaceous earth*

600 A comparison of perlite and diatomaceous earth shows several advantages for perlite in terms of filtrate
601 quality for safe human consumption, availability, and performance. Compared to perlite filter aids,
602 diatomaceous earth can contain heavy metals that can be transferred to the beverage or fluid being
603 filtered during processing (May et al., 2019). Diatomaceous earth also contains soluble iron that can
604 dissolve into the material being filtered, thus affecting the quality of edible and drinkable products
605 (Jackson, 2014; Nattrass et al., 2015). Moreover, diatomaceous earth can be toxic depending on its source,
606 with a toxic potential that ranges from unreactive to as haemolytic or cytotoxic as the positive crystalline
607 silica (quartz plus cristobalite) standard DQ12 quartz (Nattrass et al., 2015).⁹

608

609 Studies investigating the effect of exposure to silica on lung cancer in diatomaceous earth workers in
610 mining and processing facilities detected a statistically significant increasing trend of lung cancer risk
611 with cumulative exposure to crystalline silica dust (Checkoway et al., 1999; Rice et al., 2001). Similar
612 findings were also reported for non-cancer lung diseases (e.g., silicosis) (R. Park et al., 2002). On the other
613 hand, perlite filter aids contain little to no respirable crystalline silica, making it a relatively healthier and
614 safer product to handle (R. Park et al., 2002).

615

⁹ DQ12 quartz is the standard reference used for crystalline silica biological toxicity studies due to its well-characterized biological activity (Creutzenberg et al., 2008).

616 From a practicality and efficiency standpoint, perlite presents a 30-50% lower bulk density than
617 diatomaceous earth, therefore requiring less material weight (Cheremisinoff, 1998). Contrary to
618 diatomaceous earth, perlite filter aid is not subject to the strict regulations governing its disposal
619 (Perlmutter, 2015).

620
621 *Activated charcoal (synthetic)*

622 Activated charcoal is a synthetic material allowed for use as a filter aid option [§ 205.605(b)(2)]. It is used
623 to remove impurities affecting appearance, taste, and odor (Henning & von Kienle, 2021). This material
624 has dozens of uses in food production, pharmaceutical processes, water treatment, and industrial
625 pollution management (Henning & von Kienle, 2021). Activated charcoal filtration is an important step in
626 the production of alcoholic beverages (Christoph & Bauer-Christoph, 2007), fruit juice, oils, and vinegar
627 (Bansal et al., 1988).

628
629 For more information see the 2024 technical report *Activated Charcoal (Handling)*.

630
631 *Cellulose (synthetic)*

632 Cellulose is considered a synthetic material allowed as a filter aid for certified organic processing
633 operations (Code of Federal Regulations, 2023). As a filter aid, cellulose has a higher cost and a lower
634 filtration efficiency than diatomaceous earth and perlite, making it less popular. Still, there are some
635 advantages to the use of cellulosic filter aid versus diatomite or perlite. Filter aids consisting of cellulosic
636 fibers have a low density, favorable structure with rough surfaces and high porosity, easy cleaning of
637 filter cloth, and good possibilities for disposal or energy production by combustion (Gerdes, 1997).
638 Cellulose is combustible and is useful in the recovery of valuable metals. Cellulose is also compatible
639 with hot caustic solutions where diatomaceous earth and perlite are not (Cheremisinoff, 1998).

640
641 For more information, see the 2016 technical report *Cellulose (Handling)*.

642
643 **Evaluation Information #13: Provide a list of organic agricultural products that could be alternatives**
644 **for the petitioned substance [7 CFR 205.600(b)(1)].**

645 We found no data suggesting that any certified organic agricultural products offer the same quality as a
646 direct substitute for perlite as a filtering aid for the same range of processed food and beverage products.
647 While availability appears limited (based on a search of the *Organic Integrity Database*), certified organic
648 casein and kenaf exists.

649
650 *Casein*

651 Casein is one material that has commercially demonstrated limited capacity as an alternative to perlite in
652 the wine industry. Casein is a multipurpose material for wine producers. Casein can clarify wines, but it
653 can also improve color and odor (Ribéreau-Gayon et al., 2006). However, it is not very effective for
654 decolorizing wine (Australian Wine Research Institute, 2023). Although there is one operation producing
655 casein products in the Organic Integrity Database (*Organic Integrity Database*, 2024a), the products of that
656 company are food-industry ingredients, and none is classified as a filter aid (*Milk Specialties Global*, 2024).

657
658 *Kenaf*

659 Kenaf (*Hibiscus cannabinus*) core chips were studied as a filter aid for three challenge solutions: a yeast
660 solution, a bacterial solution, and a standard silica-particle solution (Lee & Eiteman, 2001). The kenaf and
661 diatomaceous earth both satisfactorily removed all silica particles from the solution without a noticeable
662 flux degradation over the course of the filtration. The kenaf and diatomaceous earth also removed yeast
663 particles. However, the flux loss with time was higher for kenaf precoated filter than with the
664 diatomaceous earth precoated filter. The kenaf precoated filter was more efficient at removing bacterial
665 particles from solution than diatomaceous earth (40% versus 10%, respectively).

666
667 In another study, kenaf was used as a body feed filter aid and compared with commercial filter aids
668 (diatomite, perlite, Solka-Floc cellulose) by filtering a dilute (1%) kaolin suspension and obtained a
669 significant improvement in the filtration rate in all cases (Varghese & Cleveland, 1998). The authors

670 concluded that the filter area requirement using kenaf was about 25–30% larger than that required when
671 inorganic commercial filter aids were used. Higher filtrate turbidity was another drawback of kenaf
672 compared to those of diatomite and perlite.

673

674 Besides organic kenaf seeds, no certified organic kenaf filter aids are present on the market (*Organic*
675 *Integrity Database*, 2024b).

676

Report Authorship

677

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686 All individuals are in compliance with Federal Acquisition Regulations (FAR) Subpart 3.11 – Preventing
687 Personal Conflicts of Interest for Contractor Employees Performing Acquisition Functions.

688

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