Formal Recommendation

From: The National Organic Standards Board (NOSB)

To: The National Organic Program (NOP)

Date: October 24, 2024

Subject: Inert Ingredients in Organic Pesticide Products

NOSB Chair: Kyla Smith

The NOSB hereby recommends to the NOP the following:

Rulemaking Action: X

Statement of the Recommendation:

NOSB recommends to NOP to move forward with rulemaking to update the list of inert ingredients allowed in pesticide products used in organic production. In this recommendation, NOSB has provided the program with two viable options. We also recognize that NOSB has provided recommendations on inert ingredients to NOP in the past (Fall 2015), and we support NOP in drawing from both recommendations and, in particular, these two options when moving forward with proposed and final rulemaking.

Rationale Supporting Recommendation:

NOSB would like to highlight the following additional aspects of these two options and additional considerations for NOP:

- Individual listings: In our first option, which lists all inert ingredient substances currently in use individually, "Appendix A" is referenced as the source for the individual listings. Appendix A should be sorted to only include the approximately 300 inert ingredients that are currently in use ("Y" in column 1), as per the disclosure of such ingredients submitted by Material Review Organizations (MROs) in the September 2, 2022 Advanced Notice of Proposed Rulemaking. NOSB also recognizes that this list may be incomplete, as formulations may have changed since that time and EPA also reviews pesticides for compliance to the National Organic Program and inert ingredients used in pesticides that are approved by EPA but not by any other MRO may be absent from this list. NOSB encourages NOP to work closely with EPA to ensure any and all substances currently in use are included in rulemaking should the program proceed with listing substances individually.
- 2. EPA tolerance exempt inert ingredients with exceptions: In our second option, NOSB proposes accepting all inert ingredients allowed in pesticides that EPA has determined to be exempt from the requirement with tolerance with certain exceptions. NOSB identifies two specific exceptions to include in initial rulemaking (Alkylphenol ethoxylate substances and Per- and polyfluoroalkyl substances), as we recognize these substances' failure to meet National List criteria related to human and environmental health.
- 3. Hybrid option: NOSB recognizes that developing and implementing rulemaking on inert substances will pose unique challenges, as NOP will need to ensure that any rulemaking aligns with authorizing legislation and regulations of another agency, chiefly EPA. This effort may reveal challenges that have yet to be anticipated, and we encourage NOP to draw strengths from either option in order to overcome those challenges. However, the following concepts are "must haves" for NOSB and the organic community in a hybrid option (as applicable to the option):
 - a. To the extent that individual listings are included in the proposal, these listings should maintain the current universe of allowed inert ingredients, so there is as little disruption to the industry as possible and so future sunset reviews of the listings can proceed efficiently.

- b. To the extent that EPA lists with exceptions are included in the proposal these lists must be limited to only those that are allowed in tolerance exempt pesticides and must include the two exceptions proposed in this recommendation
- 4. Inconsistency between options: As NOP moves forward with its analysis, it may discover that there are inconsistencies between options. For example, moving forward with Option 1 may result in alkylphenol ethoxylate or per- and polyfluoroalkyl substances to be included on the National List while moving forward with Option 2 would specifically prohibit these substances. Likewise, moving forward with Option 2 would likely add substances not included in Option 1 (individual listings of substances currently in use). Regardless of which option or combination of options NOP uses in its rulemaking, NOSB requests that the proposed and final rule meet the following objectives:
 - a. <u>Prohibit</u>, either by omission or by specific reference, Alkylphenol ethoxylate and perand polyfluoroalkyl substances as inert ingredients
 - b. <u>Require</u> any substances that are added to the allowed set of inert substances as a result of referencing EPA regulations be, at a minimum, allowed for use in pesticide products exempt from the requirement of tolerance.

NOSB believes that future sunset reviews once rulemaking is final will be sufficient to resolve any additional inconsistencies that may arise in the rulemaking process.

5. It is important to note that NOSB voted on this recommendation in October 2024, when the content of the relevant EPA lists were relatively stable. NOSB's recommendation must not be interpreted as supporting allowance of inert substances that do not meet OFPA criteria, particularly if these lists change significantly in the future.

NOSB Vote 1:

Motion to send the proposal back to the Materials Subcommittee for additional work.

Motion by: Allison Johnson Seconded by: Carolyn Dimitri

Yes: 5 No: 9 Abstain: 0 Recuse: 0 Absent: 1

Motion Failed

NOSB Vote 2:

Motion to accept this proposal, which proposes two viable listing motion options for NOP to consider in rulemaking related to synthetic inert ingredients used in organic pesticide products.

Motion to add [individual substances identified in Appendix A] at 205.601(m)

- (m) As synthetic inert ingredients as classified by the Environmental Protection Agency (EPA), for use with nonsynthetic substances or synthetic substances listed in this section and used as an active pesticide ingredient in accordance with any limitations on the use of such substances.
 - (1) EPA List 4-Inerts of Minimal Concern
 - (2) EPA List 3-Inerts of unknown toxicity-for use only in passive pheromone dispensers
 - (1) 1,2,3-Octadecenoate (CAS 9007-48-1)
 - (2) <u>12-Hydroxystearic acid-polyethylene glycol copolymer (CAS 70142-34-6)</u>
 - (3) <u>...</u>

Motion to add [individual substances identified in Appendix A] at 205.603(e)

- (e) As synthetic inert ingredients as classified by the Environmental Protection Agency (EPA), for use with nonsynthetic substances or synthetic substances listed in this section and used as an active pesticide ingredient in accordance with any limitations on the use of such substances.
 - (1) EPA List 4-Inerts of Minimal Concern
 - (2) EPA List 3-Inerts of unknown toxicity-for use only in passive pheromone dispensers
 - (1) 1,2,3-Octadecenoate (CAS 9007-48-1)
 - (2) 12-Hydroxystearic acid-polyethylene glycol copolymer (CAS 70142-34-6)
 - (3) <u>...</u>

OR

Motion to amend 205.601(m)

- (m) As Synthetic inert ingredients as classified by the Environmental Protection Agency (EPA) and exempted from the requirement of a tolerance, for use with nonsynthetic substances or synthetic substances listed in this section and used as an active pesticide ingredient in accordance with any limitations on the use of such substances, except for:
 - (1) EPA List 4-Inerts of Minimal Concern
 - (2) EPA List 3-Inerts of unknown toxicity for use only in passive pheromone dispensers
 - (1) Alkylphenol ethoxylate substances
 - (2) Per- and polyfluoroalkyl substances

Motion to amend 205.603(e)

- (e) As Synthetic inert ingredients as classified by the Environmental Protection Agency (EPA) and exempted from the requirement of a tolerance, for use with nonsynthetic substances or synthetic substances listed in this section and used as an active pesticide ingredient in accordance with any limitations on the use of such substances, except for:
 - (1) EPA List 4-Inerts of Minimal Concern
 - (2) EPA List 3-Inerts of unknown toxicity-for use only in passive pheromone dispensers
 - (1) Alkylphenol ethoxylate substances
 - (2) Per- and polyfluoroalkyl substances

Motion by: Nate Lewis Seconded by: Brian Caldwell

Yes: 11 No: 1 Abstain: 2 Recuse: 0 Absent: 1

Motion Passed

National Organic Standards Board Materials Subcommittee Inert Ingredients in Organic Pesticide Products Proposal August 13, 2024

Introduction:

The National Organic Program (NOP) issued a <u>memo</u> to National Organic Standards Board (NOSB) on June 23, 2023 requesting the NOSB provide a recommendation related to inert ingredients used in pesticide products allowed in organic production. The memo provides a history of the inerts issue, describes four options NOP is considering for the future regulation of inert ingredients, and provides a synthesis of the public comments received on NOP's Advance Notice of Proposed Rulemaking (ANPR) published September 2, 2022. The four options as described by NOP are as follows:

- Allow inert ingredients in EPA-registered pesticides without further review. This would be the
 easiest to implement and an effective way to evaluate products for compliance. This option
 would require stakeholders to actively engage in EPA rulemaking and may delegate some
 control of inert ingredients in organic production to the EPA.
- Reference a subset of EPA regulations (e.g., inerts exempt from the requirement of a tolerance)
 for allowed inert ingredients. This could be combined with an initial list of prohibited inert
 ingredients. Further prohibitions or allowances may be added through the petition process. This
 option maintains much of the simplicity of allowing all EPA registered pesticides while allowing
 more control. Specifically, it allows stakeholders to submit petitions to prohibit or allow certain
 inert ingredients as more research is published.
- Develop a single, external list of allowed inert ingredients. The National List would reference this
 list for allowed inert ingredients. This would function similarly to the current system of
 referencing EPA List 3 and List 4. This option reduces the sunset burden but is inflexible, like the
 current reliance on EPA List 3 and List 4. The initial list could be developed from EPA List 3 and
 List 4, but it is unclear how and by whom this list would be maintained or updated, and how it
 would fit within the regulatory framework of the National List.
- List allowed inert ingredients individually on the National List in the organic regulations. While
 the NOSB may be able to initially review these inert ingredients in groups to recommend adding
 them to the National List, they would need individual sunset reviews every five years. This could
 nearly double the Board's sunset workload.

NOSB received numerous comments on the topic of inert ingredients at its Fall 2023 and Spring 2023 meetings; the general themes of the comments are summarized below:

- There are two options that garner the most support: 1. To list each inert ingredient allowed for use in organic pesticide formulations on the National List individually; and 2. To reference a subset of EPA regulations in combination with an initial list of prohibited inert ingredients.
- There is consensus that inert ingredients allowed in minimum risk ("25(b)") pesticides and inert ingredients allowed in pheromone type pesticides should be allowed in organic production.
- There is little interest from stakeholders in allowing all inert ingredients permitted in EPA pesticides in organic pesticide formulations, as this would delegate too much of the regulatory authority away from NOSB and NOP.
- Several stakeholders pointed out that the number of inert ingredients currently in use is a
 relatively small subset of those permitted, and should be the starting point for handling this
 issue. Material Review Organizations (MRO's) can disclose the inerts in formulations they
 approve, without revealing confidential information about specific products.

Subcommittee Resources:

The Materials' Subcommittee (MS) has worked with NOP staff to provide the resources needed to continue evaluating the viability of these options, which we describe in additional detail:

- Inert substances spreadsheet in collaboration with Board members, NOP staff have developed a spreadsheet of the three hundred inert substances currently in use in organic pesticide formulas (according to public comments from MROs), descriptions of these substances' functions in formulas and general chemical classifications, and any current EPA inert ingredient regulations that list these substances. The spreadsheet also includes all of the substances currently allowed as inert ingredients, which have been allowed in pesticide products as part of EPA's ongoing inert ingredient review and approval process.
- Guest speaker The MS has had the pleasure of hosting two calls with experts in the field of
 pesticide formulation and registration. Evisabel Craig and Kerry Leifer from EPA provided an
 overview of EPA's inert ingredient review and approval process, and Karen Warkentien and
 Scott Tann-Lamberti, both from companies that formulate pesticides and produce inert
 ingredients, provided the MS with an overview of the considerations taken into account when
 formulating different types of pesticides and how the pesticide industry has adapted to EPA's
 current regulatory framework governing approval of inert ingredients in pesticide products.

Below is a list of viable options that the MS has identified in response to the National Organic Program's request. It must be emphasized that the NOSB deems it extremely important to avoid the current dependence on an outdated and/or static substance list.

Two Viable Options

The MS has concluded through its own review and discussion, input from stakeholders through the public comment, and guest speakers, that there are two viable options to review inert ingredients in organic pesticide products:

- 1. List inert ingredients individually on the National List. Starting with the list of substances currently in use in organic pesticide formulas, NOP should move forward with rulemaking listing all of these substances individually. With the status-quo preserved in the National List with individual listings, additions and removals can be petitioned by the public as needed, and NOSB can propose additions and removals during the sunset cycle. MS acknowledges this option expands the National List substantially and adds to the sunset review burden for future boards, however, this option also focuses the individual review of inert substances used in organic pesticides away from EPA and towards NOSB and NOP, which aligns with many public commenters' opinions.
- 2. Allow substances defined and allowed by EPA as "inert ingredients" (40 CFR 152.3 & 7 CFR 205.2) with restrictions and prohibitions. Starting with inert ingredients that have been reviewed and approved by EPA for inclusion in pesticide formulas, MS recommends further restricting this group by only allowing those which are allowed in formulations that are exempt from the requirement of tolerance. MS also proposes an initial list of prohibitions that include alkylphenol ethoxylate substances and per- and polyfluoroalkyl substances.

Sunset Review

Both options will require sunset review every five years as mandated by the Organic Foods Production Act (OFPA). While the two options may present different sunset review burdens, future boards will benefit from NOSB providing a road map for this review. As such, the MS will continue to work on developing a sunset review process for both options, so future boards are supported in their ongoing work. We hope this work can parallel the rulemaking process at NOP so that there is a sunset review road map for whatever option NOP ultimately decides to enact.

Further Discussion:

Option 1: List Individually or individually by group

National List Criteria

Substances to be added to the national must satisfy the following criteria:

- 1. The input is necessary or essential because of the unavailability of natural or organic alternatives
- 2. The input is not harmful to human health or the environment; and
- 3. The input is consistent with organic principles.

Pros / Cons

This option aligns fully with National List requirements. It is not dependent on external lists that may change without notice over time, giving confidence to pesticide manufacturers. Inerts that are nonsynthetic are not part of the National List and can be used in organic pesticide formulations. Manufacturers must document their nonsynthetic status as part of the material review process.

Our current listing of inerts in use in approved organic pesticides indicates 227 synthetic substances. All of these substances will need to be reviewed on a staggered 5-year basis. We suggest they be placed into groups based on chemical types and use:

- Alkylphenols
- Polymers
- Emulsifiers and surfactants
- Solvents
- Minerals, pH adjusters, physical effects
- Preservatives
- Coloring agents
- Other

This grouping approach should not impact how a substance can be used in a pesticide formulation, but, rather, provides a framework to add efficiency to the sunset review process. For example, if a substance functions as either a surfactant or a solvent, it can be used for either purpose regardless of whether its sunset review is done within the surfactant group or the solvent group. This process is manageable and gives pesticide manufacturers the opportunity to provide input to the NOSB during the sunset review process. If a substance is voted by the NOSB to be removed from the National List, there is time during rulemaking for further input and reformulation.

This process is transparent and allows the NOSB to apply NOP standards to inerts, which go beyond the requirements of the EPA for approval. It strengthens the integrity of the process and allows for innovation since substances not on the National List can be petitioned for inclusion.

Sunset Considerations

We suggest staggering the reviews of these groups over a 5-year period, with those that may have problematic items being reviewed earlier. For instance, the alkylphenol group or some members of the emulsifiers and surfactant group may be removed from the list after initial review. We expect the first sunset review of each group to be most difficult, requiring research assistance from the NOSB food technologist. Subsequent reviews may require less work.

Option 2: EPA List with restrictions and prohibitions

National List Criteria

Substances to be added to the National List must satisfy the following criteria:

- 1. The input is necessary or essential because of the unavailability of natural or organic alternatives
- 2. The input is not harmful to human health or the environment; and
- 3. The input is consistent with organic principles.
 - a. Inert ingredients are necessary components of organic pesticide formulations.
 Depending on the chemical and physical characteristics of approved active ingredients, the target pest, and application method, synthetic inert ingredients are necessary in

- order to make pesticide formulations effective. It is impossible to evaluate the necessity of each individual inert ingredient within a particular pesticide formulation, as these formulations are confidential and protected by the disclosure laws included in the Federal Insecticide, Fungicide, and Rodenticide Act.
- b. EPA currently evaluates all inert ingredients used in pesticide formulations and either determines that the individual compounds are allowed in pesticide formulations that are exempt from tolerance or establishes limits for individual compounds used in pesticide formulas. NOSB is proposing to only allow the inert ingredients that are permitted to be included in pesticide formulas exempt from the requirement of a tolerance because these are the substances that EPA has determined to be not harmful to human health or the environment. We have also proposed an exception to this general rule for alkyl-phenol ethoxylates, as new science has shown this class of compounds to have negative environmental impacts and should not be allowed in organic pesticide formulas.
- c. Consistency with organic principles is a challenging National List criteria to apply to an opaque set of compounds and formulas. However, we acknowledge that forever chemicals such as per- and polyfluoroalkyl substances (PFAS) are not consistent with organic principles and should not be included in organic pesticide formulas regardless of use pattern or potential to cause harm to human health or the environment. Therefore, we propose an additional exception to the allowance for inert ingredients to prohibit this class of substances.

The intention behind this option is to allow all inert ingredients allowed in pesticide formulas exempt from tolerance, with exceptions. The two exceptions proposed at this time are alkylphenol ethoxylates and PFAS for reasons explained above. We chose to use language in the recommendation around exemptions from tolerance rather than specific regulatory references in order to ensure this baseline remains evergreen should EPA update its categorization of inert ingredients again. However, currently, the inert ingredients that meet this minimum threshold are included in the following federal references:

- 40 CFR 180.910 (Crops)
- 40 CFR 180.920 (Crops)
- 40 CFR 180.930 (Livestock)
- 40 CFR 180.940 (Post-Harvest Antimicrobials)
- 40 CFR 180.950 (Minimum Risk Pesticides)
- 40 CFR 180.960 (Polymers for Passive Pheromone Dispensers)

Pros / Cons

The option to align with EPA's list of inert ingredients with exceptions will significantly reduce the burden on NOSB to conduct lengthy sunset reviews of each substance potentially used in pesticide formulas. It will allow NOSB to focus on prohibiting problematic substances as they arise during the sunset review process. This option also does not rely on MROs disclosing substances actually in use in organic pesticide formulas, which is privileged information and may not be available to NOSB in future sunset cycles. It also ensures that, at a bare minimum, only inert substances allowed in tolerance-exempt pesticides will be allowed in organic pesticide formulas. It also allows pesticide manufacturers to reformulate organic pesticides with the

industry's best and least toxic materials immediately rather than to wait for the petition and addition of individual compounds to the National List.

The efficiency gained by aligning with EPA does come with tradeoffs, however. NOSB will not evaluate and vote on each individual inert ingredient allowed in organic pesticide formulas, and some stakeholders will view this unfavorably. There is also a concern related to the potential difficulty in adding to the list of exceptions in the future as new science reveals additional substances that should not be permitted in organic pesticide formulas.

Sunset Review Considerations

Regardless of which option ultimately becomes part of the National List, NOSB will be obligated to conduct sunset reviews of the listings. Should the EPA list-with-exceptions become the regulation, NOSB will have to evaluate whether these substances continue to meet National List Criteria or if additional prohibitions should be proposed. In order to support this work, the NOSB is committed to developing an inerts sunset "roadmap" regardless of which option is adopted. For this option, a starting place for future NOSB sunset reviews could be to compare current allowances and exceptions with the European Union's banned co-formulants list (Annex III to Regulation (EC) No 1107/2009). Many of the substances on this list are prohibited with the proposed exceptions (APEs and PFAS) in this proposal.

Subcommittee Vote:

Motion to accept this proposal, which proposes two viable listing motion options for NOP to consider in rulemaking related to synthetic inert ingredients used in organic pesticide products.

Motion to add [individual substances identified in Appendix A] at 205.601(m)

- (m) As synthetic inert ingredients as classified by the Environmental Protection Agency (EPA), for use with nonsynthetic substances or synthetic substances listed in this section and used as an active pesticide ingredient in accordance with any limitations on the use of such substances.
 - (1)—EPA List 4-Inerts of Minimal Concern
 - (2) EPA List 3 Inerts of unknown toxicity for use only in passive pheromone dispensers
 - (1) 1,2,3-Octadecenoate (CAS 9007-48-1)
 - (2) 12-Hydroxystearic acid-polyethylene glycol copolymer (CAS 70142-34-6)
 - (3) <u>...</u>

Motion to add [individual substances identified in Appendix A] at 205.603(e)

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 - (1) Alkylphenol ethoxylate substances
 - (2) Per- and polyfluoroalkyl substances

Motion by: Nate Lewis Seconded by: Brian Caldwell

Yes: 7 No: 0 Abstain: 0 Recuse: 0 Absent: 1

APPENDIX A:

See <u>Regulations.gov</u> - docket # AMS-NOP-24-0023 - under "Supporting & Related Material" for Excel Spreadsheet