

Bisphenol A

Handling/Processing

Identification of Petitioned Substance

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Chemical Names:	Trade Names:
2,2-bis-(p-hydroxyphenyl)-2-propane	none
4,4'-isopropylidene-2-diphenol	
4,4'-(1-methylethylidene) bisphenol	CAS Numbers:
4,4'-dihydroxydiphenyldimethylmethane	80-05-7
bis(4-hydroxyphenyl)propane	
	Other Codes:
Other Names:	EC Number 201-245-8
none	ISCS Number 0634

Summary of Petitioned Use

Bisphenol A (BPA) is currently used as a packaging material for organic products. Like other packaging materials, it is not included on the National List. A technical report on BPA was requested by the National Organic Standards Board (NOSB) Handling Subcommittee in response to a National Organic Program (NOP) memo to the NOSB on this topic¹. This technical report on Bisphenol A addresses the National List Evaluation Questions applicable to materials in handling. This technical report also addresses specific focus areas requested by the NOSB Handling Subcommittee:

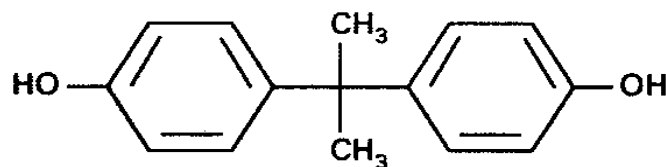
- The report should also evaluate whether Bisphenol A meets criteria of 7 CFR Part 205.272, with special attention to section 205.272(b)(2). (*see OFPA/ USDA Final Rule section*)
- There is much criticism by both sides of the Bisphenol A (BPA) safety debate over the validity of various research methods, from what breed of rats are used to human cell studies in vitro vs. animal studies. There are also alleged collusion, conflict of interest, and bias contentions in some research efforts. Please examine the arguments of both sides objectively using the citations provided and others, and give an evaluation of which research is the most valid. (*see Evaluation Question 10*)
- Evaluate the conclusion from the paper by Yang cited in the NOP memo, that alternative existing compounds, additives, or processing agents that have no detectable estrogenic activity and have similar costs can be identified. Specify what these alternatives are. (*see Evaluation Question 11*)
- Review recent research on some of the BPA alternatives in use, such as Tritan (containing triphenyl phosphate or TPP), Bisphenol S (BPS) and Bisphenol F (BPF) and any others, and evaluate whether they might be better alternatives than BPA in terms of their impact on human health or the environment. (*see Evaluation Question 11*)
- What is the status of BPA in other countries? How widespread are bans on BPA and are any of the alternatives banned as well? What evidence was used in making those determinations? (*see International section*)

Characterization of Petitioned Substance

Composition of the Substance:

Bisphenol A is composed entirely of carbon, hydrogen and oxygen. Its empirical formula is C₁₅H₁₆O₂ and its molecular weight is 228.28. It is characterized by two phenol groups and two methyl groups attached to a central carbon as shown in Figure 1 below (Willhite et al. 2008).

¹<https://www.ams.usda.gov/sites/default/files/media/NOSB%20Memo%20Packaging%20Substances%20used%20in%20organic%20food.pdf>



Bisphenol A (BPA)

Figure 1: Chemical structure of BPA (Willhite et al. 2008)

Source or Origin of the Substance:

Bisphenol A is a synthetic material produced by the condensation reaction between phenol and acetone. Phenol and acetone are obtained from the Hock process. Benzene and propylene are catalytically reacted to make cumene, which is then oxidized. The cumene hydroperoxide produced is hydrolyzed to phenol and acetone. Benzene and propylene are obtained from distillation of crude oil (Fiege et al. 2000; Weber et al. 2004). Additional information is provided in Evaluation Question 1.

Properties of the Substance:

Bisphenol A is a white solid at room temperature with a mild phenolic odor. Its melting point is 153°C. Further heating causes decomposition at 220°C. It has low volatility, and its vapor pressure is 3.91×10^{-7} mm Hg at 25°C. Density is 1.1-1.2 g/cm³. It is a very weak acid, and the pKa is 9.59 to 11.30. It has low solubility in water, and its water solubility is 120-300 mg/liter at 25°C. It is more soluble in alkaline solutions and in organic solvents such as ethanol and acetone. More BPA dissolves in octanol than in water, and the octanol/water partition coefficient (log Kow) is 3.34 at 25°C. Log Kow for sea water is 3.52. Its empirical formula is C₁₅H₁₆O₂ and its molecular weight is 228.28 (Willhite et al. 2008; Borrirukwisitsak et al. 2012).

Specific Uses of the Substance:

Over 8 billion pounds of BPA are produced worldwide each year. BPA is used to make polycarbonate plastic, and it is a component of epoxy resin. Epoxy resins are used in numerous consumer products, including liners for food and beverage metallic cans. Polycarbonate plastics are used in reusable water bottles, baby bottles, and reusable food containers (Vandenberg et al. 2010). About 75% of canned goods sold in the U.S. are lined with a BPA-based epoxy resin (Geller and Lunder 2015).

Approved Legal Uses of the Substance:

The FDA permits BPA use in canned food and consumer products. The FDA amended food additive regulations to no longer provide for the use of polycarbonate resins in baby bottles and sippy cups as of July 17, 2012. The change was in response to a petition by the American Chemistry Council declaring that this use had been abandoned by manufacturers (USFDA 2012b). The FDA also amended food additive regulations to no longer provide for the use of BPA-based epoxy resins as coatings in infant formula packaging on July 12, 2013. This was in response to a petition from Congressman Edmund Markey claiming that this use has been abandoned (USFDA 2013).

Consumers Union reports that eleven states have banned BPA in baby bottles and sippy cups: California, Connecticut, Delaware, Maine, Maryland, Massachusetts, Minnesota, New York, Washington, Wisconsin and Vermont (Consumers Union 2014).

In California, BPA was listed May 11, 2015 as a reproductive toxicant (California 2017a). Currently, the State maintains a list of products containing BPA on the Proposition 65 website (California 2017b). By the end of 2017, organic and other products in food or beverage cans containing BPA will be required to display a warning label declaring that they contain BPA, "a chemical known by the State of California to cause harm to the female reproductive system" (Geueke 2016; California 2017ab).

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Action of the Substance:

When used as an inner lining of metallic cans, BPA-based epoxy resins function as preventative coatings to protect the metallic containers from rust and corrosion (Geueke 2016).

Combinations of the Substance:

BPA is a monomer that is polymerized to make epoxy resins and polycarbonate plastics. It is not a precursor to, a component of, or commonly used in combination with a substance(s) identified on the National List.

Status

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Historic Use:

BPA was first synthesized by Russian chemist Alexander Dianin in 1891. It was patented in 1917, and manufactured on an industrial scale beginning in 1923 (Neagu 1998). Commercial production of epoxy resins and hard plastics (polycarbonate plastic) using BPA began in the 1950s (Vogel, 2009). U.S. production in the 1980s reached 1 billion pounds, and now over 8 billion pounds of BPA are produced worldwide each year (Vandenberg et al. 2010).

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Organic Foods Production Act, USDA Final Rule:

NOSB History

In October 2014, the subject of "Alternatives to Bisphenol A (BPA)" was formally recommended by the NOSB as a research priority (USDA NOSB 2014a). On November 19, 2014, Miles McEvoy, Deputy Administrator of the National Organic Program, wrote a Memorandum to the NOSB stating, "The NOP would like the NOSB to provide recommendations on the use of Bisphenol A (BPA) and similar substances in the packaging of organic food. The NOSB Handling Subcommittee has submitted a request to review the use of Bisphenol A (BPA) in packaging of organic food" (USDA NOSB 2014b). On January 3, 2017, the Handling Subcommittee of the NOSB agreed that the goal was to produce a BPA discussion document for spring 2017 and a proposal for fall 2017 (USDA NOSB 2017a). The Handling Subcommittee produced a BPA discussion document, and it was on the agenda for the NOSB meeting April 19-21, 2017 in Denver, CO (USDA NOSB 2017b).

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NOP Regulations relevant to Bisphenol A

The NOP regulations at §205.272 include the following provisions regarding commingling and contact with prohibited substances:

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§205.272 Commingling and contact with prohibited substance prevention practice standard.

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(a) The handler of an organic handling operation must implement measures necessary to prevent the commingling of organic and nonorganic products and protect organic products from contact with prohibited substances.

(b) The following are prohibited for use in the handling of any organically produced agricultural product or ingredient labeled in accordance with subpart D of this part:

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(1) Packaging materials, and storage containers, or bins that contain a synthetic fungicide, preservative, or fumigant;

(2) The use or reuse of any bag or container that has been in contact with any substance in such a manner as to compromise the organic integrity of any organically produced product or ingredient placed in those containers, unless such reusable bag or container has been thoroughly cleaned and poses no risk of contact of the organically produced product or ingredient with the substances used.

148 Concern has been raised (*see NOSB history, above*) regarding the potential for BPA-based packaging to
149 compromise the organic integrity of packaged organic foods, and thereby violate the provisions of
150 §205.272. BPA can leach from epoxy resin can liners or from polycarbonate plastic containers into food and
151 beverages, and high temperatures or the presence of highly acidic or basic solutions increase the amount of
152 leaching (Vandenberg et al. 2010).

153
154 Vandenberg et al. (2007) reported 10 studies showing that BPA leaches from plastic linings of metal cans.
155 Each can leached BPA at a range of 4-23 micrograms (µg). (A µg is one-millionth of a gram.) Noonan et al.
156 (2011) tested 78 canned food samples and two frozen food products with liquid chromatography and
157 tandem mass spectroscopy. BPA was detected in 71 of 78 canned food samples in concentrations ranging
158 from 2.6 to 730 nanograms per milliliter (ng/ml). (A nanogram is one-billionth of a gram.) Canned fruits
159 and tuna showed the lowest concentration. The experiment also showed that BPA partitions into the solid
160 part of the food and the canned liquid, and that BPA measurements depend on whether liquid not
161 typically consumed is included in the measurement. Cao et al. (2008) analyzed 21 samples of canned liquid
162 infant formulas for BPA. BPA was present in all samples in amounts ranging from 2.27 ng/g to 10.2 ng/g.
163 BPA has been detected in cans of vegetables, fish and meat, dairy products and infant formula. It also
164 migrates into food from polycarbonate products such as baby bottles, reusable bottles and tableware (Kang
165 et al. 2003).

166 167 **International**

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169 **Canadian General Standards Board Permitted Substances List (CAN/CGSB-32.311-2015)**
170 Bisphenol A is not included on the Permitted Substances List. No other food packaging ingredients are
171 identified on the Permitted Substances List, but some sanitizers, cleaners and disinfectants are permitted
172 on organic product contact surfaces, and wax is permitted to coat cheese (Canada 2015). Section 8.1.6 of the
173 General Principles and Management Standards (CAN/CGSB-32.310-2015) requires that organic product
174 packaging maintains organic product quality and integrity, and that packaging materials that minimize
175 harm to the environment throughout their life cycles are preferred.

176 177 **CODEX Alimentarius Commission, Guidelines for the Production, Processing, Labelling and Marketing** 178 **of Organically Produced Foods (GL 32-1999)**

179 Bisphenol A is not mentioned in the *Codex Alimentarius*. Specifically it is not listed in Annex 2 “Permitted
180 Substances for the Production of Organic Foods.” It is also not listed in Table 3 “Ingredients of Non-
181 Agricultural Origin...” or in Table 4 “Processing Aids Which May be Used for the Preparation of Products
182 of Agricultural Origin” (Codex 2001). No other food packaging ingredients are listed, but Annex 1, Section
183 C, part 87 states that “packaging materials should preferably be chosen from bio-degradable, recycled, or
184 recyclable sources,” and part 88 states that “organic materials must be protected at all times from contact
185 with materials and substances not permitted in organic farming and handling.”

186 187 **European Economic Community (EEC) Council Regulation, EC No. 834/2007 and 889/2008**

188 Bisphenol A is not mentioned in EC No. 834/2007. However, in Article 7, “Specific Principles Applicable
189 to the Processing of Organic Food,” part b states as a principle, “the restriction of the use of food additives,
190 of nonorganic ingredients with mainly technological and sensory functions and of micronutrients and
191 processing aids, so that they are used to a minimum extent and only in case of essential technological need
192 or for particular nutritional purposes” (EU ECC 2007). No other food packaging ingredients are listed.

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194 Bisphenol A is not mentioned in EC No. 889/2008. Specifically, it is not mentioned in Annex 8, “Certain
195 Products and Substances Which May be Used in Production of Processed Organic Food” (EU ECC 2008).
196 No other food packaging ingredients are listed, but Article 26, Section 4(a) states that operators shall “take
197 precautionary measures to avoid the risk of contamination by unauthorized substances or products.”

198 199 **Japanese Agricultural Standard (JAS) for Organic Production**

200 Bisphenol A is not mentioned in the Japanese Agricultural Standard for Organic Production (JAS 2005).
201 Other food packaging ingredients are not mentioned.

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203 IFOAM - Organics International

204 Bisphenol A is not listed in the IFOAM Norms for Organic Production and Processing. Specifically, it is not
205 listed in Appendix 4, Table 1: List of Approved Additives and Processing/Postharvest Handling Aids
206 (IFOAM 2012). Other food packaging ingredients are mentioned. On page 61 the document states that,
207 “polyvinyl chloride and aluminum should be avoided,” and “operators should not use packaging that may
208 contaminate organic products.”
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211 International Bans and Restrictions on BPA

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213 *Australia and New Zealand*

214 Food Standards Australia New Zealand concluded that “levels of intake of BPA and plasticizers are very
215 low and do not pose a risk to infant health.” Nevertheless, in 2010 the Australian Government started
216 working with retailers on a voluntary phase out of polycarbonate baby bottles (Hengstler et al. 2011).
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219 *Canada*

219 In 2010, Canada’s Department of the Environment declared BPA to be a toxic substance and added it to
220 Schedule 1 of the Canadian Environmental Protection Act of 1999 (Canada 2017). Advertisement, sale and
221 import of polycarbonate baby bottles were prohibited on March 11, 2010. A Tolerable Daily Intake (TDI) of
222 25 µg per kilogram of body weight per day (/kg bw/day) was set by Health Canada (Hengstler et al. 2011).
223 Health Canada affirmed the safety of BPA in 2014, but recommended “limiting BPA exposure from food
224 packaging applications to infants and newborns, specifically from pre-packaged infant formula products as
225 a sole source food, for this sensitive segment of the population” (Canada 2014).
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228 *Denmark*

228 The Danish government invoked the Precautionary Principle on March 22, 2010, and banned “BPA in
229 materials in contact with food for children aged 0-3 years (infant feeding bottles, feeding cups, and
230 packaging for baby food)” (Hengstler et al. 2011).
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233 *European Union*

233 On April 1, 2011, the European Union amended regulation (EU) No. 10/2011 to restrict the use of BPA in
234 plastic baby bottles. BPA is not to be used for the manufacture of polycarbonate infant feeding bottles (EU
235 2011). On December 12, 2016, the European Union amended Annex XVII to Regulation (EC) No. 1907/2006
236 by adding “BPA shall not be placed on the market in thermal paper in a concentration equal to or greater
237 than 0.02% by weight after 2 January 2020.” The change was in response to a petition from France. France
238 based its hazard assessment on potential adverse effects to the female reproductive system, brain and
239 behavior, obesity, and effects on the mammary gland. A provision was made to monitor Bisphenol S in
240 thermal paper (EU 2016).
241

242

243 *France*

243 France banned baby bottles containing BPA on July 2, 2010 (Hengstler et al. 2011). In December of 2012
244 France passed a law suspending the production, trade and marketing of food containers containing BPA.
245 The ban on containers for infant food was effective on January 1, 2013. On January 1, 2015, the ban took
246 effect for all other food containers (USDA 2013).
247

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249 *Japan*

249 There are no restrictions on BPA in Japan, but between 1998 and 2003 the canning industry voluntarily
250 replaced BPA can liners with polyethyleneterephthalate (PET) (Hengstler et al. 2011).
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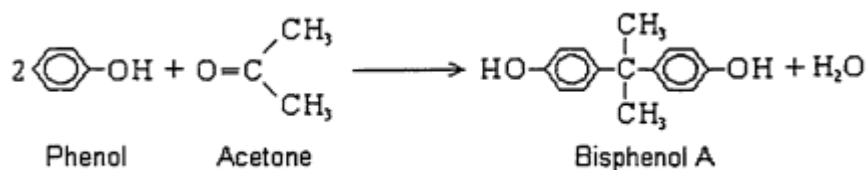
Evaluation Questions for Substances to be used in Organic Handling

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255 **Evaluation Question #1: Describe the most prevalent processes used to manufacture or formulate the**
256 **petitioned substance. Further, describe any chemical change that may occur during manufacture or**

257 **formulation of the petitioned substance when this substance is extracted from naturally occurring plant,**
258 **animal, or mineral sources (7 U.S.C. § 6502 (21)).**
259

260 BPA is synthesized through a condensation reaction between phenol and acetone, as shown in Figure 2.
261 The phenol-acetone reaction is spontaneous at room temperature. Two moles of phenol are mixed with one
262 mole of acetone in the presence of concentrated hydrochloric acid or 70% sulfuric acid. A complex mixture
263 is produced, containing mostly BPA along with other phenolic substances (Neagu 1998; Fiege et al. 2000).
264



265
266 Figure 2: Process for producing BPA (Neagu 1998)
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268 Industrial manufacture uses an acidic catalyst such as gaseous hydrochloric acid (HCl) or sulfonated
269 polystyrene resin. The resin-catalyzed process is preferred for manufacturing. BPA yields with the catalyst
270 Amberlyst-15 can be nearly 90% (Neagu 1998; Fiege et al. 2000).
271

272 Industrial processes use phenol mixed with acetone as the feedstock. Alternatively, the feedstock can be a
273 complex mixture of products from the decomposition of cumene hydroperoxide, although this feedstock
274 leads to more impurities and the reaction product is more difficult to purify. In practice, the reaction is run
275 in a solvent such as methylene chloride or acetic acid with excess phenol to prevent self-condensation of
276 acetone (Neagu 1998; Fiege et al. 2000).
277

278 If gaseous HCl is used as a catalyst, acetone and phenol are saturated with HCl gas in a reactor at 50°C, and
279 the reaction is stirred for several hours. The HCl is removed and recycled, and water is removed. The crude
280 reaction product is purified by vacuum distillation. Alternatively, it is extracted with a solvent, followed by
281 distillation. For extra purity, the BPA is recrystallized (Neagu 1998; Fiege et al. 2000).
282

283 *Manufacturing of each starting material*

284 The starting materials are phenol and acetone. Most of the industrial phenol and acetone production occurs
285 through the cumene process (Hock process) using benzene and propylene. Benzene and propylene are
286 made from the distillation of crude oil. The benzene and propylene are compressed at 30 atm at 250°C in
287 the presence of aluminum chloride or phosphoric acid (Weber et al. 2004).
288

289 Cumene is then isolated and oxidized with oxygen, producing cumene hydroperoxide. Oxidation is either
290 under pressure at 90-100°C, or at atmospheric pressure at 100°C. Cumene hydroperoxide is then
291 hydrolyzed in an acidic medium to produce phenol and acetone. Phenol and acetone can be produced in
292 numerous other ways (Weber et al. 2004).
293

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295 **Evaluation Question #2: Discuss whether the petitioned substance is formulated or manufactured by a**
296 **chemical process, or created by naturally occurring biological processes (7 U.S.C. § 6502 (21)). Discuss**
297 **whether the petitioned substance is derived from an agricultural source.**
298

299 BPA is manufactured using a synthetic chemical process. It is not produced by any naturally occurring
300 biological processes. It is not obtained from an agricultural source (Fiege et al. 2000).
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303 **Evaluation Question #3: If the substance is a synthetic substance, provide a list of nonsynthetic or**
304 **natural source(s) of the petitioned substance (7 CFR § 205.600 (b) (1)).**
305

306 BPA is a synthetic substance. There are no natural sources of BPA (Fiege et al. 2000).

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309 **Evaluation Question #4: Specify whether the petitioned substance is categorized as generally**
310 **recognized as safe (GRAS) when used according to FDA's good manufacturing practices (7 CFR §**
311 **205.600 (b)(5)). If not categorized as GRAS, describe the regulatory status.**

312

313 BPA is on the FDA list of approved indirect additives used on food contact surfaces (USFDA 2011a).
314 Although BPA is cited as being classified as GRAS in 1976 (Vogel 2009), BPA is not currently listed as
315 GRAS in Title 21 Part 182, 184 or 186, the SCOGS database, or in the GRAS Notice Inventory.

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318 **Evaluation Question #5: Describe whether the primary technical function or purpose of the petitioned**
319 **substance is a preservative. If so, provide a detailed description of its mechanism as a preservative (7**
320 **CFR § 205.600 (b)(4)).**

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322 BPA is not a food preservative.

323

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325 **Evaluation Question #6: Describe whether the petitioned substance will be used primarily to recreate**
326 **or improve flavors, colors, textures, or nutritive values lost in processing (except when required by law)**
327 **and how the substance recreates or improves any of these food/feed characteristics (7 CFR § 205.600**
328 **(b)(4)).**

329

330 BPA is not used to recreate or improve flavors, colors, textures or nutritive values lost in processing.

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333 **Evaluation Question #7: Describe any effect or potential effect on the nutritional quality of the food or**
334 **feed when the petitioned substance is used (7 CFR § 205.600 (b)(3)).**

335

336 Bisphenol A has no effect on the nutritional quality of food, although it may migrate into the food (*see*
337 *OFPA section for information about BPA leaching into food*) which may have adverse effects on human health
338 (*see Question 10*).

339

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341 **Evaluation Question #8: List any reported residues of heavy metals or other contaminants in excess of**
342 **FDA tolerances that are present or have been reported in the petitioned substance (7 CFR § 205.600**
343 **(b)(5)).**

344

345 No publications on heavy metal contaminants in BPA are found in the literature. Heavy metals have not
346 been reported as contaminants in the synthesis and production of BPA (Fiege et al. 2000; Neagu 1998).

347

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349 **Evaluation Question #9: Discuss and summarize findings on whether the manufacture and use of the**
350 **petitioned substance may be harmful to the environment or biodiversity (7 U.S.C. § 6517 (c) (1) (A) (i)**
351 **and 7 U.S.C. § 6517 (c) (2) (A) (i)).**

352

353 Sewage contains the BPA eliminated by humans who have been exposed to BPA. Sewage may also contain
354 BPA released in wastewater of factories that produce it. Water treatment plants can remove 37-94% of the
355 BPA present, and the rest is released into surface water (Crain et al. 2007).

356

357 Most surface water concentrations of BPA in the United States are below 0.1 µg/liter, but concentrations up
358 to 12 µg/liter have been found. BPA is degraded by microbes in river water with an aerobic half-life of
359 about 4.5 days. Very little BPA degrades under anaerobic conditions (Crain et al. 2007).

360

361 Discarded polycarbonate plastics are another source of BPA in water. Polycarbonate plastics sink in water
362 and end up on stream bottoms. BPA is adsorbed by soil and sediments. The soil adsorption coefficient
363 (Koc) of BPA ranges from 314 to 1524. This relatively high value means that BPA can accumulate in
364 sediments. Sediment concentrations (11 µg/liter) can be nearly 200 times water concentrations (0.058
365 µg/liter) (Crain et al. 2007; Kang et al. 2006).

366
367 BPA leaches into the environment from plastics in landfills. Landfill leachate levels can range from 1.3
368 ng/ml to 17.2 µg/ml (ppm), averaging 269 ng/ml (ppb). Water sources near landfills often have the
369 highest BPA concentrations (Crain et al. 2007). BPA in septic tanks may leach out into groundwater and
370 contaminate wells. BPA has been found in drinking water wells at levels up to 32.9 µg/liter (Rudel et al.
371 1998).

372 **Bioaccumulation and Toxicity to Aquatic Organisms and other Animals**

373 Fish swimming in BPA contaminated water moderately bioaccumulate BPA. When surface water levels
374 range from <0.01 to 0.33 ng/ml, BPA levels in fish vary from 2 to 75 ng/g dry weight (DW) in the liver, and
375 from 1 to 11 ng/g DW in the muscle. BPA has been found in fish at supermarkets at levels from 13.3 ng/g
376 to 213.1 ng/g wet weight. Concentrations of 15 µg/kg have been measured in fish in Japan (Kang et al.
377 2006).

378
379 BPA has an acute toxicity in the range of about 1–10 µg/ml for a number of freshwater and marine species.
380 Reproduction of the waterflea, *Ceriodaphnia dubia*, is reduced upon exposure to 1 µg/ml (Kang et al. 2006).
381 Concentrations of 200 µg/liter to 5 mg/liter can produce birth defects in amphibians (Crain et al. 2007).
382 Exposure of *Xenopus laevis* to 22.8 µg/liter feminized them. About 200 ppm can cause abnormalities in
383 female quail, *Coturnix japonica*. Alligator eggs, *Caiman latirostris*, produce all females when exposed to 140
384 ppm BPA (Crain et al. 2007).

385
386 Significant concentrations of BPA have the potential to alter testicular structure and function in fishes.
387 When the fat head minnow, *Pimephales promelas*, was exposed to 16 µg/liter of BPA, it had reduced
388 numbers of mature sperm. Brown trout, *Salmo trutta*, exposed to environmentally relevant 1.75 to 5 µg/ml
389 of BPA had reduced sperm density and motility. Ramshorn snails, *Marisa cornuarietis*, have enhanced egg
390 production when exposed to BPA levels of 13.9 ng/liter, a significant concentration (Crain et al. 2007).

391
392 Zebrafish, *Danio rerio*, exposed to 0.0068 µMolar concentrations showed a 180% increase in neural
393 development in the hypothalamus. This is an area of the brain associated with hyperactivity. This
394 concentration is 1,000 times lower than average human exposure levels (Kinch et al. 2015; Nutt et al. 2015).

395
396 Though high levels of BPA can feminize fish, reptiles and birds, environmental exposures do not reach
397 these levels. Environmental concentrations can reduce sperm output in fish and elevate vitellogenin
398 concentrations. Vitellogenin production in males is a marker for estrogen exposure. There may also be
399 other effects, such as altered brain development, that have not been detected (Crain et al. 2007; Kinch et al.
400 2015).

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402
403 **Evaluation Question #10: Describe and summarize any reported effects upon human health from use of the**
404 **petitioned substance (7 U.S.C. § 6517 (c) (1) (A) (i), 7 U.S.C. § 6517 (c) (2) (A) (i) and 7 U.S.C. § 6518 (m) (4)).**

405
406 There is concern about BPA because it has estrogenic activity, is a high volume industrial chemical, and
407 much of the U.S. population is exposed to it (Vogel 2009; Dodds and Lawson 1936; NTP 2008).

408
409 Synthetic estrogens are known to cause health problems. In the 1930s, British chemist Charles Dodds was
410 trying to develop a synthetic estrogen. Bisphenol A (BPA) had structural similarities to the natural estrogen
411 estradiol, and Dodds found that BPA had estrogenic activity. Further research led to the potent synthetic
412 estrogen diethylstilbestrol (DES), which is structurally related to BPA. When DES was commercialized,
413 pregnant women who took DES to prevent miscarriages exposed their developing fetuses to it.

415 Epidemiological studies showed that females exposed in the womb to DES later developed a rare kind of
416 vaginal cancer, and DES was banned in 1971. (Vogel 2009; Dodds and Lawson 1936).

417

418 **Human Exposure to BPA from Food**

419 Food is the primary source of human exposure to BPA (Kang et al. 2006), although it is also found in water,
420 cigarette filters, house dust, thermal receipt paper and other places (Vandenberg et al. 2007). Christensen et
421 al. (2012) performed a fasting experiment with human volunteers and found that about two-thirds of the
422 BPA exposure was dietary. BPA migration from food containers into the food leads to dietary exposures
423 (see OFPA Section for information about BPA leaching into food).

424

425 Adults in the general population have exposures of 0.008-1.5 µg/kg bw/day (NTP 2008). Estimates of
426 exposure from leaching of consumer products are 1-5 µg/kg bw/day (Vandenberg et al. 2007). Another
427 estimate from the European Commission's Scientific Committee on Food was 0.48-1.6 µg/kg bw/day.
428 Higher estimates of exposures at 0.6 to 71.4 µg/kg bw/day were calculated from measurements of urine
429 concentrations (Vandenberg et al. 2007).

430

431 Infants and children have the highest exposures other than BPA industry workers. According to a review
432 published by the National Toxicology Program (NTP 2008), some U.S. human exposures to BPA are as
433 follows: formula fed human infants 0-6 months are exposed to 1-11 µg/kg bw/day; when breast fed,
434 exposure is 0.2 to 1 µg/kg bw/day. Infants 6-12 months have exposures of 1.65-13 µg/kg bw/day. A child
435 1.5-6 years has exposures of 0.43-14.7 µg/kg bw/day. Occupational exposures are the highest at 0.43 to 100
436 µg/kg/day (NTP 2008). According to Vandenberg et al. (2007), exposure to BPA is high in newborns, at 24
437 µg/kg bw/day, due to polycarbonate baby bottles. At 3 months, exposure drops to 15µg/kg bw/day
438 because of weight gain. Edginton and Ritter (2009) estimate that newborn exposure to BPA may be 3-10
439 times higher than adults.

440

441 In Europe, estimated daily BPA intake for infants and toddlers is 0.875 µg/kg bw/day. Adult men and
442 women of childbearing age have estimated exposures of 0.388 µg/kg bw/day, and the highest exposure of
443 1.449 µg/kg bw/day is for adolescents (EFSA 2015). In New Zealand, BPA accounts for 34% of estrogenic
444 exposure in the diet, and estimated intakes are 4.1-4.8 µg/day (Vandenberg et al. 2007).

445

446 Estimated human exposure levels given above are greater than levels that have caused adverse reactions in
447 animals (Richter et al. 2007; Vandenberg et al. 2007; vom Saal et al. 2007; Wetherhill et al. 2007; Vandenberg
448 et al. 2010; Calafat et al. 2008). Adverse effects in animals have been seen at doses ranging from 0.025 to 50
449 µg/kg bw/day. Effects on prostate, breasts and ovaries, early puberty, changes in brain structure and
450 behavior, decline in testosterone, and neurological effects have been seen in animals (NTP 2008; vom Saal
451 and Hughes 2005; Markey et al. 2005; Munoz-de-Toro et al. 2005; Newbold et al. 2009; Honma et al. 2002;
452 Akingbemi et al. 2004; Murray et al. 2007; Ho et al. 2006; Palanza et al. 2002; Kubo et al. 2003; Leranthe et al.
453 2008).

454

455 **Metabolism of BPA following exposure**

456 When humans are exposed to BPA, it is mostly metabolized by the liver and excreted in the urine.
457 Metabolites are the glucuronide and the sulfate. (In this section, unmetabolized BPA is called "BPA" or
458 "free BPA", and the metabolites are called "metabolites" or are specifically identified by name, while total
459 BPA refers to the metabolites and free BPA collectively.) Both free and metabolized BPA have been found
460 in blood and in urine. Humans excrete BPA metabolites relatively slowly, with a half-life of about 5.4
461 hours, but some are still present after 30 hours (Dekant and Volkel 2008; Vandenberg et al. 2007; 2010;
462 Corbel et al. 2015). The BPA metabolites are generally thought to be biologically inactive (Snyder et al.
463 2000; Matthews et al. 2001; Zalko et al. 2003).

464

465 Urinary BPA has been detected in 93% of the U.S. population. Most of the BPA present in urine is in the
466 form of the glucuronide or sulfate metabolites, but free BPA has also been found. Average total BPA for
467 men is 1.63 ng/ml, and for women it is 1.12 ng/ml (Calafat et al. 2008). One study administered BPA at
468 25µg/kg, and after 5 hrs the urine contained 1.14 ng/ml of free BPA and 10.1 ng/ml of glucuronide (Volkel
469 et al. 2005; Vandenberg et al. 2007). When 84 volunteers ate canned soup, total BPA concentrations (free

470 BPA plus metabolites) in their urine increased 1000% (Carwile et al. 2011). Free BPA has been found in
471 human serum at 0.2 to 20 ng/ml, and the average is about 0.2 ng/ml (Vandenberg et al. 2007). The
472 National Toxicology Program found blood levels in pregnant women ranged from 0.5 to 22.4 ng/ml, with a
473 mean of 5.9 ng/ml (NTP 2008).

474
475 Vandenberg et al. (2007 and 2010) reviewed the BPA exposure data and found that the highest BPA levels
476 were found in the placenta. Average free BPA in placenta was 11.2 ng/g, with an upper level of 104.9 ng/g.
477 Free BPA was found to cross the placenta into the fetus. Concentrations of 8.3 ng/ml were measured in
478 amniotic fluid at 15-18 weeks. Measurements of amniotic fluid, maternal and fetal serum and placenta
479 show fetuses could be exposed to 1-3 ng/ml of biologically active BPA. Free BPA in breast milk averaged
480 0.4 ng/ml, and average total BPA was 1.1 ng/ml. (Vandenberg et al. 2007; Vandenberg et al. 2010; Dekant
481 and Volkel 2008). (*Exposures are discussed further under Human Kinetics below.*)

482 483 **Regulatory History of BPA**

484 Increased exposure to BPA led to regulatory scrutiny. The 1958 version of the Federal Food, Drug, and
485 Cosmetic Act established regulation of chemical hazards in food. If a substance was determined to be
486 carcinogenic, no amount was allowed. If toxic, but not carcinogenic, the law allowed thresholds to be
487 established below which the chemical was thought to be safe (Vogel 2009).

488
489 The National Toxicology Program (NTP) is part of the National Institute of Environmental Health Sciences,
490 which in turn is part of the National Institutes of Health within the U.S. Department of Health and Human
491 Services. The NTP does research on toxins and carcinogens that might cause health problems in the U.S.
492 The NTP published an assessment of BPA carcinogenicity in 1982 and found there was “no convincing
493 evidence” of carcinogenicity (NTP 1982). Since it was not a likely carcinogen, toxic thresholds could be
494 defined, and in 1986 the EPA set the toxic threshold at 50 µg/kg of body weight per day. Exposures below
495 this threshold were considered to be safe. When this was published, the EPA knew that BPA was
496 estrogenic, but because it was metabolized quickly BPA was not thought to be a hazard (Vogel 2009).

497
498 BPA can potentially cause problems below the toxic threshold through endocrine disruption (Vogel 2009;
499 Dodds and Lawson 1936). Endocrine disruption by chemicals was first formally recognized and defined at
500 the Wingspread Conference in Wisconsin in 1991. Before then, there was a history of estrogenic chemicals
501 in the environment and in wildlife (Vogel 2009; Colborn et al. 1996; Vandenberg et al. 2014b).

502
503 In 1993, Stanford researchers observed that BPA leaching from polycarbonate flasks in their laboratory
504 caused estrogenic effects in breast cancer cells (Krishnan et al. 1993). BPA was tested for estrogenic activity
505 in mice at doses lower than the toxic threshold in 1997. BPA was known to be estrogenic, but the effects
506 were thought to be weak. BPA was a stronger estrogen than expected in these tests, and increased prostate
507 weights were found in the mice that were tested (Vogel 2009; vom Saal et al. 1997; vom Saal et al. 1998;
508 Nagel et al. 1997).

509
510 Adverse effects below the toxic threshold prompted NTP to call for a new testing paradigm in 2002
511 (Melnick et al. 2002). This suggestion was met with controversy. Some researchers, including researchers
512 from the chemical industry, supported a “weight of evidence evaluation.” They believed that only
513 experiments involving a large number of animals, a wide distribution of doses, and following Good
514 Laboratory Practices (GLP) should be considered reliable or valid. Also, they advocated that oral doses
515 should be the preferred route of administration, as this most closely represents human dietary exposure
516 (Vogel 2009). More information on the “weight of evidence evaluation” and GLP is provided below.

517 518 *Good Laboratory Practices*

519 GLP are a set of principles instituted to provide a reliable framework for experiments. Much of GLP is
520 concerned with animal treatment, documentation, and other housekeeping parameters. GLP experiments
521 emphasize large numbers of animals, and testing of several dose levels. GLP experiments are often
522 characterized by classic macroscopic endpoints such as organ damage and tumor formation (Myers 2009ab;
523 Vogel 2009; Tyl 2009).

524

525 Regulatory experiments use large numbers of animals, and oral doses are preferred. Oral doses are thought
526 to be more relevant to human exposures of BPA, since exposure is mostly through the diet. However,
527 dermal and inhalation exposure is also likely (Vandenberg et al. 2013bc).
528

529 Oral doses are more easily deactivated than injected, dermal, or inhaled doses, and can give lower
530 estimates of harm. Animals have considerable individual variation, so results will vary from animal to
531 animal. Large numbers of animals minimize the effects of individual variation (Tyl 2009).
532

533 *Weight of the Evidence*

534 If the weight of the evidence evaluation, as mentioned above, is used, a large number of studies can be
535 rejected. This evaluation protocol was followed by a Harvard study funded by the American Plastics
536 Council in 2004 that found BPA to be safe at doses below the toxic threshold. Most emphasis was placed on
537 a couple of industry studies that some have criticized as flawed (Gray et al. 2004; Vom Saal and Hughes
538 2005; Vogel 2009).
539

540 Another study funded by the American Plastics Council and published by the Gradient Corporation in
541 2006 also found no negative effects from BPA at low doses. Experiments with non-oral doses were rejected
542 for this report, and most weight was given to industry sponsored studies that followed GLP (Goodman et
543 al. 2009; Vogel 2009).
544

545 Bias was suspected in these industry studies because, of the 115 studies on BPA that had been completed to
546 this time, those funded by the government (90%) found potential problems with BPA, while 11 industry
547 funded studies (10%) found BPA to be safe (Vom Saal and Hughes 2005; Vogel 2009).
548

549 In August of 2008, the FDA released a draft assessment that found BPA to be safe at doses normally
550 encountered. Emphasis was placed on two industry-generated GLP studies, and hundreds of experiments
551 that were not GLP were excluded (USFDA 2008a). An FDA Science Board Subcommittee reviewed this
552 study and disagreed with the exclusion of hundreds of low dose BPA studies (USFDA 2008b; Vogel 2009).
553

554 FDA expressed concern about BPA in 2010 (USFDA 2010), and followed with literature reviews in 2011
555 (USFDA 2011) and in 2012 (USFDA 2012a). On July 12, 2012, the FDA amended food additive regulations
556 to no longer provide for the use of polycarbonate resins in baby bottles and sippy cups. Polycarbonate
557 resins are a source of BPA (USFDA 2012b). Also, FDA amended food additive regulations to no longer
558 provide for the use of BPA based epoxy resins in baby formula packaging on July 12, 2013. This action was
559 in response to a petition by Congressman Edmund Markey claiming that this use had been abandoned by
560 industry (USFDA 2013).
561

562 Another literature review was completed by FDA in 2014 (USFDA 2014a), and a Final Report was issued
563 the same year (USDA 2014b). The latest evaluation in 2014 could find no convincing evidence of a human
564 safety problem below the toxic threshold (USFDA 2014b). However, three endpoints were identified as
565 potential hazards: "developmental neurotoxicity related to molecular or neuroanatomical endpoints with
566 varying routes of administration, cardiovascular disease-related factors based on human epidemiology
567 studies, and sperm/testicular/hormone related factors based on very limited supporting animal data"
568 (FDA 2014ab).
569

570 In Europe, the European Food Safety Authority (EFSA 2015) made a safety assessment entirely based on
571 probable human exposures and the ADI (Acceptable Daily Intake). The ADI previously had been deduced
572 based on the Lowest Observed Adverse Effect Level (LOAEL) 50 mg/kg bw/day and No Observed
573 Adverse Effect Level (NOAEL) 5 mg/kg bw/day. These gave an ADI or Reference Dose of 50 µg/kg
574 bw/day. Based on the latest information, EFSA (2015) recommended a lower ADI of 4 µg/kg bw/day.
575

576 *Methodology of FDA Toxic Threshold*

577 The FDA maintains that exposures to BPA below the toxic threshold are safe. The toxic threshold was
578 established using rodent experiments that followed FDA regulatory guidelines and GLP. Classical
579 regulatory testing involves large numbers of rodents, and several different and increasing oral doses. The

580 dose is increased until an adverse effect is noted. Endpoints measured are organ damage, tumor formation,
581 low birth weights, visible birth defects, and other mostly macroscopic outcomes. Biochemical
582 measurements, such as levels of cholesterol, serum enzymes and others are made if there are known
583 associations to adverse endpoints (Tyl 2009).

584
585 Doses are extrapolated to the lowest dose where visible effects occur, the Lowest Observed Adverse Effect
586 Level (LOAEL) or the No Observed Adverse Effect Level (NOAEL). Division of this dose by a safety factor
587 of 100 or 1000 gives the Reference Dose (RfD) or the Acceptable Daily Intake (ADI). Daily doses below the
588 RfD or ADI are presumed to be safe for humans (Tyl 2009; USFDA 2014a; USFDA 2017). The BPA LOAEL
589 for reproductive effects is 50 mg/kg bw/day. The NOAEL for systemic effects is 5 mg/kg bw/day. The
590 RfD is the same as the ADI, 50 µg/kg bw/day (USFDA 2017).

591
592 *Two Different Testing Paradigms*

593 BPA has become a case in point that reflects the conflict between two very different safety testing
594 paradigms. Classical toxicologists believe that increasing the dose will increase the measured effect over all
595 ranges of concentrations. In other words, they expect that doses and effects follow a monotonic and often
596 linear trend. There is then a lower threshold below which the dose has no effect (Vandenberg et al. 2009).

597
598 However, many endocrinologists follow another paradigm. Hormones and endocrine disruptors exert
599 effects at very low doses, and there may be no detectable effect at all at high doses (Vandenberg et al. 2012;
600 Zoeller et al. 2012). Endocrine disruption may not follow a monotonic response. A monotonic response
601 occurs when effects observed increase continuously as the dose administered increases. High doses
602 produce greater effects than low doses. Endocrine disruptors bind to receptors to cause a response, and
603 binding to receptors may not be a monotonic response to concentration. Effects triggered by the receptor
604 may not be a monotonic response of percent binding sites occupied. High doses may not show an effect,
605 but effects might be seen at very low doses (Welshons et al. 2003; Vandenberg et al. 2012; 2014ab).

606
607 To determine safety, FDA regulators follow weight of the evidence evaluations. Some experiments are
608 given greater weight than others when a regulatory decision is made. For BPA, regulators rely extensively
609 on a few rodent experiments that follow regulatory guidelines and GLP. They give low weight to tissue
610 culture experiments, epidemiology, and animal experiments that do not meet GLP guidelines. FDA
611 regulators also attach great importance to kinetic experiments that show BPA is quickly metabolized
612 (USFDA 2014a; USFDA 2017; EFSA 2015; Volkel et al. 2002; 2005; 2008).

613
614 **Adverse Effects at Low Doses**

615 Hundreds of peer reviewed animal experiments, in vitro experiments and epidemiological studies suggest
616 that BPA has adverse health effects in humans at doses below the FDA toxic threshold. BPA could cause
617 problems at low doses because it is an endocrine disruptor and acts like a natural hormone (Vandenberg et
618 al. 2014b).

619
620 BPA levels in humans have been associated with an array of human health problems, such as obesity, type
621 2 diabetes, neurobehavioral problems such as ADHD, increases in prostate and breast cancer, early onset of
622 puberty in girls, male genital abnormalities, cardiovascular disease, hypertension, reduced sperm quality,
623 altered hormone concentrations, and other problems (Rochester 2013). Experiments with animals support
624 the epidemiological results (Richter et al. 2007; Vandenberg et al. 2010).

625
626 In a 2008 review, the Center for the Evaluation of Risks to Human Reproduction (CERHR), which is part of
627 the National Toxicology Program, found that "The NTP has some concern for effects on the brain,
628 behavior, and prostate gland in fetuses, infants, and children at current human exposures to bisphenol A,"
629 and "the possibility that bisphenol A may alter human development cannot be dismissed" (NTP 2008;
630 Vogel 2009).

631
632 A review of the low dose effects of BPA was published by The National Institute of Environmental Health
633 Sciences in 2007 (vom Saal et al. 2007) The review, which was called the Chapel Hill Consensus, found
634 adverse effects in animals at concentrations known to occur in humans. They found that BPA in the tests

635 was associated with organizational changes in the prostate, breast, testis, mammary glands, body size,
636 brain structure and chemistry, and in the behavior of laboratory animals (Richter et al. 2007, vom Saal et al.
637 2007; Vogel 2009). The Chapel Hill Consensus and the report from CERHR were both taken very seriously
638 and generated much of the initial concern about exposure to BPA. Later epidemiological studies also
639 generated concern (Lang et al. 2008; Braun et al. 2009; Braun et al. 2011, Rochester 2013).

640

641 Possible adverse effects of BPA in humans were established by animal experiments, tissue culture
642 experiments, and by results of epidemiology studies in humans (Wetherill et al. 2007; Crain et al. 2007;
643 Rochester 2013). These studies are further discussed below.

644

645 **Criteria for Valid and Reliable Animal Experiments**

646 An experiment does not have to follow GLP to be considered valid. Put simply, an experiment is
647 considered valid if it measures what it was intended to measure, and is considered reliable if repetition
648 gives the same results. To be useful, animal experiments should be both valid and reliable. Another
649 requirement of a useful experiment is that the results should be relevant. Sometimes, formal criteria are
650 established to make sure an experiment is useful (Myers et al. 2009a; Richter et al. 2007).

651

652 The low dose experiments that showed adverse effects of BPA were reported in peer reviewed
653 publications. To be considered valid, the experiments met a number of criteria. As mentioned above, the
654 Center for the Evaluation of Risks to Human Reproduction (CERHR), which is part of the NTP, found “The
655 NTP has some concern for effects on the brain, behavior, and prostate gland in fetuses, infants, and
656 children at current human exposures to bisphenol A,” and “the possibility that bisphenol A may alter
657 human development cannot be dismissed” (NTP 2008; Vogel 2009).

658

659 *Criteria used by the National Toxicology Program (NTP)*

660 Criteria used by the NTP in evaluating the validity of BPA animal experiments included the use of large
661 enough sample sizes, statistical control for litter effects, and the use of positive controls. Positive controls
662 for BPA are estrogenic compounds such as estradiol that are administered to show the experiment is
663 sensitive enough to find an effect (NTP 2008). Positive controls, use of sensitive species, and care to avoid
664 environmental contamination from food, bedding, and cages were suggested by an earlier NTP review in
665 2002 (Melnick et al. 2002).

666

667 In the NTP review, observations at more than one dose level were given higher weight. Greater weight was
668 given to experiments that established a linkage between an experimental result and an adverse health
669 effect. Oral doses were preferred in mature rodents, but injection experiments were accepted for fetal and
670 neonatal rats. Since fetal and neonatal rats cannot easily metabolize oral doses, blood levels from oral doses
671 and injections are similar (Taylor et al. 2008). Reproducible experiments were emphasized. In instances of
672 difficult interpretation, NTP gave weight to supporting data “at the mechanistic, cellular or tissue level”
673 (NTP 2008).

674

675 *Criteria used by the Chapel Hill Consensus*

676 The Chapel Hill Consensus found adverse effects in animals at concentrations known to occur in humans.
677 Experiments were considered valid if they met a number of criteria, and many criteria were similar to those
678 used by the NTP. Suggestions included the use of a sensitive animal strain such as the CD-1 mouse, and
679 the use of a positive control. Estradiol was considered the best positive control for injection, and DES or
680 ethinylestradiol for oral administration. Animal feed should be exactly specified, and drinking water and
681 cages should be verified free of BPA. The exact method of dosing should be specified. Standard animal
682 handling procedures had to be followed (Richter et al. 2007). These criteria and those of Vom Saal and
683 Welshons (2006) and Welshons et al. (2006) are used below to evaluate the GLP experiments used by the
684 FDA.

685

686 **Criticism of GLP Low Dose Studies**

687 An experiment following GLP can still be considered flawed. Problems can be identified using the criteria
688 developed by the National Toxicology Program and the Chapel Hill Consensus.

689

690 Cagen et al. (1999) and Ashby et al. (1999) used diethylstilbestrol (DES) as the positive control but were not
691 able to detect any effect of DES. According to the NTP criteria described above, these experiments have no
692 validity due to lack of sensitivity. Ema et al. (2001) and Tyl et al. (2002) used the Charles River, Sprague
693 Dawley (CD-SD) rat, which has low sensitivity to estrogens such as ethinylestradiol. According to NTP
694 criteria, these experiments should be rejected (Myers et al. 2009a; vom Saal and Hughes 2005; Welshons et
695 al. 2006; Vandenberg 2014b).

696
697 Tyl et al. (2008ab) used the CD-1 mouse, which should have been sensitive to low doses of the estradiol
698 positive control. But the mice in these experiments were relatively insensitive to estradiol. According to the
699 NTP criteria, Tyl 2008ab did not have a sensitive enough assay system. One possibility might have been
700 that the rat chow contained phytoestrogens that interfered with the assay (Myers et al. 2009a; NTP 2008).

701
702 Another criticism of Tyl et al. 2008ab is that the endpoints that were measured were not relevant to the
703 BPA literature. "Although findings regarding brain structure, brain chemistry, and behavior represent the
704 largest portion of the literature on low-dose BPA, Tyl et al. (2008a) did not examine any neurobehavioral
705 endpoints" (Myers et al. 2009a). Tyl et al. (2008ab) measured macroscopic tissue endpoints such as "wet
706 weight changes of tissues, gross histologic changes, and developmental landmarks such as vaginal
707 opening" (Myers et al. 2009a). However, many of the low dose BPA endpoints are not macroscopic (Myers
708 et al. 2009a).

709 710 **Response to Criticism**

711 As mentioned above, major criticisms of the aforementioned GLP low dose studies were no positive
712 controls (Tyl et al. 2002), large doses of positive controls needed to produce an effect (Tyl 2008ab), use of
713 insensitive species (Tyl et al. 2002), no measurement of neurobehavioral endpoints (Tyl et al. 2008a), and
714 use of outdated techniques (Tyl et al. 2008ab). Tyl (2009) responded that "a positive control group is neither
715 required nor routinely employed in guideline-compliant studies." Large doses of estradiol (Tyl et al.
716 2008ab) needed to produce an effect were explained by difficulty with oral dosing. The lack of
717 neurobehavioral endpoints was explained by stating that "guideline compliant studies must use
718 appropriate routes and validated endpoints to detect adverse outcomes, for example, changes in survival,
719 growth and/or development, body and/or organ weights, histopathology, and systemic and reproductive
720 organ function." Response to the use of outdated techniques was "risk relevant guidelines...do not include
721 unvalidated, cutting edge techniques because they are required to use validated endpoints and
722 parameters..." (Tyl 2009).

723
724 Later GLP experiments accepted as valid by the FDA were Delclos et al. (2014) and Churchwell et al.
725 (2014). Delclos et al. (2014) used the NCTR Sprague Dawley rat, which should have been sensitive to BPA,
726 and they used positive estrogenic controls (ethinyl estradiol), and made a good faith effort to exclude
727 environmental sources of BPA. They had both naïve controls and those for the dose solvent (vehicle).
728 Nevertheless, "BPA glucuronide was detected in the serum of vehicle and naïve control animals at levels
729 similar those detected in treated animals dosed with 2.5 µg BPA/kg bw/day." These results were
730 established by Churchwell et al. (2014) who did quality control for the experiment. Since controls were
731 exposed to BPA at the same levels as treated animals at low doses, meaningful conclusions about low dose
732 effects cannot be made (Hunt et al. 2014). A lack of meaningful conclusions may indicate that the
733 experiment is flawed. Differences between controls and the treated group would not be detectable (Hunt et
734 al. 2014).

735 736 **High Dose Animal Experiments**

737 The literature agrees that BPA can be toxic at high doses. Doses of 500 mg/kg bw/day in rats or 875
738 mg/kg bw/day in mice lead to fetal death, decreased litter size, and decreased numbers of live pups per
739 litter. Doses of 300 mg/kg bw/day in rats lead to reduced growth. Doses of 50 mg/kg bw/day in male and
740 female rats lead to delayed puberty (NTP 2008).

741 742 **Low Dose Animal Experiments**

743 Animal experiments can provide a good estimate of effects. Also, animal experiments possess a profile that
744 includes detoxification and elimination. Weaknesses are that animals are not perfect models of humans.

745 For instance, in the case of BPA, humans convert it to a glucuronide and eliminate it in urine. Rats
746 eliminate the glucuronide produced in feces (NTP 2008).

747
748 More than 275 animal experiments with well-characterized, valid criteria have registered the effects of BPA
749 doses below the FDA LOAEL of 50 mg/kg bw/day (Vandenberg et al. 2013c). More than 90% of these
750 studies suggest that BPA causes harm (Vom Saal and Hughes 2005). "A large number of these studies
751 include doses below the US EPA reference dose of 50 µg/kg/day and demonstrate effects even in this low
752 dose range" (Vandenberg et al. 2013c). These experiments found changes in liver enzymes, insulin
753 regulation, development processes and behavior, mammary gland changes, effects on ovaries and other
754 effects (Richter et al. 2007; Vandenberg et al. 2012; Vandenberg 2013a).

755
756 Adverse effects in animals have been seen at very low doses ranging from 0.025 to 50 µg/kg bw/day (*see*
757 *Human Exposure above*). Effects on prostate, breasts and ovaries, early puberty, changes in brain structure
758 and behavior, decline in testosterone, and neurological effects were seen. Humans can be exposed to BPA
759 at these levels. But several of these animal experiments used non-oral doses, and therefore are excluded
760 from regulatory evaluations (NTP 2008; vom Saal and Hughes 2005; Tyl 2009).

761 762 **Tissue Culture Experiments**

763 Tissue cultures allow direct assessments of molecular parameters such as receptor binding and gene
764 expression. However, interpretation of results in terms of whole organisms is sometimes difficult because
765 tissue culture experiments always give worst case results. Tissue cultures are not protected by the
766 biological mechanisms available in the intact animal, such as metabolic inactivation by the liver and cell
767 repair (Wetherill et al. 2007; Tyl 2009).

768
769 Molecular and cell culture approaches such as gene transcription and receptor activation predict what
770 might happen to these cells in an intact organism. These approaches are not usually included in a
771 regulatory evaluation because they are not easily associated with an adverse outcome in an intact animal
772 (Tyl 2009).

773
774 The regulatory in vivo threshold of 50 µg/kg bw translates to an in vitro BPA concentration of about 10⁻⁷
775 molar, or <50 ng/ml (ppb or parts per billion). Tissue culture effects have been noted at concentrations
776 100,000 times lower, at 10⁻¹² molar or 0.23 ppt (parts per trillion) (vom Saal and Hughes 2005).

777
778 BPA mimics the effects of estrogen, and BPA binds to estrogen receptors ER(alpha) and ER(beta), with 10X
779 higher affinity for the latter. It is less active than estrogen on nuclear receptors, but it can also bind to
780 membrane receptors, producing "non-genomic steroidal responses" with potency similar to estrogen
781 (Wetherill et al. 2007).

782
783 BPA also performs actions at the androgen receptor, and may act as an androgen antagonist. BPA may
784 inhibit aromatase, which converts testosterone to estradiol. In Leydig cells of the testicle, 0.1 nM
785 (nanomolar) BPA can reduce testosterone biosynthesis by 25%. It also binds to estrogen related receptor
786 gamma (ERR-gamma). BPA can bind to the thyroid hormone receptor, and may have effects on thyroid
787 function. It can alter dopamine responses in neural cells (NTP 2008; Wetherill et al. 2007; Vandenberg
788 2013a).

789
790 A "non-classical membrane ER" in pancreas cells is affected by BPA, leading to changes in glucose
791 metabolism. BPA may affect immune cells, modulating immune and inflammatory responses. Wetherill et
792 al. (2007) states, "it is possible that long term exposure to BPA might significantly affect the innate
793 immunity in humans." BPA can affect IL-4, a pro-inflammatory cytokine associated with allergic responses
794 (Wetherill et al. 2007).

795 796 **Epidemiology**

797 Human epidemiology studies are done by measuring urine or blood concentrations of BPA in humans, and
798 relating the measurements to possible adverse effects. The strength of epidemiology is that results are
799 directly applicable to humans. The weaknesses include small samples, information that may rely on recall

800 that leads to recall bias, confounding parameters, and general complexity that defies simple interpretation.
801 Epidemiology studies also just establish associations between exposures and adverse effects. They do not
802 prove causation (Rochester 2013).

803
804 Rochester (2013) reviewed 91 studies on adverse effects of BPA in humans. Six studies found associations
805 with diabetes, seven showed cardiovascular effects, 10 showed an association with obesity, nine showed
806 neurobehavioral effects, and two studies found an association with asthma. Nine studies found an
807 association between maternal exposure during pregnancy and adverse endpoints measured in offspring.
808 Timing of exposure was critical in three studies, and postnatal exposures led to adverse effects in three
809 studies.

810
811 Rochester (2013) found that “recent human studies indicate that BPA exposure in adults may be associated
812 with reduced ovarian response and IVF success, reduced fertilization success and embryo quality,
813 implantation failure, miscarriage, premature delivery, reduced male sexual function, reduced sperm
814 quality, altered sex hormone concentrations, PCOS [polycystic ovary syndrome], altered thyroid hormone
815 concentrations, blunted immune function, type-2 diabetes, cardiovascular disease (i.e., heart disease,
816 hypertension, and cholesterol levels), altered liver function, obesity, albuminuria, oxidative stress and
817 inflammation, and altered epigenetic markers and gene expression.” The findings also suggest that
818 “exposure to BPA during gestation could result in increased spontaneous abortion, abnormal gestation
819 time, reduced birth weight, increased male genital abnormalities, and childhood obesity” (Rochester 2013).
820 “Particularly strong are the associations between early BPA exposure and altered behavior and disrupted
821 neurodevelopment in children, as well as increased probability of childhood wheeze and asthma”
822 (Rochester 2013).

823
824 Many of these findings from Rochester (2013) had been noted in earlier reviews (NTP 2008; Braun et al.
825 2011; Lang et al. 2008; Trasande et al. 2012; Spanier et al. 2012). The association between BPA exposure and
826 anxiety, depression, aggression and hyperactivity in children was supported by a later review (Ejaredar et
827 al. 2017). The association with diabetes was also supported in other studies (Sowlat et al. 2016). A later
828 study also showed that BPA could interfere with circulating levels of vitamin D in humans (Johns et al.
829 2016).

830
831 The adverse effects found by Rochester (2013) are also supported by similar adverse findings from in vitro
832 experiments and in vivo animal studies at environmentally relevant doses (Richter et al. 2007; Bonafel-
833 Jorgensen et al. 2007; Moriyama et al. 2002; vom Saal et al. 2007; Fernandez et al. 2010; Signorile et al. 2010;
834 Soto et al. 2008; Miyawaki et al. 2007; Xu et al. 2002; Toyama et al. 2004; Berger et al. 2008; Chitra et al. 2003;
835 Takao et al. 1999; Alonso-Magdalenita et al. 2010; Benachour et al. 2009; Rubin et al. 2001; Rubin et al. 2009;
836 Palanza et al. 2008; Masuno et al. 2005).

837 838 **Human Kinetics**

839 Regulators give weight to kinetic experiments (study of absorption, distribution, metabolism, and excretion
840 of substance) that show that oral doses in humans are quickly deactivated (FDA 2014ab; EFSA 2015; Volkel
841 et al. 2002; 2005). In healthy adult humans, oral doses of BPA are absorbed by the intestine, and are mostly
842 inactivated (99%) on the first pass through the liver. BPA is mostly metabolized to the metabolite
843 glucuronide, but a sulfate and other metabolites can be produced. Metabolites are eliminated through the
844 urine, but the metabolites clear relatively slowly. The half-life of glucuronide in human blood is about 5.4
845 hours, but some is still present after 30 hours (Volkel et al. 2002; Vandenberg et al. 2013a). The glucuronide
846 metabolite of BPA is not active in estrogenic assays (Snyder et al. 2000; Matthews et al. 2000; Zalko et al
847 2003).

848
849 Doses from inhalation or dermal absorption reach the liver more slowly, and BPA is inactivated more
850 slowly through these routes. Experiments with dogs show that sublingual absorption might lead to higher
851 levels of free BPA than the oral route through the esophagus (Gayrard et al. 2013).

852
853 Infants have immature livers that are not as efficient as adult livers (Vandenberg et al. 2010; 2013a).
854 Toxicokinetics have not been measured in human infants, but metabolism of BPA in neonatal rats is slower

855 than in adult rats with both oral and non-oral routes of administration. Oral and intravenous doses are
856 inactivated at about the same rate. Slow neonatal metabolism might also be the case for human infants
857 (Taylor et al. 2008). However, inactivation kinetics of oral doses in monkeys are about the same for adults
858 and neonates (Doerge et al. 2010).

859

860 **Human Experiments**

861 A Volkel et al. (2002) experiment administered BPA to nine human volunteers. They gave a dose of 5 mg
862 BPA 54-90 µg/kg to six people, three men and three women. One of the males and three other males were
863 also given another dose to characterize the kinetics. Doses of BPA were quickly, but not instantaneously
864 inactivated, producing maximum blood levels of the glucuronide metabolite in about 80 minutes. Free BPA
865 levels remained below limits of detection (LOD) in blood (2.28 ng/ml; ppb) and urine (1.37 ng/ml; ppb).
866 The possibility of biological activity at these levels was not discussed, but tissue culture effects have been
867 seen at concentrations more than 1000 times lower (0.23 ppt) (Vom Saal and Hughes 2005).

868

869 Critics of the Volkel et al. (2002) article questioned its reliability (80 min and 240 min were both given as
870 times of maximum total BPA blood concentration) (Vandenberg et al. 2010). Many were concerned about a
871 big jump involved in extrapolating the effects from nine individuals to the entire U.S. population. Sick
872 people with bad livers and the elderly may also have slower metabolism. Also, Volkel et al. (2002)
873 administered one acute dose, whereas human environmental exposure is continuous. The kinetics of one
874 dose may not be representative of continuous exposure kinetics and steady state levels (Vandenberg et al.
875 2010).

876

877 Metabolic differences exist between male adults and female adults, fetuses and pregnant women. There is
878 evidence that the human fetus and the placenta may be able to convert the metabolite back to free BPA,
879 although apparently fetal monkeys do not produce free BPA from the conjugate (Ginsberg and Rice 2009;
880 Patterson et al. 2013).

881

882 Volkel et al. (2005) administered a smaller dose of BPA (25 µg; 0.28 to 0.43 µg/kg of body weight) to six
883 adults. The limit of detection for BPA was 1.14 ng/ml, and for the glucuronide it was 10.1 ng/ml. In three
884 men 85% of the dose was recovered in urine after 5 hrs, and in the three women 75% of the dose was
885 recovered after this time, mostly as the glucuronide. Urine levels of 1 ng/ml of free BPA were found in two
886 subjects. These subjects had estrogenic BPA in urine, and presumably plasma. Even in this small sample,
887 there were clear differences in BPA metabolism.

888

889 Kim et al. (2003) in an experiment with 30 humans found that men eliminated BPA mostly as the
890 glucuronide, with urine concentrations of 2.34 ng/ml, while urine glucuronide concentrations for women
891 were 1.0 ng/ml. BPA in women was metabolized mostly as the sulfate (1.20 ng/ml), while in men the
892 sulfate levels were 0.49 ng/ml.

893

894 Even if BPA is inactivated quickly in humans, there may still be time for it to exert an adverse effect. Bae et
895 al. (2015) gave 60 participants over 60 years old beverages that were packaged either in glass bottles or in
896 cans. Urinary BPA concentrations from consumption of beverages in cans were >1600% greater than from
897 glass. Canned beverages caused an average 4.5 mm Hg increase of systolic blood pressure compared to
898 beverages in glass, and the difference was statistically significant. Either BPA caused this increase, or a co-
899 contaminant linked to BPA concentration was responsible.

900

901 Since several studies suggest that adult women and men may metabolize BPA differently than pregnant
902 women and children, the Volkel experiments should be extrapolated with caution to these vulnerable
903 populations (Vandenberg et al. 2010).

904

905 **Free BPA in Blood and Urine**

906 Free BPA in blood and urine is important because it means tissues have been exposed to estrogenic
907 activity. Despite the failure of Volkel et al. (2002; 2005) to find free BPA in blood, and calculations of
908 Teeguarden et al. (2013) based on Fisher et al. (2011) that show little to no free BPA in blood, other studies
909 have shown positive results for free BPA in blood and/or urine.

910
911 Vandenberg et al. (2010) reviewed more than 80 human biomonitoring studies in children, adolescents and
912 adults that showed free BPA in blood (ng/ml) and urine, or conjugated BPA in urine (ng/ml). BPA was
913 analyzed in blood and urine by gas chromatography or liquid chromatography (HPLC) coupled to a mass
914 spectrometer. Enzyme linked immunoassay (ELISA) methods were considered less reliable (Dekant and
915 Volkel 2008; Calafat et al. 2008; Calafat et al. 2005). At least 17 studies found free BPA in blood. Means
916 ranged from 0.33 to 2.5 ng/ml (Vandenberg et al. 2010). “The overall consensus that can be determined
917 from blood sampling of healthy adults, adults with certain diseases, pregnant women, and fetuses is that
918 internal exposures to unconjugated BPA are in the range of 0.5-10 ng/ml, with most studies suggesting an
919 average internal exposure of approximately 1-3 ng/ml” (Vandenberg et al. 2007).

920
921 Several studies have found free BPA in urine (Calafat et al. 2009; Kim et al. 2003; Volkel et al. 2008; Dekant
922 and Volkel 2008; and others). Since oral doses are converted to the glucuronide, free BPA in urine suggests
923 non-oral exposure or deconjugation reactions in the body have occurred (Vandenberg et al. 2010).

924
925 An experiment often cited, Calafat et al. (2008), measured total BPA (free BPA plus metabolites) in the
926 urine of 2,517 people in the U.S. older than 6 years old. About 92.6% had total BPA in their urine at levels
927 ranging from 0.4 to 149 ng/ml (with a mean of 2.6 ng/ml). Children 6-11 had mean levels of 3.6 ng/ml and
928 adolescents 12-19 had levels of 3.7 ng/ml. Calafat et al. 2009 found conjugated BPA in urine of 41
929 premature babies at mean levels (30.3 ng/ml) that were 11 times higher than the mean for the general
930 population (2.6 ng/ml). Free BPA was found at levels of 1.8 ng/ml. Kinetic models have been used to
931 calculate BPA exposures from urine concentrations, producing estimates ranging from 0.002 to 71.4 µg/kg
932 bw/day (Vandenberg et al. 2010).

933
934 In biomonitoring studies such as these, free BPA found in blood and urine might be due to non-enzymatic
935 deconjugation during sample storage. Deconjugation can occur at room temperature in urine samples, but
936 BPA glucuronide in blood samples may be more stable than in urine samples (Dekant and Volkel 2008;
937 Vandenberg et al. 2010). In any BPA experiment, care must be taken to avoid contamination from BPA in
938 water, solvents, and equipment (Ye et al. 2013). Although deconjugation in stored samples is possible, it
939 stretches credibility to believe that all of the biomonitoring results are due to artifacts (Vandenberg et al.
940 2010).

941 942 **Conclusion**

943 U.S. regulatory agencies find that BPA is safe at doses below the reproductive toxic threshold of 50 mg/kg
944 bw/day LOAEL, the systemic toxic threshold 5 mg/kg bw/day, or the Reference dose or ADI of 50 µg/kg
945 bw/day. The European Food Safety Authority lowered their ADI to 4 µg/kg bw/day in 2015. These
946 thresholds are mostly based on a few GLP rodent studies and even fewer experiments showing quick
947 inactivation kinetics in humans through oral doses. There is reasonable doubt about the validity of the GLP
948 studies. Among the criticisms are estrogen insensitive test animals, no positive controls, and contaminated
949 control animals. There is also reasonable doubt about extrapolation of kinetic studies performed in nine
950 human subjects to the entire U.S. population. Regulatory findings have also disregarded possible non-
951 dietary exposures.

952
953 Biomonitoring studies find free BPA at levels in humans known to cause adverse effects in animals. The
954 regulatory findings tend to dismiss these results as caused by environmental contamination. More than 100
955 biomonitoring observations have been excluded because they do not agree with the few, small-sample
956 kinetic studies.

957
958 Hundreds of in vitro and in vivo experiments that meet well-regarded efficacy criteria have found adverse
959 effects in animals at levels below the toxic threshold. These are peer reviewed studies with a high
960 expectation of validity. Many of the animal experiments are perceived as low weight, or they have been
961 excluded from regulatory evaluation because non-oral doses were used. However, non-oral doses may be
962 valid for evaluation of adverse effects in infants, as their immature livers may detox both oral and non-oral
963 doses slowly.

964

965 Human kinetics for BPA in infants have not been measured. Immature rats show slow inactivation of BPA,
966 and monkey experiments show no difference between neonates and adults. Some environmental exposures
967 do not follow the oral kinetics results. Tissue culture experiments are excluded by some regulatory bodies
968 because they cannot easily be associated with known macroscopic adverse reactions. However, they can
969 give biological plausibility to effects seen in animals.

970
971 There are about 100 epidemiological studies showing adverse effects in humans. These are given low
972 weight by some because epidemiology may deal with small samples, information that may rely on recall
973 that leads to recall bias, and there may be confounding parameters and general complexity. However, the
974 volume of these reports is a body of evidence that should be considered.

975
976
977 **Evaluation Question #11: Describe any alternative practices that would make the use of the petitioned**
978 **substance unnecessary (7 U.S.C. § 6518 (m) (6)).**

979
980 Due to concerns about the safety of BPA, some food companies are transitioning to non-BPA products.
981 Canned foods containing BPA include several organic brands, and some of these companies are trying to
982 replace BPA with alternatives (Geller and Lunder 2015). Trasande (2014) has estimated that removing BPA
983 from food products would save the U.S. \$1.74 billion in BPA health-related costs.

984
985 In 2015, the Environmental Working Group surveyed 119 companies that produce 252 brands. They found
986 that 12% of the brands had replaced BPA in all products. About 14% had replaced BPA in some products.
987 About 31% still had BPA in all products, and 43% gave ambiguous responses. BPA has been removed from
988 many consumer plastic items, such as baby and toddler products, shopping bags, receipts, and frozen meal
989 trays, but finding replacements for metal can liners was found to be more difficult. Companies were not
990 specific about BPA can liner replacements, but many said vinyls, polyesters and oleoresins were the
991 alternatives (Geller and Lunder 2015). More recently, non-BPA epoxy and polyolefins have been
992 introduced. Satisfactory alternatives to BPA exist (Geueke 2016).

993
994 Canned food and beverages are packaged in either steel or aluminum cans. Most steel cans are coated with
995 tin to prevent corrosion and rust, but some are coated with chromium. Although fruit is sometimes
996 packaged in tin cans without a protective coating, most tin-coated, chromium-coated, or aluminum cans
997 need a protective layer on the inside to prevent deterioration of food quality. Ideal coatings should be
998 stable, protect the product, adhere to the can, and be flexible to maintain integrity if the can is bent or
999 mashed (Geueke 2016; LaKind 2013).

1000
1001 Historically, the first can coating was oleoresin, a natural mixture of an oil and a resin extracted from
1002 various plants, such as pine or balsam fir. Plastic coatings were introduced in the 1940s and 1950s. Coatings
1003 include epoxy, vinyl, phenolic, acrylic, polyester and polyolefin (Simal-Gandara 1999; Geueke 2016). Epoxy
1004 is the most satisfactory, and has been in general use since 1950. Vinyl coatings do not adhere well to metal,
1005 and are often applied as an additional coating on top of another polymer. Vinyl is stable to acidic and
1006 alkaline solutions, but it is not heat resistant. Phenolic resins can change the taste of the food, and are not
1007 used often. Acrylic is mostly applied as an external coating. Polyester adheres well but is not stable in
1008 acidic conditions. Polyolefins are satisfactory coatings that adhere well, are corrosion resistant, and do not
1009 change the taste of food (Simal-Gandara 1999; Geueke 2016).

1010
1011 BPA-free epoxy or a polyolefin are generally viewed as preferred alternatives. Other coatings such as
1012 polyester, vinyl, and oleoresin might be satisfactory for some uses. However, some consumers might resist
1013 polyvinyl because the vinyl chloride monomer is a possible carcinogen (California 2017a; Geueke 2016).
1014 Another approach is to use multiple coatings. BPA epoxy is applied to the can, and then a top coating of
1015 polyester is applied to prevent BPA migration into food. This latter approach has been used for cans in
1016 Japan and twist cap liners in the U.S. (Geueke 2016; Eden Foods 2017).

1017
1018 Glass, stainless steel, high density polyethylene (HDPE), polypropylene, polyphenylsulfone, polyethylene
1019 terephthalate (PET), and Tritan copolyester have been used to replace plastics containing BPA in baby

1020 bottles, sippy cups and infant formula applications (Breast Cancer Fund 2010; Kline and Ruhter 2012).
1021 More details on these alternative packaging materials and coatings are presented below.

1022
1023 **MCF-7 Test for Estrogenicity**

1024 Companies seeking to avoid the negative effects of BPA will look for alternatives that do not cause
1025 estrogenic problems. One standard test to screen for estrogenicity is the MCF-7 breast cancer proliferation
1026 test. Early work was done by Welshons et al. (2003), and the test was refined by George Bittner and
1027 associates (Yang et al. 2011; Yang et al. 2014; Bittner et al. 2014ab).

1028
1029 The test is described in Yang et al. (2011). Plastic materials or components are extracted with either salt
1030 water or ethanol or both. A number of dilutions of the extracts are then incubated with human breast
1031 cancer cells (MCF-7). Estrogenic materials react with estrogen receptors in the cells, activating genes for
1032 DNA transcription and cell proliferation. The amount of cell proliferation is a measure of estrogenic
1033 activity.

1034
1035 Extract activity was compared to activity of the positive control estradiol (E2) or to untreated controls of
1036 distilled water. Estrogenic activity measuring 15% of that of E2 was considered a positive response. If
1037 activity was detected, the extract was incubated with ICI, an antiestrogen. The compound was deemed
1038 estrogenic only if activity was suppressed by ICI.

1039
1040 At each dilution, the amount of estrogenic activity was defined as relative maximum %E2, or %RME2, the
1041 maximum amount of DNA transcription and cell proliferation caused by the chemical, divided by the
1042 amount of cell proliferation produced by estradiol at that concentration.

1043
1044 The MCF-7 cell proliferation test is well recognized, and the assay is used on a regular basis (NTP 2016;
1045 Soto et al. 2017). The Certi Chem version of the test used by Yang et al. (2011) could be expected to produce
1046 valid results for detection of estrogenic activity. Positive controls ensure that the test will detect estrogenic
1047 activity. Reaction with antiestrogen ensures that any non-estrogenic materials that might cause cell
1048 proliferation are eliminated (Yang et al. 2014).

1049
1050 The Certi Chem MCF-7 test was evaluated by Interagency Coordinating Committee on the Validation of
1051 Alternative Methods (ICCVAM) and National Toxicology Program (NTP) Interagency Center for the
1052 Evaluation of Alternate Toxicological Methods (NICEATAM 2012) (NTP 2016). Reproducibility of the assay
1053 was good at three laboratories. Accuracy of the agonist assay (estrogenic activity) was 100% at Certi Chem,
1054 94% at Hiyoshi Corporation, and 88% at Korea Food and Drug Administration (NICEATM 2012).

1055
1056 In a large experiment using the assay, Yang et al. (2011) bought a total of 455 plastic products, many of
1057 them designed for food, from various retailers over a three-year period. Some containers were empty,
1058 others contained food that was discarded, and the containers were washed with distilled water before
1059 testing. Both the empty and the filled containers gave about the same test result.

1060
1061 Some of the products tested represented resin types such as high density polyethylene (HDPE),
1062 polypropylene (PP), polycarbonate (PC) and others. Baby bottles, water bottles, rigid containers, flexible
1063 containers, plastic bags, and plastic wraps were tested.

1064
1065 Polycarbonate plastics and epoxy resins are made from polymerized BPA. A small amount of BPA does not
1066 polymerize, and traces of the monomer BPA leach into the contents of the containers. It was therefore not
1067 surprising to find that extracts of plastics such as polycarbonate that contained the estrogenic monomer
1068 BPA tested positive for estrogenic activity.

1069
1070 Yang et al. (2011) were surprised to find that about 72% of all the evaluated materials tested positive for
1071 estrogenic activity when extracted with either ethanol or saline solution. If the item was extracted with
1072 both solvents, 92% of the items tested positive for estrogenic activity. Wagner et al. (2009) also found that
1073 bottled water in polyethylene terephthalate (PET) and Tetra Pak™ contained more estrogenic materials
1074 than bottled water in glass.

1075
1076 When they tested at 1/100 dilution, saline extracts of polycarbonate bottles containing BPA had more
1077 estrogenic activity than bottles containing no BPA. However, when stressed by UV, autoclave or
1078 microwave, extracts of non-BPA bottles often tested with higher estrogenic activity than bottles containing
1079 BPA.

1080
1081 Since some of the plastics, such as polyethylene, have monomers that are not estrogenic, the authors
1082 believed that plastic additives, such as the antioxidant butylated hydroxyl anisole (BHA) might be
1083 estrogenic. Subsequent testing showed this to be true. The authors speculated that the detected estrogenic
1084 activity is due to phenolic molecule segments in the additives.

1085
1086 A wide range of unstressed plastics showed estrogenic activity. Autoclaving, irradiation with UV light and
1087 heating increased the release of estrogenic chemicals. For example, ethanol or saline extracts of an
1088 unstressed sample of HDPE showed no estrogenic activity, but HDPE showed 47% estrogenic activity
1089 (%RME2) when treated with UV, and then extracted with ethanol.

1090
1091 According to Yang et al. (2011), it is possible to make plastics free of estrogen activity. Polyethylene,
1092 polypropylene, copolymers of ethylene and propylene, and plastics constructed of cyclic olefin monomers
1093 without additives all tested negative for estrogenic activity. Unprocessed polyacrylamide also showed no
1094 estrogenic activity. The authors identified antioxidants and other additives that could be combined with
1095 these polymers to produce materials that do not leach estrogenic compounds.

1096
1097 Other researchers have criticized this publication, stating that estrogenic activity in cell cultures does not
1098 prove estrogenic activity in an animal or a human. Intact organisms have detoxification systems that
1099 protect them (Blake 2014).

1100
1101 Assessments of BPA alternatives may benefit from initial screening in the MCF-7 test. If the material is not
1102 estrogenic in this test, it is not likely estrogenic in an intact animal. If it is estrogenic in the MCF-7, further
1103 testing is necessary to confirm its safety in humans.

1104
1105 **Tritan™**
1106 One of the first replacements for BPA to enter the market was Tritan, a polyethylene terephthalate (PET)
1107 polyester. Bittner et al. (2014a) tested three Tritan resins using the MCF-7 test and in BG1Luc human cells,
1108 and found that Tritan was estrogenic in these tests. The Tritan resins tested were EX401, TX1001 and
1109 TX2001. Ethanol (100%) extracts and saline extracts of the unstressed resins were estrogenic. The resins also
1110 released estrogenic materials when stressed with ultraviolet light. One of the Tritan additives,
1111 triphenylphosphate (TPP), was estrogenic in the tests. TPP had been found estrogenic by other researchers
1112 (Kojima et al. 2013).

1113
1114 According to the authors, “our MCF-7 and BG1Luc assays demonstrate that extracts of four unstressed
1115 and/or stressed BPA-free thermoplastic resins, one PS [polystyrene] and three Tritan resins, release
1116 chemicals that can activate [Estrogen Receptor] ER-dependent cell signaling” (Bittner et al. 2014a). The
1117 BG1Luc assay has been validated by ICCVAM, and the MCF-7 assay gave valid results for estrogenic
1118 activity in ICCVAM tests (NTP 2016; NICEATM 2012). In other words, the resins tested released chemicals
1119 that activated estrogen receptors, producing estrogenic effects in validated human cell culture tests.
1120 Estrogenic chemicals cause BG1Luc4E2 human ovarian cancer cells to glow, and MCF-7WS8 human breast
1121 cancer cells to proliferate (Bittner et al. 2014a).

1122
1123 Contractors working for Tennessee Eastman, the company that manufactures Tritan, found that the three
1124 monomers used in Tritan production were not androgenic or estrogenic in their assays (Osmitz et al. 2012).
1125 Tritan monomers had negative estrogenic activity in the uterotrophic assay, and negative androgenic
1126 activity in the Hershberger assay. These assays have been validated by ICCVAM (NTP 2016). According to
1127 Bittner et al. (2014a), only the 3 monomers used in the Tritan polymer were tested. Unstressed and
1128 environmentally stressed Tritan polymers were not tested.

1129

1130 Tennessee Eastman sued George Bittner and his companies, Certi Chem and Plasti Pure, for false
1131 advertising, and Eastman won the case. The jury found that positive estrogenic results in cell culture tests
1132 did not necessarily mean Tritan would be estrogenic in humans (Blake 2014). The judge and jury did not
1133 find the MCF-7 test itself was invalid. Researchers concluded that if a material is not estrogenic in the MCF-
1134 7 test, it is not likely estrogenic in humans. If it fails the test, then further testing is needed to prove its
1135 safety in humans (Blake 2014).

1136
1137 **BPA Analogs: BPB, BPE, BPF, BPS**

1138 Structural analogs of BPA are being used to make polymers that provide BPA-free plastic items and plastic
1139 coatings for food cans. Bisphenol B (BPB), bisphenol E (BPE), bisphenol F (BPF), bisphenol S (BPS), and 4-
1140 cumylphenol have been used to produce BPA-free plastics. BPS has been used in canned soft drinks and
1141 foods. BPB has been used in canned tomatoes, soft drinks and beers (Rosenmai et al 2014).

1142
1143 Yang et al. (2011) found that chemicals containing the phenol group were estrogenic in cell culture tests.
1144 BPA is estrogenic, but BPA analogs have only recently been investigated for estrogenic properties
1145 (Rosenmai et al. 2014).

1146
1147 Rosenmai et al. (2014) tested these analogs in a number of in vitro test systems. "BPA and the five
1148 analogues showed a clear effect on AR [androgen receptor] and ER [estrogen receptor] activity as well as
1149 on steroid hormone synthesis in the present study, suggesting that these compounds may interfere with
1150 the endocrine system through several modes of action." Rosenmai et al. (2014) found "there were
1151 indications of DNA damage, carcinogenicity, oxidative stress, effects on metabolism, and skin sensitization
1152 of one or more of the test compounds."

1153
1154 Liao and Kannan (2013) found bisphenols in 75% of the U.S. food they sampled. They found that BPA and
1155 BPF occurred most frequently, and that canned foods contained higher concentrations than food sold in
1156 glass, paper or plastic.

1157
1158 Kinch et al. (2015) found both BPA and BPS at very low levels of exposure caused abnormal neural growth
1159 in the developing brains of zebra fish embryos. Exposed zebra fish showed signs of hyperactive behavior.
1160 BPA and BPS may have activated zebra fish male hormones that induced the growth. Authors of the study
1161 suggest that abnormal neural growth may also occur with humans exposed in utero to BPA and BPS. If
1162 true, low level BPA and BPS exposure might be linked to predominately male diseases such as autism and
1163 hyperactive behavior (Nutt 2015; Kinch et al. 2015).

1164
1165 Rochester and Bolden (2015) reviewed the literature on hormonal activity of BPS and BPF and found 25 in
1166 vivo studies and seven in vitro studies showing that BPS was a likely endocrine disruptor. It had
1167 estrogenic, androgenic and other effects, and caused damage to liver DNA. Estrogenic potency was similar
1168 to estradiol in membrane receptor models.

1169
1170 Similarly, 4 of 5 in vivo studies showed the analog BPF was estrogenic, androgenic and thyroidogenic.
1171 Nineteen in vitro studies also showed these effects, along with other physiological and biochemical effects.
1172 The estrogenic potency of BPF and BPS was similar to that of BPA (Rochester and Bolden 2015).

1173
1174 Biomonitoring studies show exposure to BPF and BPS is somewhat less than that of BPA. BPF has been
1175 found in 55% of human urine samples at maximum concentrations of 212 ppb, BPS is found 78% of the
1176 time up to 12.3 ppb, and BPA is found 95% of the time up to 37.7 ppb (Rochester and Bolden 2015).
1177 According to the authors, "because BPS and BPF appear to have metabolism, potencies, and mechanisms of
1178 action in vitro similar to BPA, they may pose similar potential health hazards as BPA" (Rochester and
1179 Bolden 2015).

1180
1181 **BPA Analog: TMBPF**

1182 The phenolic group present in all BPA analogs may contribute to their estrogenic activity, as this structure
1183 is similar to a phenolic ring in estradiol (Yang et al. 2011). Tetramethylbisphenol F (TMBPF) (CAS 5384-21-
1184 4) is a polymer additive that may address this problem by introducing methyl groups adjacent to the

1185 phenolic groups in BPF. The methyl groups may shield the phenolic groups from receptor binding. TMBPF
1186 also has limited flexibility in the methylene bridge of its structure, and this rigidity may inhibit receptor
1187 binding (Soto et al. 2017).
1188

1189 Neither acetic acid (3%) nor ethanol (50%) extracts of the monomer or the polymer produced from TMBPF
1190 are active in the MCF-7 estrogenic test. TMBPF does not activate the estrogen receptor or cause MCF-7 cell
1191 proliferation. It is not active in the uterotrophic assay (activity is associated with weight gain in the rodent
1192 uterus upon exposure to the test material). TMBPF does not alter time to puberty in either female or male
1193 rats (Soto et al. 2017).
1194

1195 Polymer production leaves no unreacted monomer in the final matrix. TMBPF migration from polymer to
1196 food simulants was below the level of detection of 0.2 ppb (Soto et al. 2017).
1197

1198 Polymers made from TMBPF may provide the estrogenic free, biologically inactive plastic that could be
1199 used to coat food cans (Soto et al. 2017). The polymer is being marketed by Valspar as valPure™, non-BPA
1200 epoxy (Geueke 2016).
1201

1202 **Cyclic Olefins, Nylon, PETG**

1203 Bittman et al. (2014a) found that ten plastics: four cyclic olefin copolymers (COC), one cyclic olefin polymer
1204 (COP), one nylon polymer, and four glycol modified polyethylene terephthalate (PETG) polymers released
1205 no estrogenic substances when extracted with saline or ethanol. Extracts were tested using the MCF-7 cell
1206 proliferation test and the BG1Luc assay.
1207

1208 Yang et al. (2011) found polyethylene, polypropylene, copolymers of ethylene and propylene, and plastics
1209 constructed of cyclic olefin monomers without additives tested negative for estrogenic activity.

1210 Unprocessed polyacrylamide also showed no estrogenic activity.
1211

1212 Polyolefin can coatings are being sold under the brand name Canvera™. According to the Food Packing
1213 Forum, the coating exhibits corrosion protection, flexibility and adhesion, and does not affect food quality
1214 (Geueke 2016).
1215

1216 **Oleoresin**

1217 The first can coatings were made of oleoresins, which are mixtures of oils and resins extracted from plants.
1218 These were replaced by epoxy coatings about 1950. Oleoresins do not adhere well to cans, and their
1219 corrosion resistance is limited. They are appropriate for mild foods such as beans (Geueke 2016). Eden
1220 Foods is now using oleoresin coatings to replace BPA coatings. They are used for such products as beans
1221 and chili, but oleoresins cost 21-34% more than epoxy coatings (Eden Foods 2017).
1222

1223 **Glass Jars**

1224 Another approach is to abandon metal cans and move products into glass packaging. There is a long
1225 history of home canning in glass Mason jars. However, the metal cap for the twist seal still has to be treated
1226 with some kind of protective coating. Eden Foods uses amber glass jars for corrosive products such as
1227 canned tomatoes. The lids for the twist caps are treated with a multilayer of epoxy and another polymer
1228 (Eden Foods 2017).
1229

1230 **Bioplastics**

1231 Bioplastics have the advantages that they can be obtained from renewable sources, and they can be
1232 composted. The disadvantages are that they are less chemically stable than products such as polyolefins,
1233 and they may be hydrolyzed by water. To maintain a reasonable shelf life, antioxidants and other materials
1234 must be added to the polymer. Biopolymers may also be brittle, may not be good barriers to gases, and
1235 may undergo thermal distortion. Bioplastics generally are not good candidates for can liners (Siracusa et al.
1236 2008; Rhim et al. 2013).
1237

1238 Polylactic acid (PLA) is currently used in food packaging. PLA is a recyclable, transparent polymer with
1239 good resistance to water solubility. It is currently used in food packaging for short shelf life materials. One

1240 brand name is Natureworks™ PLA. Problems with PLA include brittleness, and it is not a good barrier to
1241 gases and vapors. Another problem with PLA is that the finished polymer may be estrogenic. Yang et al.
1242 (2011) tested several samples of PLA and found that 91% of the samples were estrogenic in the MCF-7 cell
1243 proliferation test when extracted with either ethanol or saline.

1244
1245 Starch based polymers may be suitable for some uses. These have been commercialized under brand names
1246 such as EcoStar™ and BioPlast™. Starch polymers are used in food trays (Siracusa et al. 2008).

1247
1248 Evidence indicates that some of the negative properties of biopolymers can be addressed with the addition
1249 of nanoparticles of clay or silica. More research is needed before these materials can be recommended as
1250 BPA alternatives. According to Rhim et al. (2013), nanoparticles from packaging may end up in food, and
1251 nanoparticles can be toxic to human cells. They can cross cellular barriers and can lead to oxidative damage
1252 and inflammatory reactions. There are concerns about accumulations in the brain and other organs, and in
1253 developing fetuses. According to Rhim et al. 2013, "The risk assessment of nanomaterials after ingestion
1254 has been studied only for few of the nanoparticles used in food packaging."

1255 1256 **Conclusion**

1257 Alternatives are available for can coatings containing BPA. Some of these are economical and as functional
1258 as BPA epoxy coatings. Practical options include polyolefin or non-BPA, nonestrogenic epoxy. For some
1259 applications, oleoresin, polyester, or polyvinyl coatings are possible solutions. Oleoresin coatings are more
1260 costly than other alternatives. Non-estrogenic plastics such as polyethylene, polypropylene, copolymers of
1261 ethylene and propylene, and plastics constructed of cyclic olefin monomers are available to replace
1262 polycarbonate and other plastic containers that release estrogenic materials.

1263
1264

1265 **Evaluation Question #12: Describe all natural (non-synthetic) substances or products which may be**
1266 **used in place of a petitioned substance (7 U.S.C. § 6517 (c) (1) (A) (ii)). Provide a list of allowed**
1267 **substances that may be used in place of the petitioned substance (7 U.S.C. § 6518 (m) (6)).**

1268
1269 Oleoresin is a natural material that may be used as an alternative to BPA. See above for more information
1270 about this substance.

1271
1272

1273 **Evaluation Information #13: Provide a list of organic agricultural products that could be alternatives for**
1274 **the petitioned substance (7 CFR § 205.600 (b) (1)).**

1275
1276 There are no organic agricultural products that could be alternatives to BPA plastics (Siracusa et al. 2008;
1277 Rhim et al. 2013).

1278
1279

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