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Document Cover Sheet

<https://www.ams.usda.gov/rules-regulations/organic/national-list/petitioned>

Document Type:

**National List Petition or Petition Update**

A petition is a request to amend the USDA National Organic Program's National List of Allowed and Prohibited Substances (National List).

Any person may submit a petition to have a substance evaluated by the National Organic Standards Board (7 CFR 205.607(a)).

Guidelines for submitting a petition are available in the NOP Handbook as NOP 3011, National List Petition Guidelines.

Petitions are posted for the public on the NOP website for Petitioned Substances.

**Technical Report**

A technical report is developed in response to a petition to amend the National List. Reports are also developed to assist in the review of substances that are already on the National List.

Technical reports are completed by third-party contractors and are available to the public on the NOP website for Petitioned Substances.

Contractor names and dates completed are available in the report.

# Collagen Gel, Gelatin, and Casings

## Handling/Processing

### Identification of Petitioned Substance

2	<b>Chemical Names:</b>	<b>Trade Names:</b>
3	Collagen	Galfoam
4	Collagen Type I	Gelatinfoam
5	Gelatin	Gelfoam
6		FreAlagin™ R gelatin
7	<b>Other Names:</b>	Prionex
8	Collagen I	
9	Kollagene	<b>CAS Numbers:</b>
10	Gelatine	9007-34-5 (Collagen)
11	Natural Casings	9000-70-8 (Gelatin)
12	Intestinal Casings	
13	Beef Casings	<b>Other Codes:</b>
14	Pork Casings	EC No. 232-697-4 (Collagen)
15	Sheep Casings	

### Summary of Petitioned Use

The petitioners are requesting to add collagen gel to Title 7 of the Code of Federal Regulations Section 205.606 (7 CFR 205.606) as a “nonorganically produced agricultural products allowed as ingredients in or on processed products labeled as ‘organic.’”

Collagen gel acts as an edible film in the production of processed meats (e.g., sausages). This is an alternative to preformed casings that have been traditionally used in both organic and nonorganic sausage manufacturing. Collagen gel is derived from the natural animal protein collagen, which is prevalent in the skin, bones, blood vessels, muscle, and connective tissue. When used as petitioned, a mixture of collagen, cellulose, and water will be applied to the sausage material in a coextrusion process. The meat product is then treated to form the fused casing. The use of collagen gel in the coextrusion process offers a more affordable, efficient, and sanitary means of manufacturing sausages (Barbut 2010, Djordjevic et al. 2015, Wang et al. 2015, Comaposada et al. 2018).

This report includes the petitioned collagen gel and a discussion about gelatin and natural casings, both of which have been approved for used by the United States National Organic Program (NOP) as “nonorganically produced agricultural products allowed as ingredients in or on processed products labeled as ‘organic,’” at 7 CFR 205.606. These substances are related to the petitioned substance, as they are largely composed of casings or derived from gelatin, the protein collagen. This report also serves as an update on the 2002 technical report on gelatin (USDA 2002).

### Characterization of Petitioned Substance

#### Composition of the Substance:

##### *Collagen Gel*

The petitioned substance would be applied in the sausage manufacture process as a gel incorporated through the process of coextrusion. Collagen (3.0–4.5%) is the active ingredient accounting for most of the gel’s characteristics. Collagen is a naturally occurring protein that is abundant in the connective tissue, bones, blood vessels, skin, and muscles of animals (Kim and Mendis 2006, Sahithi et al. 2013, Oechsle et al. 2014, Marousek et al. 2015). The unique structural properties of collagen’s triple helix provide the desirable qualities of high-tensile strength and flexibility important to edible film casings (Oechsle et al. 2014, Oechsle et al. 2017).

48  
49 The remainder of the gel is comprised of cellulose (<3.0%) and water (95.5–97.0%). Cellulose is currently  
50 approved for use as a synthetic substance “in regenerative casings [extruded collagen casing that is dried prior to  
51 use], as an anti-caking agent (non-chlorine bleached) and filtering aid,” and for processed products labeled  
52 “organic or made with organic,” at 7 CFR 205.605.  
53

#### 54 *Gelatin*

55 Collagen is the source of gelatin, a substance that has a wide range of applications throughout the food,  
56 pharmaceutical, and biomedical industries (Gomez-Guillen et al. 2002, Kim and Mendis 2006, Karim and Bhat  
57 2008). Gelatin is formed by the denaturation of the collagen triple helix through the application of heat and/or  
58 changes to pH (application of an acid or base). During this process, intermolecular forces are disrupted causing  
59 the helical structure to unwind, with the formation of a new structure resulting from the rewinding of portions of  
60 the helical structure (de Wolf 2003, Schrieber and Gareis 2007, Karim and Bhat 2008). The petitioned substance is  
61 applied as collagen gel; however, during the substance’s processing and sausage encasement, the collagen is  
62 denatured to a state resembling gelatin and adheres to the encased meat product (Barbut 2010, Bombrun et al.  
63 2014, Yang et al. 2016). Gelatin has been approved as a “nonorganically produced agricultural products allowed  
64 as ingredients in or on processed products labeled as ‘organic.’” at 7 CFR 205.606.  
65

#### 66 *Casings*

67 Natural casings formed from processed animal intestines have been traditionally used in the production of  
68 sausages and other meat products (Barbut 2010, Ioi 2013, Djordjevic et al. 2015). Natural casings are primarily  
69 composed of the natural protein collagen, whose natural characteristics of high tensile strength, flexibility, and  
70 gas/vapor permeability make the intestinal organs well suited as natural casings (Savic and Savic 2002, Barbut  
71 2010, Harper et al. 2012, Oechsle et al. 2017). Following the slaughter of the animal, the intestines are cleaned and  
72 treated to remove fat. Next, the intestinal layers are removed (sliming), which acts to increase the permeability  
73 and flexibility of the casing (Barbut 2010, Ioi 2013, Djordjevic et al. 2015). The casing processing is completed  
74 with an additional wash using salt water, then drying and salting the final product (Barbut 2010, Ioi 2013,  
75 Djordjevic et al. 2015). Natural casings remain a major contributor in the production of commercial sausages and  
76 have been approved as “nonorganically produced agricultural products allowed as ingredients in or on  
77 processed products labeled as ‘organic.’” at 7 CFR 205.606 (Harper et al. 2012, Ioi 2013, Djordjevic et al. 2015).  
78

#### 79 **Source or Origin of the Substance:**

##### 81 *Collagen Gel*

82 Collagen gel is isolated from the abundant animal protein collagen found in skin, bones, blood vessels,  
83 muscle and connective tissue (Kim and Mendis 2006, Sahithi et al. 2013, Oechsle et al. 2014, Marousek et al.  
84 2015). Collagen is primarily obtained in the food industry as a precursor to gelatin, although there are also  
85 direct uses for the protein (Gomez-Guillen et al. 2002, de Wolf 2003, Kim and Mendis 2006, Schrieber and  
86 Gareis 2007, Karim and Bhat 2008).  
87

88 Traditionally, collagen has been isolated from the skins (~95%) and bones (~5%) of cattle and pigs  
89 (Gomez-Guillen et al. 2002, Wassa et al. 2007, Karim and Bhat 2008, Silva et al. 2014, Marousek et al. 2015,  
90 Kumar and Suresh 2016, Oechsle et al. 2017). Collagen is typically isolated from livestock and food  
91 production by-products (Oechsle et al. 2017). Since most collagen and gelatin is isolated from bovine and  
92 porcine sources, they also present the primary means of organically-produced collagen and gelatin, with  
93 products available with USDA and Australian organic certifications (Changing Habits 2018, Gel-pro 2018,  
94 Vital Proteins 2018). The animal-based collagen source is partially hydrolyzed through enzymatic, thermal,  
95 or acid treatment from meat processing byproducts (Kim and Mendis 2006, Karim and Bhat 2008).  
96

97 Despite efforts to diversify collagen sources, most collagen (and gelatin) remains bovine and porcine-based  
98 (Wassa et al. 2007, Silva et al. 2014, Kumar and Suresh 2016). Marine collagen is rarely used commercially  
99 due to its dark color and the persistence of a fishy odor (Wassa et al. 2007). Efforts to isolate collagen from  
100 marine sources are based on processing fish by-products, although these sources are not well-defined and  
101 may vary from bones and skins to including viscera and heads (Sadowska et al. 2003, Kim and Park 2004,  
102 Wassa et al. 2007, Karim and Bhat 2008, Silva et al. 2014, Kumar and Suresh 2016). Collagen may also be

103 isolated by the enzymatic treatment or membrane filtration of wastewater from fish processing (Kim and  
104 Park 2004, Kim and Mendis 2006, Mohammad et al. 2011). Marine sources of collagen remain largely in the  
105 research stage; therefore, organic grade marine collagen is not currently available (Kumar and Suresh  
106 2016).

107

#### 108 *Gelatin*

109 Gelatin is not a naturally occurring substance, but rather is derived from denaturing the protein collagen  
110 (USDA 2002). The process of denaturing the collagen protein can be achieved through a variety of  
111 methods, including the application of heat and/or changing the pH of the protein with the addition of acid  
112 or base (USDA 2002, de Wolf 2003, Schrieber and Gareis 2007, Karim and Bhat 2008). The result is that the  
113 helical protein (collagen) unwinds due to the stresses of increased temperature and/or changes to the pH.  
114 Upon cooling, some of the helical strands are reformed. Their final structure, however, is altered from the  
115 initial collagen structure (de Wolf 2003, Schrieber and Gareis 2007, Karim and Bhat 2008).

116

#### 117 *Casings*

118 Casings are derived from animal intestines that are processed following the slaughter of the animal (Barbut  
119 2010, Ioi 2013, Djordjevic et al. 2015). The casings are processed immediately following the slaughter of the  
120 animal by cleaning, defatting, and removal of some intestinal layers (Barbut 2010, Ioi 2013, Djordjevic et al.  
121 2015). The processing is completed with a salt water wash, then drying and salting the casings for storage  
122 until used in the production of sausage or other meat products (Barbut 2010, Ioi 2013, Djordjevic et al.  
123 2015).

124

### 125 **Properties of the Substance:**

126

#### 127 *Collagen Gel and Casings*

128 Since the collagen protein is the primary component of both collagen gel and natural casings, the following  
129 discussion of properties applies to both substances. Natural collagen is a fibrous protein found in the skin,  
130 muscle, bone, and connective tissues as a triple helix (Gomez-Guillen et al. 2002, Kim and Park 2004, Kim  
131 and Mendis 2006, Karim and Bhat 2008). This structure is formed due to the prevalence of glycine amino  
132 acid residues (approximately 1/3 of the protein) within the primary structure of the protein (amino acid  
133 order) (Gomez-Guillen 2002, Karim and Bhat 2008). The prevalence of glycine residues results in a flexible  
134 structure due to the rotational freedom of the small amino acid (-R sidechain = -H) and contributes to the  
135 thermal stability of the protein (Burjandze 2000, Gomez-Guillen et al. 2002, Oechsle et al. 2017). Within the  
136 triple helical structure, three chains of amino acids are woven together and stabilized by Van der Waals'  
137 forces, hydrophobic interactions, hydrogen bonding, and intermolecular forces between amino acid  
138 residues along the length of the chains (Privalov and Tiktopulo 1970, Usha and Ramasami 2004, Karim and  
139 Bhat 2008, Wu et al. 2017). The strength of the collagen biopolymer is further enhanced by natural  
140 crosslinking aldehydic residues such as lysine and hydroxylysine (Bateman et al. 1996, Gomez-Guillen et  
141 al. 2002).

142

#### 143 *Collagen Gel*

144 Due to the site diversity of collagen in nature (e.g., skin, bones, blood vessels, muscle, and connective  
145 tissue), the amino acid sequence, degree of crosslinking, and structure are dependent on the type and age  
146 of the animal from which it is harvested, as well as the animal's age and environmental considerations  
147 (Gomez-Guillen et al. 2002, Kim and Park 2004, Kim and Mendis 2006). Therefore, the resulting properties  
148 of the isolated collagen (e.g., tensile strength and flexibility) are also dependent on these considerations  
149 (Hamada 1990, Miyauchi and Kimura 1990, Gomez-Guillen et al. 2002, Karim and Bhat 2008). Moreover,  
150 the properties of the collagen product are dependent on how it is processed and employed in its final  
151 application (Hamada 1990, Grossman and Bergman 1992, Haug et al. 2004, Karim and Bhat 2008, Djordjevic  
152 et al. 2015, Oechsle et al. 2015, Wang et al. 2015, Yang et al. 2016, Oechsle et al. 2017).

153

#### 154 *Gelatin*

155 Gelatin has long been regarded as a unique and important substance in a variety of industries, including:  
156 food, pharmaceutical, biomedical, as well as non-food applications (USDA 2002, Karim and Bhat 2008).  
157 Gelatin forms thermally reversible gels when combined with water, being soluble with relatively low

158 viscosity at high temperatures, while forming a hyper colloidal suspension when cooled to or below room  
 159 temperature. At this point, the gelatin absorbs 5 to 10 times its weight in water (USDA 2002, Karim and  
 160 Bhat 2008). The thermal reversibility of the gel is crucially below body temperature (<35 °C), providing a  
 161 range of organoleptic properties, including a “melt-in-mouth” quality (Glicksmann 1969, Karim and Bhat  
 162 2008). Moreover, gelatin is noted as having minimal color and taste, allowing for the addition of important  
 163 textural components without effecting the flavor or color profile (Food and Nutrition Board 1996, USDA  
 164 2002).

165  
 166 Like its collagen precursor, gelatin is produced from a wide range of sources (both in animal type and  
 167 protein location/function within the animal) (Gomez-Guillen et al. 2002, Kim and Park 2004, Kim and  
 168 Mendis 2006). The impact of collagen source also affects the properties of the resulting denatured protein  
 169 (gelatin). This is most evident when comparing marine sources to mammalian sources, as the marine  
 170 sources of gelatin typically exhibit reduced thermal stability of the gels (Gomez-Guillen et al. 2002, Kim  
 171 and Park 2004, Karim and Bhat 2008, Wu et al. 2017). This result is likely due to differences in the amino  
 172 acid composition in marine-sourced collagen, which reduces the amount of cross-linking capable between  
 173 the helical strands (Gomez-Guillen et al. 2002, Karim and Bhat 2008, Wu et al. 2017).

174  
 175 Selected properties of collagen and gelatin are listed in Table 1.

176  
 177 **Table 1: Properties of Collagen and Gelatin**

Property	Collagen	Gelatin
CAS No.	9007-34-5	9000-70-8
Appearance	White fibres	Light yellow powder
pH	N/A	4.0 - 7 at 66.7 g/l at 60 °C
Solubility	Soluble in water	Soluble in hot water

178 Sources: Chemical Book 7663310, Chemical Book 9680379, Sigma-Aldrich 2014, Sigma-Aldrich 2018

### 179 180 **Specific Uses of the Substance:**

#### 181 182 *Collagen Gel*

183 When used as petitioned, collagen would be applied to sausages manufactured through coextrusion. In the  
 184 coextrusion method, the manufactured collagen casing is applied as a gel simultaneously to the extrusion  
 185 of the sausage batter (Hoogenkamp 1994, Frye 1996, Marel Townsend). The treated casing acts as a  
 186 replacement to natural (animal digestive tubes and bladders) or manufactured (formed from solubilized  
 187 fibrous biomaterials (e.g., cellulose, collagen, alginate)) casings (Hoogenkamp 1994, Rantanavaraporn et al.  
 188 2008, Harper et al. 2012, Ioi 2013).

189  
 190 Once applied and processed via the coextrusion process, the sausage, the collagen gel fuses to the meat  
 191 batter, forming a nonremovable edible film (Barbut 2010, Bombrun et al. 2014, Djordjevic et al. 2015). The  
 192 resultant fused collagen casing acts as a protective barrier to the sausage product, reducing the movement  
 193 of gases (e.g., oxygen), moisture, solvents, and prevents biological contamination (Debeaufort et al. 1998,  
 194 Aloui and Khwaldia 2016, Hassan et al. 2018). Moreover, the edible casing contributes to the organoleptic  
 195 properties of the sausage, and the delivery of coloration and flavorings (Savic and Savic 2002, Han and  
 196 Gennadios 2005, Vasconez et al. 2009).

#### 197 198 *Gelatin*

199 Gelatin has a wide range of uses in the food industry. Gelatin is used to change the properties and textures  
 200 of foods due to its ability to form a thermally reversible gel. Such applications include use as a food  
 201 additive in yogurt and gelatin desserts, instant gravy and soups, pastry toppings canned ham, luncheon  
 202 meats, turkey and chicken rolls, and as a stabilizer in ice cream, cream cheese, cottage cheese, fruit salad,  
 203 and food foams (McCormick 1987, Rose 1991, McWilliams 2001, USDA 2002). Gelatin is used as a beverage  
 204 clarifier and fining agent for wine, beer, and fruit and vegetable juices (Tressler and Joslyn 1954, Peterson  
 205 and Johnson 1978, Vine 1999, USDA 2002).

206

207 Gelatin has a variety of applications in non-food industries as well. In the pharmaceutical industry gelatin  
208 is used to bind and encapsulate tablets and gel-caps of medicines and nutritional substances (Ash and Ash  
209 1997, USDA 2002). Gelatin is also incorporated into a range of pharmaceutical formulations, including  
210 vaccines (USDA 2002). Gelatin is used within the textile industry with applications, including: sizing,  
211 dressing, coating, and finishing a range of materials such as cotton, leather, silk, and wool (USDA 2002).

212

### 213 *Casings*

214 Natural casings are used as a natural and edible container for sausages and other meat products. Natural  
215 casings are derived from processed animal intestines and are stuffed with a meat mixture to yield the final  
216 product (Ioi 2013). The casing determines the size and shape of the sausage, while also providing structural  
217 integrity (Harper et al. 2012, Ioi 2013).

218

### 219 **Approved Legal Uses of the Substance:**

220

#### 221 *Collagen Gel*

222 Collagen is permitted in a range of food and medical applications. Pork collagen is permitted for use as a  
223 binding agent with a limit of “3.5% of the product formulation,” for “cured pork products,” and “sausage,”  
224 at 9 CFR 319.104 and 9 CFR 319.140, respectively. Collagen is also permitted in food products as collagen  
225 casings, in which collagen would appear on the ingredient list, and all products encased in regenerated  
226 collagen casings are required to disclose that information on product labels per §318.7 and §381.117.

227

228 The United States Food and Drug Administration (FDA) has permitted the use of collagen as a component  
229 in animal glues to be “used as a component of articles intended for use in producing, manufacturing,  
230 packing, processing, preparing, treating, packaging, transporting, or holding food,” at 21 CFR 178.3120.

231 Collagen is also used in medical applications and has been approved by the FDA as a material “to fill,  
232 augment, or reconstruct periodontal or bony defects of the oral and maxillofacial region,” at §872.3930. The  
233 FDA has also approved collagen for use as a “biological coating” for “vascular graft prosthesis,” at  
234 §870.3450.

235

236 The United States Environmental Protection Agency (EPA) has approved collagen as a component of glues  
237 as “inert ingredients permitted in minimum risk pesticide products,” at 40 CFR 152.25.

238

#### 239 *Gelatin*

240 Gelatin is derived by the denaturation of collagen and is widely used in the food, medical, and other  
241 non-food industries. Gelatin has been approved by the United States Department of Agriculture’s (USDA)  
242 National Organic Program (NOP) as a “nonorganically produced agricultural products allowed as  
243 ingredients in or on processed products labeled as ‘organic.’” at 7 CFR 205.606(f). Gelatin is generally  
244 recognized as safe (GRAS) when used “to clarify juice or wine,” at 27 CFR 24.246. The FDA has approved  
245 gelatin as an option ingredient in “pasteurized Neufchatel cheese spread with other foods,” and  
246 “pasteurized process cheese spread,” at 21 CFR 133.178 and 21 CFR 133.179, respectively. The FDA has also  
247 granted gelatin GRAS status for “substances migrating from cotton and cotton fabrics used in dry food  
248 packaging,” at §182.70. Gelatin is approved for use as an ingredient in several “microcapsules for flavoring  
249 substances,” at §172.230, and as a component of “peptones,” at §184.1553. Gelatin is an approved  
250 ingredient in “canned boned poultry and baby or geriatric food,” in “quantities not in excess of a total of  
251 0.5% of the total ingredients,” and must also “be included in the name of the product,” as stated at 9 CFR  
252 381.157. Gelatin is permitted as a binding agent in the manufacture of “turkey roll,” however, if “added in  
253 excess of 3% for cooked rolls and 2% for raw rolls, the common name of the agent or the term “Binders  
254 Added” shall be included in the name of the product,” as stipulated at §381.159. More generically, gelatin  
255 has been permitted “to bind and extend various poultry products,” at §424.21.

256

257 Gelatin also has a range of uses in the medical and pharmaceutical industries. Gelatin has been approved  
258 as a component of “ophthalmic demulcents,” with a maximum concentration of 0.01% at 21 CFR 349.12.  
259 Gelatin has been approved by the FDA as a material component of “partial ossicular replacement  
260 prosthesis,” at §874.3450, and as a substance for “implantation or injectable dosage form new animal

261 drugs,” with the specification that “each 100 milliliters contains 8 grams of gelatin in a 0.85% sodium  
262 chloride solution,” at §522.1020.

263  
264 *Casings*

265 Casings are permitted “as containers of products,” from “sheep, swine, or goats,” without exception, and  
266 may be derived from cattle with the additional requirement that “if casings from cattle are derived from  
267 the small intestine, the small intestine must comply with the requirements in 9 CFR 310.22,” as stated at 9  
268 CFR 318.6. “Casings, from processed intestines,” are designated by NOP as “nonorganically produced  
269 agricultural products allowed as ingredients in or on processed products labeled as ‘organic,’” at 7 CFR  
270 205.606.

271  
272 **Action of the Substance:**

273  
274 *Collagen Gel*

275 Collagen is a natural protein found in the skin, bones, muscle, and connective tissue of animals (Kim and  
276 Mendis 2006, Sahithi et al. 2013, Oechsle et al. 2014, Marousek et al. 2015). In its natural state, the fibrous  
277 protein has a triple helix structure where crosslinking between the amino acid chains provides both  
278 strength and flexibility (Privalov and Tiktopulo 1970, Burjandze 2000, Gomez-Guillen et al. 2002, Usha and  
279 Ramasami 2004, Karim and Bhat 2008, Oechsle et al. 2017, Wu et al. 2017). These desirable attributes remain  
280 in place for collagen gels applied to sausage batter in the coextrusion process, resulting in an edible casing  
281 fused to the processed sausage that can endure the required thermal changes (e.g., freezing and cooking)  
282 (Hoogenkamp 1994, Frye 1996, Yang et al. 2016, Hassan et al. 2018). The collagen casing protects the meat  
283 product from oxidation and discoloration, by acting as a semipermeable membrane for gases, moisture,  
284 and other solvents (Savic and Savic 2002, Han and Gennadios 2005, Marousek et al. 2015). The casing also  
285 contributes to the organoleptic properties of the sausage, such as bite and texture, and serves as a means to  
286 deliver additional flavorings to the product (Savic and Savic 2002, Han and Gennadios 2005, Harper et al.  
287 2012, Ioi 2013, Marousek et al. 2015).

288  
289 *Gelatin*

290 When used for beverage clarification or fining, gelatin reacts with proteins that are soluble in the given  
291 solution (e.g., beer, wine, juices), in a binding type interaction (USDA 2002). Because of the gelling  
292 properties described earlier, the gelatin also absorbs water, causing the gelatin-protein structure to swell,  
293 and allowing for its removal through filtration of gravity settling (USDA 2002).

294  
295 When used as a texturizing agent, the gelatin absorbs water through the formation of a hydrogen binding  
296 network. When the aqueous mixture is hot, the gelatin remains in solution, however, upon cooling gelation  
297 begins to occur caused by the crosslinking between gelatin strands (USDA 2002). Continued gelation  
298 occurs during storage and includes a rearrangement in the crosslinked strands to a more ordered state,  
299 consequently impacting the organoleptic properties of the gel (McWilliams 2001, USDA 2002). The  
300 structure (both initial and final) of the gel is dependent on the concentration of gelatin, with most food  
301 systems employing gelatin concentrations between 1.5 - 4% (McWilliams 2001).

302  
303 *Casings*

304 Casings are used as “containers” for sausages and other meat products. Natural casings determine the size  
305 and shape of the formed sausage (Harper et al. 2012, Ioi 2013). The casing also provides the final product  
306 with structural integrity, due largely to the flexibility and tensile strength associated with its primary  
307 component, collagen (Oechsle et al. 2017). The casing also impacts the colors and flavors of the cooked  
308 sausage, which are influenced by the permeability characteristics of the casing. The casing permeability  
309 influences the migration of flavors, gases/vapors, and moisture in and out of the meat product during the  
310 preparation (seasoning) and cooking stages, with specific casings used for specific food types (Savic and  
311 Savic 2002, Ioi 2013, Djordjevic et al. 2015, Hassan et al. 2018). Furthermore, the type, size, and thickness of  
312 the casing influences the organoleptic properties of the sausage, most notably that of “bite quality” (Savic  
313 and Savic 2002, Han and Gennadios 2005, Harper et al. 2012, Ioi 2013, Marousek et al. 2015).

314

**315 Combinations of the Substance:**

316

*317 Collagen Gel*

318 The collagen gel being petitioned for organic use is a mixture of collagen (3.0-4.5%), cellulose (<3%), and  
319 water (95.5-97.0%). Cellulose has been approved for use as synthetic substance "in regenerative casings, as  
320 an anti-caking agent (non-chlorine bleached) and filtering aid," for processed products labeled "organic or  
321 made with organic," at 7 CFR 205.605(b).

322

323 Cellulose has been historically used in regenerative casings and has also been applied with coextrusion  
324 technology (Hoogenkamp 1994, Feiner 2006, Djordjevic et al. 2015, Marel Townsend). In concert with the  
325 petitioned substance, the addition of cellulose to collagen mixtures has been reported to increase the  
326 strength and thermal stability of the casing (Harper et al. 2012, Hassan et al. 2018). Modification of collagen  
327 mixtures has been reported to influence the permeability, strength, flexibility, and thermal stability of the  
328 collagen casing (Savic and Savic 2002, Ioi 2013, Djordjevic et al. 2015, Hassan et al. 2018). These  
329 modifications can be made through changes to the collagen gel matrix by the inclusion of additional  
330 protein and crosslinking compounds, or changes to the manufacturing process (e.g., acid and brine type,  
331 smoke concentration, thermal treatments) (Barbut 2010, Bombrun et al. 2014, Djordjevic et al. 2015, Oechsle  
332 et al. 2017).

333

334 Additional proteins that have been reported for modifications to the collagen gel matrix includes soy  
335 protein, casein, and keratin (Wu et al. 2017). The incorporation of inorganic salts (e.g., sodium chloride,  
336 calcium chloride) and enzymes (e.g., transglutamase) have also been reported as additives to influence the  
337 crosslinking capabilities of the collagen gel (Oechsle et al. 2017, Wu et al. 2017, Comaposada et al. 2018).  
338 These substances (proteins and crosslinking promoters) have not been approved for use in organic  
339 processing and agricultural processes. Coextrusion process in sausage manufacturing remains relatively  
340 new, and research on the influence of protein and other additives to collagen casings applied via  
341 coextrusion is ongoing.

342

*343 Gelatin*

344 Gelatin is a unique substance. Its properties make it suitable for a range of applications across a variety of  
345 industries. Due to the many applications of gelatin, it can be combined with numerous other substances  
346 that vary depending on the given task. When used for beverage fining and clarification purposes, it is often  
347 applied in concert with other clarifying agents, specific to the type of beverage being treated, such as  
348 bentonite or tannins for juices (Tressler and Joslyn 1954, Peterson and Johnson 1978, USDA 2002). When  
349 used as a stabilizer or texturizer, sugars such as sucrose, or other substances such as agar, can be added to  
350 change the setting time and temperature characteristics of the gel (Stainsby 1987, USDA 2002). When used  
351 as an encapsulating agent for nutritional or medicinal tablets, other substances can be added to the  
352 hardness of the final gel (USDA 2002). These include a range of alcohols, including sorbitol, mannitol, and  
353 glycerol, that act as plasticizers, as well as aldehydes, including formaldehyde and glutaraldehyde to  
354 facilitate cross-linking between gelatin strands (Hutchinson et al. 1994, Cole 2000, Ledward 2000, USDA  
355 2002).

356

*357 Casings*

358 Casings are produced from processed intestines. Their primary component is the protein collagen. The  
359 processing of casings includes several washing steps, as well as defatting and sliming (removal of one or  
360 more intestinal layers) (Barbut 2010, Harper et al. 2012, Ioi 2013, Djordjevic et al. 2015). In addition, the  
361 processed casings are dried and salted as the final processing steps prior to storage, meaning that the only  
362 additional components added to casings are salts from the second, salt-based washing, and from the final  
363 salting process (Barbut 2010, Harper et al. 2012, Ioi 2013, Djordjevic et al. 2015).

364

365

**Status**

366

**367 Historic Use:**

368 Natural casings (animal digestive tubes and bladders) have been used for hundreds of years in sausage  
369 preparation (Hoogenkamp 1994, Ioi 2013). In this process, the intestines or other digestive tubing from

370 sheep, hogs, or cattle, are removed after slaughter (Ioi 2013). The tubing undergoes “sliming,” the removal  
371 of intestinal layers to increase the flexibility and permeability of the casing (Barbut 2010, Harper et al. 2012,  
372 Ioi 2013). The casing is then stuffed with minced or ground meat products or treated with salt or brine for  
373 later use (Savic and Savic 2002, Ioi 2013).

374  
375 Manufactured collagen casings have served as an alternative to natural casings since their introduction in  
376 the 1920s and are now estimated to account for approximately 80% of the casing market (Amin and  
377 Ustunol 2007, Yang et al. 2016). Manufactured collagen casings are also referred to as “regenerated,” since  
378 the collagen is isolated from a range of sources (e.g., skin, bones, muscle and connective tissue) and  
379 processed and reformed into a casing (Rantanavaraporn et al. 2008, Ioi 2013). Once isolated through a  
380 denaturing process (e.g., thermal, acid, base, or enzymatic treatment), it is most commonly reformed by  
381 extrusion (Barbut 2010, Yang et al. 2016). These manufactured casings have several advantages over natural  
382 casings, including more uniform thickness and strength, and the removal of the curing process and longer  
383 shelf-life (Savic and Savic 2002, Djordjevic et al. 2015). Moreover, the collagen casings offer improvements  
384 regarding sanitation concerns, as there is less human processing and, therefore, chance of contamination  
385 (Djordjevic et al. 2015).

386  
387 The coextrusion process was developed in the 1960s as a cheaper and more efficient casing application  
388 method, although the process did not become widely used in the United States until the 1990s (Frye 1996).  
389 Like the collagen casings that changed the landscape of sausage manufacturing before it, the application of  
390 coextrusion processes offers improvements to efficiency and sanitation (Hoogenkamp 1994, Ioi 2013). In  
391 this process, a specialized extrusion cone is used to extrude the sausage batter in the center, while  
392 simultaneously coating it by the extrusion of collagen gel, or other casing (Hoogenkamp 1994, Frye 1996,  
393 Barbut 2010, Marel Townsend). The direct application of the casing to the extruded sausage batter further  
394 reduces handling and risk of contamination, while the automation of the coextrusion and subsequent  
395 treatment steps increase efficiency and reduce costs (Hoogenkamp 1994, Barbut 2010, Marel Townsend).

396  
397 Gelatin has been historically used in a wide range of food applications. These include early attempts to  
398 replace natural casings for sausage production by dipping the meat mixtures in a gelatin solution (Hood  
399 1987, USDA 2002). Gelatin is used as a stabilizer and texturizer in a range of foods and is a common  
400 component in dairy and gelatin-based desserts (USDA 2002). Gelatin has historic usage as a clarifying  
401 agent for a range of beverages including beer, wine, and fruit and vegetable juices (Tressler and Joslyn  
402 1954, Peterson and Johnson 1978, Vine et al. 1999, USDA 2002).

#### 403 404 **Organic Foods Production Act, USDA Final Rule:**

405 Collagen is not listed in the Organic Foods Production Act of 1990 (OFPA) or in USDA regulations.

406  
407 Neither gelatin nor casings are listed in the OFPA. However, both gelatin and casings are listed in the USDA  
408 organic regulations under “nonorganically produced agricultural products allowed as ingredients in or on  
409 processed products labeled as ‘organic.’” at 7 CFR 205.606.

#### 410 411 **International**

##### 412 413 **Canadian General Standards Board Permitted Substances List**

414 Collagen is listed in the Canadian General Standards Board Permitted Substances List (CAN/CGSB-32.311-  
415 2015) in Table 6.4 as allowed for “ingredients not classified as food additives” in the form of “collagen  
416 casings.” Collagen casings are required to “be derived from animal sources,” and “if derived from cattle,  
417 shall be guaranteed free of specified risk materials.” Moreover, collagen casings are permitted to include  
418 “other ingredients (such as, but not limited to: cellulose, calcium coatings, glycerin, etc.) added to collagen  
419 casings during their manufacture, which remain in the collagen casing.”

420  
421 Gelatin is listed in the Canadian General Standards Board Permitted Substances List (CAN/CGSB-32.311-  
422 2015) in Table 6.3 as allowed for “ingredients classified as food additives.” Gelatin may be sourced from  
423 both plant and animal sources, with the requirement that “if derived from cattle, shall be guaranteed free  
424 of specified risk materials.”

425  
426 **CODEX Alimentarius Commission, Guidelines for the Production, Processing, Labelling and Marketing**  
427 **of Organically Produced Foods (GL 32-1999)**

428 Neither collagen nor casings are listed in the CODEX (GL 32-1999).

429  
430 Gelatin appears under CODEX (GL 32-1999) guidelines as an allowed substance in Table 2 “substances for  
431 plant pest and disease control,” and Table 4 “processing aids which may be used for the preparation of  
432 products of agricultural origin.”

433  
434 **European Economic Community (EEC) Council Regulation, EC No. 834/2007 and 889/2008**

435 Neither collagen, nor gelatin, nor casings are listed in EC No. 834-151 2007. Collagen is not listed in EC No.  
436 889/2008.

437  
438 Gelatin is listed in EC No. 889/2008 in Section B as a “processing aid, which may be used for processing of  
439 ingredients of agricultural origin for organic production.”

440  
441 Gelatin and casings are listed in EC No. 889/2008, stating that when derived from “aquatic organisms, not  
442 originating from aquaculture” is “permitted in no-organic foodstuffs preparation.”

443  
444 **Japan Agricultural Standard (JAS) for Organic Production**

445 Neither collagen nor casings are listed in the JAS for Organic Production.

446  
447 Gelatin is listed in the JAS for Organic Processed Foods (notification no. 1606) in Attached Table 1 as a  
448 “food additive,” with the restriction that it is “limited to be used for processed foods of plant origin.”

449  
450 **International Federation of Organic Agriculture Movements (IFOAM)**

451 Neither collagen nor casings are listed in IFOAM.

452  
453 Gelatin is listed in IFOAM in Appendix 3 as a “crop protectant and growth regulator,” and in Appendix 4,  
454 Table 1 as a “processing and post-harvest handling aid.”

455  
456 **Evaluation Questions for Substances to be used in Organic Handling**

457  
458 **Evaluation Question #1: Describe the most prevalent processes used to manufacture or formulate the**  
459 **petitioned substance. Further, describe any chemical change that may occur during manufacture or**  
460 **formulation of the petitioned substance when this substance is extracted from naturally occurring plant,**  
461 **animal, or mineral sources (7 U.S.C. § 6502 (21)).**

462  
463 *Collagen Gel*

464 Collagen is a natural animal protein found in skin, bones, muscle, and connective tissues (Kim and Mendis  
465 2006, Sahithi et al. 2013, Oechsle et al. 2014, Marousek et al. 2015). Collagen is isolated from these animal  
466 sources through hydrolysis during treatment of the animal byproduct by thermal, acid, base, or enzymatic  
467 treatments to cleave the protein (Kim and Park 2004, Karim and Bhat 2008, Rantanavaraporn et al. 2008, Ioi  
468 2013). Once cleaved, the collagen extract is decalcified and ground to uniformity within the collagen fibers  
469 (Harper et al. 2012, Ioi 2013, Hassan et al. 2018). The collagen fibers are then swollen with acid (typically  
470 hydrochloric (HCl) or sulfuric (H<sub>2</sub>SO<sub>4</sub>) acid) treatment before the extrusion process (Rantanavaraporn et al.  
471 2008, Barbut 2010, Ioi 2013).

472  
473 When used as petitioned, collagen would be applied to sausages manufactured through coextrusion. In the  
474 coextrusion method, the manufactured collagen casing is applied as a gel simultaneously to the extrusion  
475 of the sausage batter (Hoogenkamp 1994, Frye 1996, Marel Townsend). Once applied, the coextruded  
476 product is treated with a brine solution to firm the gel for the remainder of the processing (Hoogenkamp  
477 1994, Frye 1996, Marel Townsend). Crosslinking is then established by treatment with acid, heat, and/or  
478 smoke exposure (Hoogenkamp 1994, Frye 1996, Marel Townsend). Smoke has been reported to be an  
479 especially effective promotor of crosslinking due to the presence of aldehyde groups (Bateman et al. 1996,

480 Gomez-Guillen et al. 2002). Finally, the collagen casing adheres to the encased sausage batter through  
481 gelation, achieved by thermally denaturing the collagen proteins and reformation of portions of the triple  
482 helical structure (Ross-Murphy 1992, Karim and Bhat 2008, Yang et al. 2016, Hassan et al. 2018).

#### 483 484 *Gelatin*

485 Gelatin is produced by denaturing sources of collagen through the application of heat or changes to pH.  
486 The specific method of denaturing is typically dependent on the source of the collagen and will be  
487 discussed separately based on source (fish, bovine, and porcine).

488  
489 Gelatin prepared from fish is extracted from fish skins with the application of heat in conjunction with  
490 changes to pH by treatment with an acid (e.g., acetic acid, lactic acid, citric acid) to a base (e.g., sodium  
491 hydroxide) (USDA 2002). Once extracted, the gelatin mixture is concentrated and dried to yield the  
492 finished gelatin product (USDA 2002).

493  
494 Gelatin prepared from porcine sources is obtained from pigskins that have been dehaired via exposure to  
495 steam, flame, and paddling (Farmer et al. 1982). The dehaired pigskins are then degreased by  
496 centrifugation and steam treatments, or exposure to organic solvents such as tetrachloroethylene  
497 (Hinterwaldner 1977, Norris 1982). The treated pigskins are soaked in a food grade mineral acid (e.g.,  
498 hydrochloric acid (HCl), phosphoric acid (H<sub>3</sub>PO<sub>4</sub>), sulfuric acid (H<sub>2</sub>SO<sub>4</sub>)) during which the skins swell to  
499 two to three times the pretreatment size (Cole 2000, Ledward 2000, USDA 2002). The pigskins are then  
500 washed and extracted with hot water before filtration through an anion-cation exchange column for further  
501 purification (Hinterwaldner 1977, USDA 2002). Following filtration, the mixture is concentrated via  
502 evaporation and receives pH treatment to a final pH between 3.5 – 6, undergoes sterilization at 248 – 303 °F,  
503 and is dried to obtain the finished product (Hinterwaldner 1977, USDA 2002).

504  
505 Gelatin prepared from bovine sources is derived from collagen isolated from the hides and bones of cattle.  
506 Cattle bones are crushed, cooked at 180 – 250 °F, centrifuged, dried, and degreased before they are used in  
507 the manufacture of gelatin (Stainsby 1987, Rose 1991, USDA 2002). Due to the high mineral content of  
508 bones, the treated bone mixture then undergoes a demineralization process via treatment with 4 – 6%  
509 hydrochloric acid (HCl) (USDA 2002). The demineralized bone mixture is washed to remove impurities  
510 and undergoes a liming process, which includes extended (35 – 70 days) treatment with lime (calcium  
511 hydroxide) in order to increase the pH of the slurry to 12 – 12.7 (USDA 2002). The liming process eliminates  
512 non-collagen components of the mixture, which undergoes additional washes before treatment with a  
513 mineral acid (e.g., hydrochloric acid (HCl), sulfuric acid (H<sub>2</sub>SO<sub>4</sub>)) to decrease the pH to 3. The gelatin  
514 mixture undergoes a hot water extraction, followed by further purification by filtration through  
515 diatomaceous earth or exposure to a de-ionizing resin. After a final pH adjustment to 5 – 7, the mixture is  
516 concentrated, sterilized at 280 – 290 °F, and dried to yield the finished product (USDA 2002).

#### 517 518 *Casings*

519 Casings are produced from the intestines of animals following slaughter to aid in the defatting process and  
520 prevent bacterial contamination (Ioi 2013, Djordjevic et al. 2015). The casing then undergoes the sliming  
521 process (the removal of one or more layers of the intestinal lining) to increase the flexibility and  
522 permeability of the process casing (Ioi 2013, Djordjevic et al. 2015). The degree of the sliming process  
523 (number of intestinal layers removed) is dependent on the source of the intestine as well as the desired  
524 application (type of meat product) for the casing (Ioi 2013, Djordjevic et al. 2015). The casing undergoes  
525 subsequent washes with salt water to remove impurities and residual blood (Savic and Savic 2002). If not  
526 used immediately, the casings are cured by treatment with salt, and are dried to increase their shelf life  
527 (Savic and Savic 2002, Ioi 2013, Djordjevic et al. 2015). Following the drying process, natural casings are  
528 washed in water, then soaked (in water) for 3 – 5 hours prior to use in sausage production to remove excess  
529 salt content and increase the flexibility of the casing (Ioi 2013, Djordjevic et al. 2015). Moreover, the  
530 addition of dilute (~2%) organic acids (e.g., lactic acid) to the water acts to further increase the elasticity of  
531 the casing (Djordjevic et al. 2015).

532

533 **Evaluation Question #2: Discuss whether the petitioned substance is formulated or manufactured by a**  
534 **chemical process or created by naturally occurring biological processes (7 U.S.C. § 6502 (21)). Discuss**  
535 **whether the petitioned substance is derived from an agricultural source.**  
536

537 Collagen is a naturally occurring protein that is prevalent in animal skins, bones, muscle, and connective  
538 tissues (Kim and Mendis 2006, Sahithi et al. 2013, Oechsle et al. 2014, Marousek et al. 2015). Collagen is  
539 isolated from agricultural livestock sources, primarily of hog and cattle origin (Karim and Bhat 2008,  
540 Oechsle et al. 2017). However, with the rise of bovine spongiform encephalopathy (BSE), also known as  
541 foot-and-mouth-disease in the 1980s, there has been increased interest in alternative collagen sources  
542 (Sadowska et al. 2003, Kim and Park 2004, Karim and Bhat 2008, Oechsle et al. 2017). Collagen is typically  
543 isolated via an acid catalyzed hydrolysis of the protein-amide backbone (Savic and Savic 2002, Sadowska et  
544 al. 2003, Kim and Park 2004, Kim and Mendis 2006, Barbut 2010, Mohammad et al. 2012). The isolated  
545 collagen source is decalcified and homogenized before the fibers undergo further denaturation and  
546 “swelling” from acid treatment (typically hydrochloric (HCl) or sulfuric (H<sub>2</sub>SO<sub>4</sub>) acid) before the extrusion  
547 process to form manufactured casings, or coextrusion to form a non-removable edible film  
548 (Rantanavaraporn et al. 2008, Barbut 2010, Ioi 2013).  
549

550 Gelatin is manufactured by additional processing of collagen, specifically through denaturation of the  
551 protein using heat and/or changes to pH. These changes disrupt the native-state structure of protein,  
552 causing the helix to partially unwind (de Wolf 2003, Schrieber and Gareis 2007, Karim and Bhat 2008).  
553 Upon cooling, new interactions between the unwound strands are formed, resulting in gelation of the  
554 mixture (de Wolf 2003, Schrieber and Gareis 2007, Karim and Bhat 2008).  
555

556 Casings are produced from the processing of animal intestines. They are isolated following the slaughter of  
557 the animal, and undergo several washes, defatting, sliming, and curing procedures to yield the completed  
558 casing (Ioi 2013, Djordjevic et al. 2015).  
559

560 Due to the common animal sources (bovine, porcine) for all substances, and the additional marine sources  
561 of collagen gel and gelatin, all substances can be considered as derived from agricultural sources  
562 (Sadowska et al. 2003, Kim and Park 2004, Djordjevic et al. 2015, Wu et al. 2017).  
563

564 **Evaluation Question #3: If the substance is a synthetic substance, provide a list of non-synthetic or**  
565 **natural source(s) of the petitioned substance (7 CFR § 205.600 (b) (1)).**  
566

567 Collagen is a naturally occurring and abundant animal protein that is isolated from livestock and maritime  
568 (fish) sources (Sadowska et al. 2003, Kim and Park 2004, Karim and Bhat 2008, Oechsle et al. 2017). The  
569 isolation process includes the partial hydrolysis of the protein, typically achieved with acid or base  
570 treatment, homogenization, and further denaturation with acid before final extrusion to form  
571 manufactured casings or coextrusion for direct application to extruded sausage batter (Rantanavaraporn et  
572 al. 2008, Barbut 2010, Ioi 2013). Due to the natural prevalence and low cost of natural animal sources, the  
573 petitioned substance is not manufactured on an industrial scale.  
574

575 Gelatin is not a naturally occurring substance and is obtained by denaturing the protein collagen. The  
576 denaturing process occurs through the treatment of collagen with heat and/or changes to pH (de Wolf  
577 2003, Schrieber and Gareis 2007, Karim and Bhat 2008). These denaturing processes disrupt hydrogen  
578 bonding and other native state protein interactions and result in the partial unwinding of the helical  
579 structure of the collagen protein (de Wolf 2003, Schrieber and Gareis 2007, Karim and Bhat 2008). Upon  
580 cooling, some of these interactions are re-established in gelatin, although in an altered chemical structure  
581 compared to the original protein, resulting in the formation of a gel (Ross-Murphy 1992, Karim and Bhat  
582 2008, Yang et al. 2016, Hassan et al. 2018). While a wide range of gelatin alternatives have been explored,  
583 none have been identified as a full replacement for the versatile substance (Karim and Bhat 2008).  
584

585 Casings are obtained through the processing of animal intestines and can be considered non-synthetic.  
586 Casing production includes: several washes, defatting of the intestine, sliming (removal of intestinal

587 layers), subsequent washes, and finally drying and salting of the processed intestine (Barbut 2010, Ioi 2013,  
588 Djordjevic et al. 2015).

589

590 **Evaluation Question #4: Specify whether the petitioned substance is categorized as generally**  
591 **recognized as safe (GRAS) when used according to FDA's good manufacturing practices (7 CFR §**  
592 **205.600 (b)(5)). If not categorized as GRAS, describe the regulatory status.**

593

594 Collagen has not been granted GRAS status by the FDA at 21 CFR Parts 182, 184, or 186. However,  
595 collagen, in the form of pork collagen appears on the FDA's "GRAS Notice Inventory," at GRN No. 21,  
596 with an intended use "in meat products as a binder and purge reducing agent at levels of 1.0 to 3.5  
597 percent." The FDA has responded to the manufacturer notification with a letter containing no questions.

598

599 Gelatin has been granted GRAS status by the FDA for "substances migrating from cotton and cotton fabrics  
600 used in dry food packaging," at 21 CFR 182.70. Moreover, gelatin is generally recognized as safe (GRAS)  
601 when used "to clarify juice or wine," at 27 CFR 24.246.

602

603 Casings have not received GRAS status. The production of casings from bovine sources must be compliant  
604 with the guidelines outlined at 9 CFR 310.22 to demonstrate the risk evaluation of the cattle source for  
605 bovine spongiform encephalopathy (BSE), as stipulated at 9 CFR 318.6.

606

607 **Evaluation Question #5: Describe whether the primary technical function or purpose of the petitioned**  
608 **substance is a preservative. If so, provide a detailed description of its mechanism as a preservative (7**  
609 **CFR § 205.600 (b)(4)).**

610

611 The primary use of collagen is not to act as a preservative. However, when used as petitioned, collagen  
612 would be applied to the sausage batter, and upon processing would act as an edible film encasing the  
613 sausage (Hoogenkamp 1994, Frye 1996, Yang et al. 2016, Hassan et al. 2018). Once established, the collagen  
614 casing is fused to the sausage batter and acts as a barrier to the movement of gases, moisture, solvents, and  
615 biological contamination, collectively preserving the product and extending its shelf-life (Frye 1996,  
616 Debeaufort et al. 1998, Marousek et al. 2015, Aloui and Khwaldia 2016, Hassan et al. 2018). The  
617 preservative characteristics of the collagen gel casing are also attributable to natural casings, which  
618 likewise act as a barrier for the migration of solvents, gases, moisture, solvents, and biological  
619 contaminants (Ioi 2013, Djordjevic et al. 2015). Like collagen gel and casings, gelatin does not function  
620 primarily as a preservative; however, it does have the ability to encapsulate a food product. This additional  
621 barrier provides some degree of enhanced protection from biological contamination and may extend the  
622 shelf life of the encapsulated food product (Hood 1987, USDA 2002).

623

624 **Evaluation Question #6: Describe whether the petitioned substance will be used primarily to recreate or**  
625 **improve flavors, colors, textures, or nutritive values lost in processing (except when required by law)**  
626 **and how the substance recreates or improves any of these food/feed characteristics (7 CFR § 205.600**  
627 **(b)(4)).**

628

629 The primary use of collagen is not for the improvement of flavors, colors, textures, or nutritive values lost  
630 in processing. However, collagen gel applied to sausage manufacture via coextrusion has been reported to  
631 influence the organoleptic properties of the final product (Savic and Savic 2002, Han and Gennadios 2005,  
632 Vasconez et al. 2009). Likewise, the type, thickness, treatment, and permeability of natural casings  
633 influence the organoleptic properties of the sausage product (Barbut 2010, Harper et al. 2012, Ioi 2013,  
634 Djordjevic et al. 2015). Moreover, the formulation of the collagen gel and post application processes to the  
635 extruded sausage have been shown to affect the coloration and flavor profile of the final product (Savic and  
636 Savic 2002, Han and Gennadios 2005).

637

638 Gelatin is used in a wide range of applications within the food industry. One such application is as a  
639 texturizing agent (USDA 2002). Gelatin's mode of action as a texturizing agent is in the formation of  
640 thermally reversible gels, whose lower than body temperature (<35 °C) thermal stability results in a  
641 "melt-in-mouth feel" (Karim and Bhat 2008). This property is due to the formation of new, but weaker  
642 hydrogen bonding and cross-linking interactions between unwound strands of the denatured collagen

643 protein (gelatin). The structure of gelatin differs from the native state of the previous collagen protein, and  
644 the newly formed interactions are sufficiently weak to be disrupted at relatively low temperatures, which  
645 allow for unique textural changes upon ingestion of the gelatin-containing food product (USDA 2002,  
646 Karim and Bhat 2008).

647  
648 **Evaluation Question #7: Describe any effect or potential effect on the nutritional quality of the food or**  
649 **feed when the petitioned substance is used (7 CFR § 205.600 (b)(3)).**  
650

651 Collagen is petitioned for use as a sausage casing applied via coextrusion with the sausage batter. The  
652 collagen gel applied in the coextrusion process is isolated from the animal protein collagen, found in skin,  
653 bones, blood vessels, muscle, and connective tissue (Kim and Mendis 2006, Sahithi et al. 2013, Oechsle et al.  
654 2014, Marousek et al. 2015). Like all other proteins, the coextruded collagen casing is formed from, and  
655 would be metabolized to amino acids, the building block of human proteins and other biologically  
656 important molecules (Kim and Mendis 2006, Hassan et al. 2018). However, when used as petitioned, the  
657 coextruded collagen casing would account for approximately 0.15 – 0.25% of the finished product, making  
658 the nutritional contribution of the collagen negligible (USDA 2018). Like collagen gel, casings are primarily  
659 composed of collagen and would contribute to a small portion (<1%) of the final product, and as such, are  
660 unlikely to influence the nutritional content of the final food product.

661  
662 Gelatin provides little nutritional and protein quality, primarily due to the absence of the amino acid  
663 tryptophan, and deficiencies in the amino acids isoleucine, threonine, and methionine (Potter and  
664 Hotchkiss 1998, USDA 2002). Based on the documented low nutritional value of gelatin, the effect of its  
665 addition to food products would be negligible.

666  
667 **Evaluation Question #8: List any reported residues of heavy metals or other contaminants in excess of**  
668 **FDA tolerances that are present or have been reported in the petitioned substance (7 CFR § 205.600**  
669 **(b)(5)).**  
670

671 There are no published reports of heavy metals and other contaminants present in formulations of collagen  
672 gel and casings. However, gelatin has been reported as having the potential for contamination by  
673 chromium and pentachlorophenol, depending on the initial source of collagen (Food and Nutritional Board  
674 1996).

675  
676 **Evaluation Question #9: Discuss and summarize findings on whether the manufacture and use of the**  
677 **petitioned substance may be harmful to the environment or biodiversity (7 U.S.C. § 6517 (c) (1) (A) (i)**  
678 **and 7 U.S.C. § 6517 (c) (2) (A) (i)).**  
679

680 There are no published studies on the environmental persistence or impacts to biodiversity of collagen gel,  
681 gelatin, or casings. However, collagen and gelatin have been widely incorporated into a range of  
682 industries, including food and medicine, and are widely regarded as biocompatible and biodegradable  
683 (Schrieber and Gareis 2007, Karim and Bhat 2008). Based on the natural abundance of collagen (the primary  
684 component of collagen gel, gelatin, and natural casings), and its historic use in industrial settings, it is not  
685 anticipated to have a negative impact on the environment or biodiversity.

686  
687 The primary source of collagen gel and natural casings is from treatment of livestock and fish byproducts,  
688 making its production unlikely to increase waste (Karim and Bhat 2008, Mohammad et al. 2012, Marousek  
689 et al. 2015). Conversely, the manufacture of collagen may result in reductions to livestock and fish wastes.  
690 This has been especially true for the treatment of fish byproducts, which were commonly dumped into the  
691 ocean before they began to be utilized for a source of collagen (Ciarlo et al. 1997, Kim and Park 2004, Kim  
692 and Mendis 2006, Mohammad et al. 2012). Since gelatin is produced by the denaturing of collagen, the  
693 previous discussion applies to both collagen gel and gelatin.

694

695 **Evaluation Question #10: Describe and summarize any reported effects upon human health from use of**  
696 **the petitioned substance (7 U.S.C. § 6517 (c) (1) (A) (i), 7 U.S.C. § 6517 (c) (2) (A) (i) and 7 U.S.C. § 6518**  
697 **(m) (4)).**  
698

699 Collagen is a naturally occurring protein in humans and a range of other animals. There have been no  
700 published studies on the impact of collagen and gelatin on human health. However, collagen and gelatin  
701 have been widely incorporated into a range of industries, including food and medicine, and are widely  
702 regarded as biocompatible and biodegradable (Schrieber and Gareis 2007, Karim and Bhat 2008). Based on  
703 the natural abundance of collagen, and its long use in industrial settings, it is not anticipated to have a  
704 negative impact on human health. Since the primary component of natural casings is the protein collagen,  
705 the previous discussion also applies to casings.  
706

707 **Evaluation Question #11: Describe any alternative practices that would make the use of the petitioned**  
708 **substance unnecessary (7 U.S.C. § 6518 (m) (6)).**  
709

710 The manufacturing process for sausages requires a casing to provide structure to the encased meat  
711 product, and to regulate the movement of gases, moisture, solvents, and flavorings in and out of the  
712 sausage (Savic and Savic 2002, Barbut 2010). Moreover, the casing provides protection from biological  
713 contaminants and a mechanism for the delivery of texture and flavoring agents (Savic and Savic 2002, Han  
714 and Gennadios 2005, Vasconez et al. 2009).  
715

716 **Evaluation Question #12: Describe all natural (non-synthetic) substances or products which may be**  
717 **used in place of a petitioned substance (7 U.S.C. § 6517 (c) (1) (A) (ii)). Provide a list of allowed**  
718 **substances that may be used in place of the petitioned substance (7 U.S.C. § 6518 (m) (6)).**  
719

720 There are two main alternatives to the petitioned substance and coextruded casings in general. These are  
721 the traditional sausage casings, both natural (digestive tubing) and manufactured (regenerated casings  
722 from collagen, cellulose, and other materials) (Hoogenkamp 1994, Rantanavaraporn et al. 2008, Harper et  
723 al. 2012, Ioi 2013). The use of certified organic livestock digestive tubing for natural casings is allowed  
724 under USDA organic regulations as well as nonorganically produced casings from processed intestines  
725 (7 CFR 205.606). Manufactured (regenerated) cellulose casings (classified as synthetic) are also allowed  
726 under USDA organic regulations at 7 CFR 205.605(b) in “organic” or “made with organic” products.  
727

728 **Evaluation Information #13: Provide a list of organic agricultural products that could be alternatives for**  
729 **the petitioned substance (7 CFR § 205.600 (b) (1)).**  
730

731 Organic livestock digestive tubing (i.e., derived from organic livestock and handled organically) for natural  
732 casings is an alternative to nonorganically produced casings from processed intestines and for other  
733 casings (e.g., regenerative casings). It is possible to isolate both collagen and gelatin from wholly organic  
734 sources (using only organic livestock sources), demonstrated by bovine and porcine collagen and gelatin  
735 products that have been labeled as “organic,” with certifications from the USDA and Australian authorities  
736 (Changing Habits 2018, Gel-pro 2018, Vital Proteins 2018).  
737

### Report Authorship

739  
740 The following individuals were involved in research, data collection, writing, editing, and/or final  
741 approval of this report:  
742

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746

747 All individuals are in compliance with Federal Acquisition Regulations (FAR) Subpart 3.11 – Preventing  
748 Personal Conflicts of Interest for Contractor Employees Performing Acquisition Functions.  
749

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