

## SUPPLEMENT 602 TO AMS MASTER SOLICITATION

June 2012

### PURCHASE OF FROZEN LAMB PRODUCTS FOR DISTRIBUTION TO FEDERAL FOOD AND NUTRITION PROGRAMS

This document provides additional USDA requirements and specification for the purchase of frozen lamb products described in the attached [CHECKLIST AND REFERENCES TO THE APPLICABLE BID AND CONTRACT PROVISIONS \(Exhibit A\)](#). In addition to product descriptions, this exhibit also provides information on the [Technical Data Supplement for Lamb Leg Roasts and Shoulder Chops, Frozen \(TDS-LRC-2012\)](#), effective May 2012 ([Attachment I](#)).

#### I. INSTRUCTIONS TO POTENTIAL BIDDERS

A. The frozen lamb products will be purchased on a competitive bid basis from suppliers who have met the requirements described in Section I.B.

#### B. Assessment Requirement

##### 1. Grading and Verification Division (GVD) Monitoring and Evaluation Program

a. Eligible suppliers who receive USDA contracts must have a GVD agent present during the production of the frozen lamb products. The GVD agent will monitor and verify the production based on the applicable specification. Records may be maintained on hard copy or electronic media; however, records maintained as electronic media will be made available in printed form immediately upon request by AMS or its agents.

##### 2. Assessment by GVD

a. A GVD agent will perform an onsite capability assessment of the facility(s), food security plan, domestic origin, and animal welfare plan to determine the supplier's ability to meet contractual requirements.

##### b. Pre-Award Onsite Capability Assessment

The GVD agent will conduct a food defense, domestic origin, and animal welfare assessment audits that will include, but is not limited to, a thorough evaluation of the potential contractors' and sub-contractors' food defense, domestic origin, and animal welfare plans. Documentation must support the contractor's or sub-contractor's food defense, domestic origin, and animal welfare plans. Upon

completion of the onsite capability assessment, the GVD agent will provide a report to the Contracting Officer's Technical Representative (COTR) for final review.

If the report demonstrates that the food security, domestic origin, or animal welfare plan is inadequate, the applicant will be notified by the COTR that they have not received technical approval for the USDA purchase program. The applicant will have an opportunity to correct identified deficiencies in their food security, domestic origin, or animal welfare plan, and resubmit for further consideration. Eligibility will depend on whether the modifications demonstrate that: 1) they are in compliance with their food security, domestic origin, or animal welfare plan 2) includes the applicable assessment by USDA, and 3) they comply with other applicable contractual requirements.

Once a supplier is technically eligible to bid, [the supplier must follow instructions stated in the AMS Master Solicitation to participate in the USDA procurement activities.](#)

c. Post-Award Assessment

Eligible suppliers who receive contracts must have their documented food security, domestic origin, and animal welfare plan with supporting documentation readily available for review by the COTR or GVD (AMS) agents. Records may be maintained on hard copy or electronic media. However, records maintained as electronic media will be made available in printed form immediately upon request by AMS or its agents.

The GVD will conduct an onsite audit of the contractor's and sub-contractor's facility(s) and processes when production commences for the first contract awarded. Additional audits will be conducted as determined by the COTR, but not less than once per month for contractors or sub-contractors with continuous or multiple contracts, or once per contract for intermittent contractors. At the discretion of the COTR, more frequent audits may be conducted when audit deficiencies are detected.

d. Post-Award Actions

Any deviation from contractual requirements will be immediately reported by the contractor to the COTR. The COTR will notify the contractor regarding eligibility to continue to participate as a contractor.

Contractor will assure that the delivered product complies with the provisions of the applicable specification, the applicable assessment by USDA.

C. Animal Welfare Requirements

All contractors and subcontractors must meet the animal handling and welfare requirements set forth in Attachment II- Technical Requirements Schedule - Animal Handling and Welfare 2010 (TRS-AHW-2010), effective May 2010 ([Attachment II](#)).

D. Responsibility/Eligibility

Facilities used in fulfilling USDA contracts must be operating under the provisions of the Federal Meat Inspection Act (FMIA), 21 U.S.C. 601 et seq. and the regulations issued thereunder. The firm must also be approved under an AMS pre-award supplier capability assessment audit prior to submitting an offer under this Supplement.

Sub-contractors or suppliers of lamb products are: (1) ineligible if they are currently delivering late on USDA contracts, or USDA-approved sub-contracts and late delivery is not due to causes beyond their control; and (2) nonresponsible if they are not operating under the provisions of the Federal Meat Inspection Act or have been suspended or debarred under the provisions of 48 CFR Subpart 9.4

E. Loading and Sealing of Vehicles (AMS Master Solicitation Section G.)

Loading of the vehicle may also be conducted by a person authorized in a contractor's approved production plan.

F. Domestic Requirements

The contractor agrees to include this domestic origin certification clause in its entirety in all subcontracts for meat or meat products used in fulfilling any contracts awarded under this Supplement and Master Solicitation. The burden of proof of compliance is on the Contractor. All raw materials will be shipped in containers labeled as "Domestic Only Product" on the principle display panel and the bill of lading accompanying the shipment will contain the statement "Domestic Only Product."

## II. SUBMISSION OF OFFERS

The following Item will be included in the certification section of the offer submitted in [Web Based Supply Chain Management \(WBSCM\) system](#). (See Exhibit 2 of the AMS Master Solicitation).

By submitting this bid, Offeror certifies that all product conforms with the (applicable specification).

- Does

### III. PRODUCT SPECIFICATIONS AND AUDIT SERVICES

- A. The specifications for frozen lamb products are identified in [Exhibit A](#). If AMS amends documents, Exhibit A will be updated to include appropriate references.
- B. The GVD agents, under the direction of the Livestock and Seed (LS) Program, will perform all examinations and tests as required. GVD agents will conduct all certification services in accordance with the applicable GVD procedure(s). The cost of all certification services performed by the GVD agents must be borne by the contractor. This includes, but is not limited to, examinations, supervision, official documentation, and related services.
- C. The cost of all AMS audits required by [GVD](#), including per diem and travel expenses, must be borne by AMS.
- D. [Questions concerning GVD agents should be discussed with the GVD at \(202\) 309-1506.](#)
- E. Questions concerning charges and the availability of AMS agents should be discussed with the Office of Field Operations, Denver, Colorado (720) 497-2520.

### IV. INVOICES AND PAYMENT

In addition to the required invoice documents referenced in the AMS Master Solicitation, the contractor must include a copy of the Contractor's Certificate of Conformance ([Exhibit B](#)).

[Purchases of the materials under this Supplement require that a "three-way match" be completed in WBSM; i.e., Purchase Order \(PO\), Good Receipt, and Invoice with supporting documents attached.](#)

### V. AMS CLAUSES

#### A. Contractor Checkloading

Contractor will perform checkloading examinations as described in the applicable specification at the time of shipment and issue contractor's certificate to accompany each shipment that includes all of the following information:

1. Purchase Order Number and Purchase Order Item Number;
2. Sales Order Number and Sales Order Item Number;
3. Name of product/Material Number;
4. Shipping Date;
5. Production lot number(s) and date each lot was produced;
6. Count of shipping containers and total projected net weight in each production lot;
7. Identity of car or truck (car numbers and letters, seals, truck license, etc.), as applicable;

8. Contractor certification that product conforms with the TDS;
9. Count and projected net weight verified; and
10. Signature of company official responsible for checkloading.

## VI. PROVISIONS INCORPORATED BY REFERENCE

Section XII of the AMS Master Solicitation is modified as shown below:

### A. FAR Provisions

1. 52.204-8 Annual Representations and Certifications (MAR 2012)
  - a. (1) The North American Industry Classification System code for this acquisition is 311611.
  - (2) The small business size standard is 500 employees.

### 5. 52.252-3 Alterations in Solicitation (APR 1984)

#### FAR 52.211-16 Variation in Quantity (APR 1984)

- a. A variation in quantity the frozen lamb products under this contract will not be accepted unless the variation has been caused by conditions of loading, shipping, or packaging, or allowances in manufacturing processes, then only to the extent, if any, specified in paragraph (b) below.
- b. The permissible variation shall be limited to:  
60 pounds decrease; contractor will submit an invoice for the actual pounds that were delivered as evidence by the Goods Receipt entered by the recipient agency. Payment will only be made if the delivery unit that is not less than 35,940 pounds and not exceed 36,000 pounds.

This decrease shall apply to the total quantity of each purchase order item number without regard to destination. (Split destinations will be combined to determine the total pounds delivered under the awarded solicitation item number).



*D* Dave Tuckwiller, Director  
Commodity Procurement Division  
Agricultural Marketing Service

**Attachments**



Room 3932-S, STOP 0256  
 1400 Independence Avenue, SW  
 Washington, DC 20250-0201

SUPPLEMENT 602 TO AMS MASTER SOLICITATION

EXHIBIT A  
 DATED MAY 2012  
 PAGE 1 OF 1

**CHECKLIST AND REFERENCES TO APPLICABLE BID AND CONTRACT PROVISIONS**  
**SUPPLEMENT 602 TO AMS MASTER SOLICITATION**  
**For**  
**FROZEN LAMB PRODUCTS**

PRODUCT DESCRIPTION					OFFER/CONTRACT PROVISIONS				
WBSCM Material Description	Specifications	WBSCM Material Number	IMPS Item No./Spec.	Packaging and Packing	Minimum Offer Unit Size/ Shipping Unit	Tolerance	Delivery Period	Federal/State Plants Section I.C	Competition (See Invitation/ Solicitation)
LAMB LEG ROAST CTN-38-40 LB	TDS-Lamb Leg Roasts and Shoulder Chops, Frozen, dated May 2012	100087	IMPS 233A Lamb Leg, Trotter Off	The leg roast (233A) will be vacuumed packaged. Individual roast should weigh between 3 - 8 pounds. Roasts will be packed between 38-42 pounds net weight per shipping container.	36,000 lbs. 900 cases	36,000 lbs.; minus 60 lbs. per shipping unit	First Half/Last Half of month	Federal & State	Full and Open
LAMB SHOULDER CHOP CTN-38-42 LB		100089	IMPS 1207 Lamb Shoulder, Chops	The lamb shoulder chops (1207) from one shoulder roast will be vacuumed packaged. The shoulder chops will be cut to approximately 1 inch thick. The shoulder chop will be packed between 38-42 pounds net weight per shipping container.	36,000 lbs. 900 cases	36,000 lbs.; minus 60 lbs. per shipping unit	First Half/Last Half of month	Federal & State	Full and Open

UNITED STATES DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE  
LIVESTOCK AND SEED PROGRAM

CERTIFICATE OF CONFORMANCE FOR  
THE PROCUREMENT OF LAMB ITEMS, FROZEN

CERTIFICATE OF CONFORMANCE

I certify the following:

- (1) On [shipping date(s)], [Contractor's name] furnished the (insert the appropriate commodity description) called for by Purchase Order/Purchase Order Item Number:  
                   /                    via [Carrier] under Sales Order Number(s)/Sales Order Item Number:  
                   /                   .
- (2) The (insert the appropriate material name/material number) is of the quality specified and conforms in all respects with the purchase order requirements.
- (3) Product identification, (i.e. production lot number(s)) is in the quantity shown on the attached acceptance document.
- (4) Contractor assures all meat or meat products used in fulfilling this contract was produced in the United States as defined in the Supplement 602, section I.G, and the AMS Master Solicitation, Section I.E.

Date: \_\_\_\_\_

Signature: \_\_\_\_\_  
(Signed by an officer or representative authorized to represent the company)

Title: \_\_\_\_\_



**TECHNICAL DATA SUPPLEMENT FOR  
LAMB LEG ROASTS AND SHOULDER  
CHOPS, FROZEN (TDS-LRC-2012)**

# APPROVED

Contracting Officer Technical Representative (COTR)  
Livestock and Seed Program, [Standardization Division](#)  
Room 2607 S-Bldg, Phone: (202) [260-8295](#)

Effective: **May 2012**

**100 SCOPE**

110 This technical data supplement is for use by USDA for the procurement of lamb leg roasts and shoulder chops, frozen. Lamb roasts and chops will be produced in accordance with the Institutional Meat Purchase Specifications (IMPS) and the special instructions specified herein.

**200 APPLICABLE DOCUMENTS**

210 IMPS General Requirements, Interim, Effective June 1996.

211 IMPS for Fresh Lamb and Mutton, Series 200, Effective April 1996.

213 IMPS Quality Assurance Provisions (QAPS), with revisions that are Effective June 1997.

214 [Grading and Verification Division \(GVD\) Instructions](#)

**300 ORDERING DATA TO BE SPECIFIED BY THE PURCHASER**

310 [Domestic Origin – All lamb will originate from U.S. produced livestock as defined in the purchase Supplement.](#)

311 [Humane Handling – All lamb shall be humanely handled in accordance with all applicable FSIS regulations and AMS requirements.](#)

313 Refer to the Ordering Data section within the IMPS For Fresh Lamb and Mutton, Series 200 and the following item descriptions for:

313.1 IMPS 233A – Leg, Trotter Off – Type 5.

313.2 IMPS 1207 – Shoulder Chops – Type 6.

Approved by *MEO* MEO  
Date Issued: 04/01/09  
Date Revised: [05/03/12](#)



- 314 Product shall be certified by USDA, AMS, [GVD](#).
- 314.1 IMPS QAP's AQL Major 6.5, Minor 25.
- 314.2 Defect Classification Table 200 H for Item No. 233A and Table 200 M for Item No. 1207.
- 315 Class – 1. Lamb.
- 316 Grade – USDA Choice or USDA Prime.
- 317 Frozen, Freezing Option 1. (See IMPS General Requirements).
- 318 Weight Range - IMPS 233A – Cut into portions weighing 3 - 8 pounds.
- 319 Portion-Cut Thickness Style IMPS 1207 – Shoulder Chops – 1.0 inch thick (+/- 3/16-inch tolerance).
- 320 Style
- 320.1 Shoulder Chops may be derived from Carcass Cutting Instructions Styles A or B.
- 320.2 Legs shall be derived from Carcass Cutting Instruction for Style A.
- 321 Fat Limitations ¼ inch maximum at any one point for IMPS 1207 and IMPS 233A. All fell shall be removed over the fat covered areas.
- 322 Purchaser Specified Options.
- 322.1 IMPS 1207 – Shoulder Chops – All chops from each square-cut shoulder shall be placed into one flexible container. [The rib bones and sternum may remain on the shoulder chops](#). (See figure 1).



Rib bones and sternum

Figure 1

322.2 IMPS 233A – Leg, trotter off – the leg shall be cut into 3 portions (shank portion, center leg, and sirloin) weighing 3 – 8 pounds by making the following 2 cuts as illustrated in figure 2. For legs that are smaller in weight, split the leg in half by cutting posterior to the aitch bone making 2 portions that are 3 – 5 pounds as illustrated in figure 3. The sirloin portions shall be ‘notched’ as illustrated in Figure 4.

Knob of the aitch bone

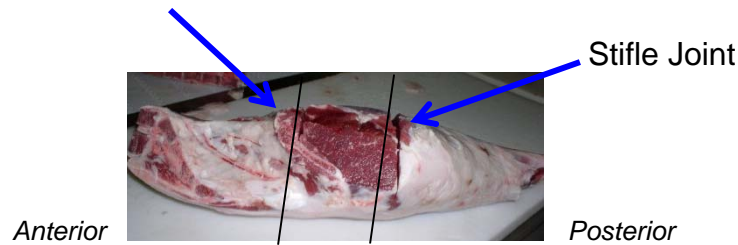


Figure 2

- Anterior to the stifle joint; and
- Immediately posterior to ‘**anterior knob**’ of the aitch bone, so that the resulting cut appears as illustrated in figure 4.

For legs that are smaller in weight, split the leg in half by cutting posterior to the aitch bone making 2 portions that are 3 – 5 pounds as illustrated in figure 3.



Figure 3



**Figure 4**

- *Sirloin portion - Saw cuts shall be made at approximately ¾ inch intervals passing through the sacral vertebrae and pelvic bone without cutting completely through the lean (notched) so that the sirloin portion is left intact. This will enable the user to slice the items into portions before or after roasting.”*

**400 IMPS GENERAL REQUIREMENTS**

410 Packing and Packaging

410.1 Roasts (leg portions) shall be individually vacuumed packaged.

410.2 Chops, from each shoulder, shall be placed into one flexible package container and vacuum packaged.

410.3 Packaged roasts and chops shall be placed into shipping containers to a net weight of 38 – 42 pounds.

411 Labeling

412 Both immediate and shipping containers will be labeled to include all information required by FSIS regulations as well as the following information:

413 Immediate Container

413.1 A traceability code that is traceable back to establishment number, production lot, and date.

- 413.2 A “Best-If-Used-By” date that is 180 calendar days from the date of production.
- 413.3 [Safe Handling Instructions.](#)
- 413.4 [Ingredient declaration \(including single ingredient products\).](#)
- 413.5 [An allergen statement in a format which complies with the Food Allergen Labeling and Consumer Protection Act \(FALCPA\) for any product which contains milk, eggs, fish, crustacean shellfish, tree nuts, peanuts, soy or wheat; e.g., Allergen: This product contains \\_\\_\\_\\_\\_.](#)
- 413.6 Nutrition facts panel based on actual nutritional analysis of the product.
- 414 Shipping Container
- 414.1 USDA shield at least 2 inches high and appearing on the top of the container or on the principle display panel.



- 414.2 Applicable purchase order number.
- 414.3 A traceability code that is traceable back to establishment number, production lot, and date.
- 414.4 Applicable product name: IMPS 1207 – Lamb, Shoulder Chops or IMPS 233A – Lamb, Leg, trotter off.
- 414.5 [Material](#) number:
- 414.6 IMPS 233A - [material number 100087.](#)
- 414.7 IMPS 1207 - [material number 100089.](#)

- 420 Palletized Unit Loads
- 421 All products shall be stacked on new or well-maintained pallets and palletized with shrink wrap plastic.
- 430 Delivery Unit
- 431 The delivery unit will be 36,000 pounds net weight ([minus 60 pounds tolerance](#)).
- 440 Condition of Containers.
- 441 Exam is limited to observing the sampled packaged product for defect criteria listed within the IMPS QA provisions, or monitoring production, to assure items are properly vacuum packaged. Delivery units will be scanned for damaged containers. Damaged containers will be reworked or replaced.
- 450 Sealing.
- 451 The [AMS agent](#) shall assure that all boxes are palletized. Individual boxes need not be [sealed](#). The traceability code for each lot shall be recorded on the certificate.
- 460 Purchaser Specified Examination - Net Weight (in accordance with IMPS Quality Assurance Provisions).
- 470 [Contractor Checkloading.](#)
- 471 [Contractor will perform checkloading examinations at the time of shipment and issue contractor's certificate to accompany each shipment that includes all of the following information:](#)
- 471.1 [Purchase Order Number/Purchase Order Line Item Number.](#)
- 471.2 [Sales Order Number/Sales Order Line Item Number.](#)
- 471.3 [Destination of Shipment.](#)
- 471.4 [Name of product and applicable material number.](#)

- 471.5 Shipping Date.
- 471.6 Production lot number(s) and date each lot was produced along with shipping container and immediate container code(s) and the code used that provides traceability to establishment number, production lot and date.
- 471.7 Count of shipping containers and total projected net weight in each production lot. Count and projected net weight verified.
- 471.8 Identity of car or truck (car numbers and letters, seals, truck license, etc.) as applicable.
- 471.9 Contractor certification that product conforms to the applicable specification (TDS-LRC-2012) and signature of company official responsible for checkloading.



*Martin E. O'Connor*

# APPROVED

**TECHNICAL REQUIREMENTS  
SCHEDULE – ANIMAL HANDLING AND  
WELFARE 2010 (TRS-AHW-2010)**

Contracting Officer Technical  
Representative (COTR) Standards,  
Analysis, and Technology Branch  
Room 2607 S-Bldg, Phone: (202) 720-  
4486

Effective: **May 2010**

## Animal Handling and Welfare

### 1.0 General

#### 1.1 Program Approach

The contractor and all subcontractors must develop and implement a written program that is consistent with a systematic approach to humane handling and welfare as outlined in 69 FR 54625. The program will ensure proper animal handling and welfare techniques are conducted from the time the transportation conveyance enters the facility's premises through the stunning and exsanguination processes of the animal.

#### 1.2 Program Submission

The program will be submitted as a supporting document to the firm's approved technical proposal and must address the requirements outlined in **Section 2.0 – Program Components**.

### 2.0 Program Components

The contractor must ensure that any facility that harvests animals for the program has a:

#### 2.1 Mission Statement

Mission Statement on Animal Handling and Welfare that is distributed to all employees and conspicuously displayed at the premises.

#### 2.2 Training Program

Training program on Animal Handling and Welfare that:

- is provided to all employees interacting with animals;
- [covers Chapter 3: Transportation Audit Guidelines, Core Criteria 1 and 3 of the AMI Recommended Animal Handling Guidelines and Audit Guide 2010 Edition;](#)

- covers Chapter 4: Auditing Animal Handling and Stunning 7 Core Criteria of the AMI *Recommended Animal Handling Guidelines and Audit Guide 2010 Edition*;
- is conducted no less frequently than once a year for each designated employee; and,
- requires signed documentation from each employee and confirmation by signature of the designated trainer upon successful completion of training.

### 2.3 Quality Management Plan

Written quality management plan (internal) which addresses the provisions of [Chapter 3: Transportation Audit Guidelines, Core Criteria 1 and 3](#), and Chapter 4: Auditing Animal Handling and Stunning, 7 Core Criteria, of the AMI *Recommended Animal Handling Guidelines and Audit Guide 2010 Edition*, found at the following web site:

<http://www.animalhandling.org/ht/d/sp/i/26752/pid/26752>

### 2.4 Non-Ambulatory and U.S. Suspects

#### 2.4.1 Cattle

Written protocol in-place and enforced that precludes the receipt of or having non-ambulatory, disabled cattle on the harvest facility premise. In the event that animals become non-ambulatory or disabled at any time while present at the harvest facility, the animal will be humanely euthanized and the carcass removed from the premise in a timely manner through contracted services or other means.

#### 2.4.2 Hogs and Sheep

Written protocol in-place and enforced that ensures all animals designated by FSIS as U.S. Suspects (9 CFR 301.2) that are slaughtered are appropriately segregated during the harvest and production processes and precluded from inclusion in any products purchased by AMS.

### 3.0 Program Evaluation and Eligibility

The program will be audited (external) by a firm accredited by AMS. The accreditation of the firm will be conducted by the Audit, Review and Compliance Branch through the **LS ISO Guide 65 Program**. Alternatively, at the option of the contractor or subcontractor, the audits can be performed by AMS auditors.

#### 3.1 Audit Format

The AMS accredited auditing firm must conduct audits utilizing the following format:

##### 3.1.1 [Transportation Segment \(Chapter 3: AMI Recommended Animal Handling Guidelines and Audit Guide 2010 Edition\)](#)

[Audited firms must pass Core Criteria 1 with a minimum scoring of excellent and Core Criteria 3 with a minimum scoring of acceptable each time an audit is performed.](#)

*2 Martin E. O'Connor*



### 3.1.2 **Animal Handling and Stunning Segment (Chapter 4: AMI Recommended Animal Handling Guidelines and Audit Guide 2010 Edition)**

Audited firms must pass Core Criteria 1 through 5 with a minimum scoring of acceptable while Core Criteria 6 and 7 must be adhered to with full compliance (zero tolerance) each time an audit is performed.

### 3.2 **Initial Audit**

Initial audit must be performed prior to award of contracts.

### 3.3 **Audit Failure**

If an audit is failed for any of the Core Criteria, the firm is not eligible to provide product until such a time that corrective and preventative actions are approved by the Contracting Officer, implemented and proven effective.

### 3.4 **Audit Frequency and Status**

- STANDARD - Until four (4) consecutive successfully passed audits are attained, an audit must be conducted within 3 months of the previous audit.
- MONTHLY - If at any time an audit identifies any of the Core Criteria not meeting the pass requirements while in the STANDARD phase, auditing will be required to be conducted on a monthly basis once corrective and preventative actions have been approved by the Contracting Officer, implemented and proven effective. This schedule will be for a period of time until four (4) successive audits are found to meet the pass requirements noted within Chapter 3 (Core Criteria 1 and 3) and Chapter 4 (Core Criteria 1 through 7) of the AMI Recommended Animal Handling Guidelines and Audit Guide 2010 Edition; at which time audits shall be conducted on the STANDARD basis.
- If four successfully conducted audits are sequentially completed within a one year period while in STANDARD auditing phase, the facility may move to a SEMI-ANNUAL audit basis.
- SEMI-ANNUAL - Semi-annual audits may continue until such time that a failed audit is reported or a period of greater than six months has elapsed without any audits being performed; at which time the audits must resume as described for STANDARD audits.

### 4.0 **Contracting Officer**

The contracting officer can declare a contractor's and/or subcontractor's Animal Handling and Welfare Program out of compliance at any time.

3 *Martin E. O'Connor*