



Pre-Solicitation Requirements

System for Award Management (SAM)

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Pre-Solicitation Requirements

System for Award Management (SAM) Part 1

- **System for Award Management (SAM) is the primary Government repository for prospective Federal awardee and Federal awardee information and the centralized Government system for certain contracting, grants, and other assistance-related processes.**
 - <http://www.sam.gov>.
- **52.204-7 System for Award Management (Oct 2018)**
 - **An Offeror is required to be registered in System for Award Management (SAM) when submitting an offer or quotation and shall continue to be registered until time of award, during performance, and through final payment of any contract, basic agreement, basic ordering agreement, or blanket purchasing agreement resulting from this solicitation.**





Pre-Solicitation Requirements

System for Award Management (SAM) Part 2

- **Registered in the System for Award Management (SAM) means that–**
 - (1)The Contractor has entered all mandatory information, including the unique entity identifier (UEI) and the Electronic Funds Transfer (EFT) indicator (if applicable), the Commercial and Government Entity (CAGE) code, as well as data required by the Federal Funding Accountability and Transparency Act of 2006 (see subpart 4.14), into SAM;
 - (2)The Contractor has completed the Core, Assertions, Representations and Certifications, and Points of Contact sections of the registration
 - (3)The Government has marked the record “Active”.
- **To remain active, Offerors must complete annual representations and certifications electronically in SAM.**





Pre-Solicitation Requirements

System for Award Management (SAM) Part 3

- SAM registration, maintaining data and technical support are FREE.
- SAM Customer Support:
 - Federal Service Desk (FSD)
 - www.fsd.gov
 - 866-606-8220





Pre-Solicitation Requirements

Data Universal Numbering System (D-U-N-S)

&

Unique Entity Identifier (UEI)

Food Defense (FD)

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Pre-Solicitation Requirements

DUNS/UEI Part 1

➤ **Data Universal Numbering System (D-U-N-S):**

- Dun & Bradstreet assigns the DUNS/UEI, which includes the physical address, entity type, and general entity information
- A unique nine-digit identifier used around the world to identify and access information on businesses working for the government – is issued to businesses free of charge
- commonly used by lenders or potential business partners to help predict reliability and/or financial stability of a business





Pre-Solicitation Requirements

DUNS/UEI Part 2

- **Unique Entity Identifier (UEI):**
 - The UEI Applies to all offers that exceed the micro-purchase threshold and offers at or below the micro-purchase threshold if the solicitation requires the Contractor to be registered in the System for Award Management (SAM).
 - maintained with the entity designated at [SAM.gov](https://sam.gov) for establishment of the unique entity identifier throughout the life of the contract. The Contractor *shall communicate any changes to the unique entity identifier to the Contracting Officer within 30 days after the change, so an appropriate modification can be issued to update the data on the contract.*
- **Unique Entity Identifier Update (UEI) SAM:**

By April of 2022, the federal government will stop using the DUNS number to uniquely identify entities. At that point, entities doing business with the federal government will use a Unique Entity Identifier (SAM) created in [SAM.gov](https://sam.gov). They will no longer have to go to a third-party website to obtain their identifier. This transition allows the government to streamline the entity identification and validation process, making it easier and less burdensome for entities to do business with the federal government.





Pre-Solicitation Requirements Part 1

FOOD DEFENSE (FD)

PRIOR TO BID OPENING THROUGHOUT THE PERFORMANCE

- **4A52.246-81 Food Defense Requirements (Aug 2021)**
 - (a) Current and potential Contractor(s) and subcontractor(s) shall have an approved food defense plan in place at the time of bid opening/proposal due date as specified in the solicitation. The approved food defense plan provides for the security of a plant's production processes and includes the storage and transportation of pre-production raw materials and other ingredients and post-production finished product.
 - The documented and operational food defense plan must be audited and approved by USDA, AMS prior to the bid opening date of the solicitation.
 - All nonconformance(s) listed in the audit report for poultry and livestock products must be addressed in writing within 14 days to the Quality Assessment Division prior to the bid opening date of the solicitation.
 - USDA will not grant/accept any waiver requests for the food defense audits.





Pre-Solicitation Requirements Part 2

FOOD DEFENSE (FD)

PRIOR TO BID OPENING THROUGHOUT THE PERFORMANCE

➤ **The plan shall address the following areas, where applicable:**

- Food defense plan management;
- Outside and inside security of the production and storage facilities;
- Slaughter and processing, including all raw material sources;
- Controlled access to production and storage areas;
- Storage;
- Water and ice supply;
- Mail handling;
- Personnel security; and
- Transportation, shipping, and receiving (includes the sealing of any transport conveyance for truck lot and less-than-truck lot quantities of finished product).





Pre-Solicitation Requirements Part 3

FOOD DEFENSE (FD)

PRIOR TO BID OPENING THROUGHOUT THE PERFORMANCE

- The Food Defense Plan - must be included in the application package, and it will be audited by an AMS representative.
- Approved food defense audit is valid for twelve (12) months from the date of approval.
- Plant Survey or Plant Systems Audit (PSA) - All qualified contractors, and for Non-manufacturers their subcontractors, are required to undergo and pass an *annual* plant survey or PSA.
- Approved plant survey audit/PSA is valid for twelve (12) months from the date of approval.

