

# Tartaric Acid

## Handling

1

2

### Identification of Petitioned Substance

3

**Chemical Names:**

2,3-dihydroxybutanedioic acid

14

**CAS Numbers:**

L(+) tartaric acid: 87-69-4

D(-) tartaric acid: 147-71-7

Mesotartaric acid: 147-73-9

Racemic acid: 133-37-9

5

**Other Name:**

2,3-dihydroxysuccinic acid

Thearic acid

Uvic acid

L(+) tartaric acid

D(-) tartaric acid

Mesotartaric acid

Racemic acid

**Other Codes:**

L(+) tartaric acid: 205-695-6

D(-) tartaric acid: 201-766-0

Mesotartaric acid: 205-696-1

Racemic acid: 205-105-7

**Trade Names:**

None

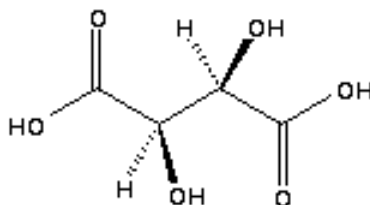
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### Characterization of Petitioned Substance

16

**Composition of the Substance:**

Tartaric acid [HOOCCH(OH)CH(OH)COOH; C<sub>4</sub>H<sub>6</sub>O<sub>6</sub>] is a four-carbon, organic acid with two OH groups on the second and third carbon atoms, and two carboxylic acid (COOH) groups involving the first and fourth carbons. The chemical structure of L(+) tartaric acid is shown below:



21

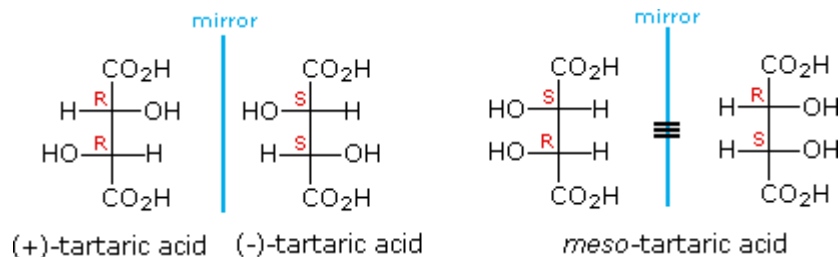
Source: Reusch, 1999

23

Tartaric acid exists in three distinct isomeric forms, as shown in the Fisher projection formulas below. Two forms are chiral isomers, which means that the molecules are non-superimposable on their mirror images. The form of tartaric acid found naturally in grapes and often produced synthetically for use in handling is the L(+) tartaric acid isomer. This form is generally referred to as the 'dextro form' (Church and Blumberg, 1951). The D(-) form of tartaric acid is less common in nature and has almost no practical uses. The third form is an achiral isomer, mesotartaric acid, that also can be manufactured (Reusch, 1999).

30

31



37

38

39

Source: Reusch, 1999

41

42 **Properties of the Substance:**

43 An organic acid, tartaric acid is an odorless, white crystalline solid (Smith and Hong-Shum, 2008). The  
 44 substance has a strong, tart taste and contributes to the flavors of many fruits (Furia, 1972). It has a high  $K_a$   
 45 (acid-dissociation equilibrium constant; measure of the strength of acidity) and possesses microbial  
 46 stability. It is found naturally in plants including grapes, bananas, and tamarinds (The Chemical Company,  
 47 2010).

48  
 49 The physical and chemical properties of the tartaric acid are provided in Table 1.

**Table 1. Physical and Chemical Properties of Tartaric Acid**

Physical or Chemical Property:	Value:
Physical State	Solid
Appearance	White
Odor	None
Taste	Extremely tart
Molecular Weight	150.09
Boiling Point	N/A
Melting Point	168° C - 170° C
Solubility	Easily soluble in cold water, hot water, methanol, glycerol.
Vapor Pressure	N/A
Relative Density	1.76 (water = 1)

50 Source: Furia, 1972; Sciencelab.com, Inc., 2010

51

52 **Specific Uses of the Substance:**

53 Tartaric acid is a natural organic acid that is in many plants especially grapes, bananas, and tamarinds.  
 54 Tartaric acid can be used to create several different salts, including tartar emetic (antimony potassium  
 55 tartrate), cream of tartar (potassium hydrogen tartrate), and Rochelle salt (potassium sodium tartrate). The  
 56 primary uses of tartaric acid are associated with its salts (The Chemical Company, 2010).

57

58 Tartaric acid and its salts have a very wide variety of uses. These include use as an acidulant, pH control  
 59 agent, preservative, emulsifier, chelating agent, flavor enhancer and modifier, stabilizer, anti-caking agent,  
 60 and firming agent. It has been used in the preparation of baked goods and confectionaries, dairy products,  
 61 edible oils and fats, tinned fruits and vegetables, seafood products, meat and poultry products, juice  
 62 beverages and soft drinks, sugar preserves, chewing gum, cocoa powder, and alcoholic drinks (Smith and  
 63 Hong-Shum, 2003; The Chemical Company, 2010).

64

65 As an acidulant and flavoring agent, tartaric acid is known to enhance the flavors of the fruits in which it is  
 66 a natural derivative. Tartaric acid is commonly used to enhance grape flavors and to enhance flavors  
 67 associated with raspberry, oranges, lemon, gooseberry, and currant (Hui, 2006a; Furia, 1972; Heath, 1981).

68

69 Tartaric acid and its immediate byproducts are particularly useful in baking. Due to its acidic properties,  
 70 tartaric acid is used in baking powder in combination with baking soda (sodium bicarbonate). When  
 71 tartaric acid reacts with sodium bicarbonate, carbon dioxide gas is produced, causing various baking  
 72 products to 'rise' without the use of active yeast cultures. This action alters the texture of many foods.  
 73 Tartaric acid and its salts are used in pancake, cookie, and cake mixes because of these properties (Hui,  
 74 2006b). Cream of tartar is used to make cake frosting and candies (The Chemical Company, 2010).

75

76 In the winemaking process, tartaric acid is used to alter acidity. Tartaric acid is a natural component of  
 77 grapes, which are frequently used in the production of wine. However, some wines are not made with  
 78 grapes and a tablet of nonsynthetic or synthetic tartaric acid is added to wine to increase the mixture's  
 79 acidity. In addition, organic acids, such as tartaric acid, are known to have antimicrobial properties which  
 80 make them an important component in wine and other foods. These antimicrobial properties are associated  
 81 with the natural acidity of tartaric acid, which creates an unfavorable environment for microorganisms to

82 survive and grow. The typical concentrations of tartaric acid in wines range 1500 to 4000 mg/L. Higher  
83 levels may cause an unpleasant and sour taste (Bastos et al., 2009; Waite and Daeschel, 2007).

84  
85 Industrial and manufacturing uses of tartaric acid and its derivatives include leather tanning, mirror  
86 silvering, ceramics, photography, and blue printing (ferric tartarate serves as a source of blue ink). Diethyl  
87 tartarate and dibutyl tartrate are common esters of tartaric acid and are used in dyeing textiles and the  
88 manufacture of lacquer (The Chemical Company, 2010).

89  
90 Tartaric acid is used in several medical applications including the manufacture of solutions that are used to  
91 determine glucose levels. Rochelle Salt is occasionally used as a laxative. Tartaric acid also acts as a skin  
92 coolant and cream of tartar is an effective cleansing agent. In non-permanent hair dyes, tartaric acid acts as  
93 a mild acid (The Chemical Company, 2010).

94  
95 **Approved Legal Uses of the Substance:**

96 Since 2003, tartaric acid has been included on the National List of Allowed and Prohibited Substances  
97 (hereafter referred to as the National List) as a nonagricultural (nonorganic) substance allowed as an  
98 ingredient in or on processed products labeled as "organic" or "made with organic (specified ingredients  
99 or food group(s))." This material is listed both as a nonsynthetic allowed substance if made from grape  
100 wine (i.e. L(+) tartaric acid) [7 CFR §205.605 (a)] and a synthetic allowed (7 CFR §205.605 (b)) substance if  
101 made from malic acid (i.e. a synthetic form of L(+) tartaric acid). Following review of data detailing the  
102 manufacture of synthetic L(+) tartaric acid, it has been determined that the regulatory language (7 CFR  
103 §205.605 (a); 7 CFR §205.605 (b)) referring to synthetic L(+) tartaric acid should be altered to say 'made  
104 from maleic acid' rather than 'made from malic acid.' Data included in the U.S. Food and Drug  
105 Administration (FDA) Generally Recognized as Safe (GRAS) notice for synthetic L(+) tartaric acid  
106 (discussed in more detail below) supports this conclusion (FDA, 2009).

107  
108 The FDA classifies nonsynthetic L(+) tartaric acid and its salts (i.e. L(+) potassium acid tartrate, L(+)  
109 sodium potassium tartrate acid) to be GRAS. The FDA has compiled consumer data and determined that 6  
110 mg each of tartaric acid and potassium acid tartrate added to foods is ingested daily per capita (a total of  
111 about 0.2 milligrams (mg) per kilogram (kg) in an adult). These substances are not believed to be  
112 hazardous to the general public if used at used at levels that are now typical, or that might reasonably be  
113 expected in the future (FDA, 2011a). In 2006, the FDA ruled that a synthetic form of L(+) tartaric acid is also  
114 considered GRAS. Synthetic L(+) tartaric acid is produced by the conversion of maleic anhydride to tartaric  
115 acid through the enzymatic action of the enzyme cis-epoxisuccinate hydrolase contained in immobilized  
116 *Rhodococcus ruber* cells (FDA, 2009).

117  
118 The FDA also regulates the use of L(+) tartaric acid as an agent for compensating for the natural acidity of  
119 the fruit juice ingredient in fruit jellies, jams, preserves, butters, or related products. According to 21 CFR  
120 150.141 and 150.161, the quantity of tartaric acid used in these products must a reasonable quantity for  
121 adding to the overall acidity of the product. Additionally, the use of L(+) tartaric acid is permitted as a  
122 neutralizing agent in cocoa products, including chocolate liquor and breakfast cocoa (discussed in detail in  
123 21 CFR 163). The total amount of tartaric acid permitted for use in cocoa products is not to exceed 1.0 part  
124 by weight (FDA, 2011b).

125  
126 **Action of the Substance:**

127 Generally, only L(+) tartaric acid is used in food applications. Tartaric acid increases the acidity of a  
128 solution and acts as an anti-microbial agent to preserve a food. The addition of tartaric acid (or products  
129 already known to contain tartaric acid) lowers the pH of a solution. In fruit juices, tartaric acid helps to  
130 maintain the proper sugar/acid balance in fruit juices. By lowering the pH of a solution, the tartaric acid  
131 acts as an effective antimicrobial agent by creating an environment too acidic for most microorganisms to  
132 grow (Nagy et al., 1993; Waite and Daeschel, 2007).

133  
134 Baking powder is used in many baking applications and tartaric acid produces carbon dioxide gas  
135 following reaction with sodium bicarbonate. This action causes baking products to 'rise' without the use of

136 active yeast cultures. The use of baking powder containing tartaric acid alters the texture of many foods  
137 (Hui, 2006b).

138  
139 As an emulsifier, tartaric acid acts by attaching to a surface and then links two repelling substances, such as  
140 oil and water. This action is useful in the production of dairy products including milk because fats settle on  
141 the surface of the milk (i.e. cream) and must be homogeneously mixed to create milk for drinking  
142 (Hansenhuettl and Hartel, 2008).

143  
144 Tartaric acid acts as a chelating agent and is used in the production of canned fruit products (Belitz et al.,  
145 2009). Chelates are formed when an organic acid binds with a metal and prevents its reaction with another  
146 chemical. Chelating agents prevent enzymatic browning through the formation of a complex a free metal  
147 and inhibitors through an unshared pair of electrons in their molecular structures (Martín-Belloso and  
148 Fortuny, 2009).

149  
150 **Combinations of the Substance:**

151 At the end of the winemaking process, L(+) tartaric acid is an unwanted component. In order to precipitate  
152 tartaric acid, winemakers add calcium hydroxide and potassium hydroxide to the mixture and then  
153 evaporate this solution, producing a white powder that contains calcium or potassium tartrate along with  
154 other chemical components. The powder is sold to manufacturing facilities that purify L(+) tartaric acid  
155 (Yalcin et al., 2008).

156  
157 L (+) tartaric acid is used in combination with citric acid to impart tartness to many flavors, including wild  
158 cherry and sour apple flavors (Smith and Hong-Shum, 2003).

159  
160 In food and beverages, L(+) tartaric acid is used as a synergist to increase the antioxidant effect of other  
161 substances (Hui, 2006a).

162

163 <b>Status</b>
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164

165 **Historic Use:**

166 The ancient Greeks and Romans first identified tartaric acid as a by-product of winemaking; however the  
167 product was not harnessed for use because wine was not traditionally stored in wooden casks or containers  
168 suitable for the sediment that contains the crude tartar. As the use of wooden casks for the collection of  
169 wine increased, so did the collection of crude tartar. Some winemakers began exclusively using wooden  
170 casks for the storage of wine so that crude tartar could be collected more efficiently (Royal Society of Arts,  
171 1899).

172

173 In the 1400's, Paracelsus identified the use of tartar as a medicine, but was incorrect in his analysis of the  
174 chemical. The chemical was first isolated in the mid-1700's after cream of tartar was boiled with chalk and  
175 treated with sulfuric acid. Tartaric acid is used to restore acidity in foods that contain fruit juices and also  
176 acts as a neutralizer in cocoa products (FDA, 2011b). Additional food products made using tartaric acid  
177 include bakery products, gelatin, soft drinks, and confectionary products (The Chemical Company, 2010).

178

179 **OFPA, USDA Final Rule:**

180 Both nonsynthetic and synthetic forms of tartaric acid are included on the National List as a  
181 nonagricultural (nonorganic) substance allowed as an ingredient in or on processed products labeled as  
182 "organic" or "made with organic (specified ingredients or food group(s))." This material is listed both as a  
183 nonsynthetic allowed substance if made from grape wine (7 CFR §205.605 (a)) and a synthetic allowed (7  
184 CFR §205.605 (b)) substance if made from malic acid. Attention has been focused on the accuracy of listing  
185 malic acid as the source of synthetic tartaric acid. A petition was submitted by a manufacturer of synthetic  
186 tartaric acid and was reviewed accordingly. Data on the manufacture and availability of synthetic tartaric  
187 acid was identified and evaluated for accuracy. The language contained in the current USDA Final Rule  
188 states the tartaric acid manufactured from malic acid is permitted for use in organic agriculture, but upon  
189 evaluation of the available data on the manufacture of synthetic L(+)tartaric acid, it has been determined  
190 that synthetic tartaric acid is typically manufactured from maleic acid. A synthetic form of L(+) tartaric acid

191 is listed as GRAS by the FDA and is described as 'produced by maleic anhydride' (FDA, 2009). No data on  
192 the synthesis of L(+) tartaric acid from malic acid was identified.

193  
194 **International**

195 The use of tartaric acid (C<sub>4</sub>H<sub>6</sub>O<sub>6</sub>; INS 334) is permitted for organic processing by the Canadian General  
196 Standards Board as a non-organic ingredients classified as a food additive in beverages. Use of the  
197 synthetic form is allowed only if the nonsynthetic form of tartaric acid is not commercially available.  
198 Tartaric acid derived from nonsynthetic sources is also permitted for use as a processing aid in beverages  
199 (the Canadian General Standards Board, 2011).

200  
201 The European Economic Community (EEC) permits the use of tartaric acid as a food additive in organic  
202 food if derived from a plant source, which is presumably grapes (EEC 889/2008, 2008).

203  
204 The CODEX Alimentarius Commission describe the functions of tartaric acid as an acidity regulator,  
205 adjuvant, anticaking agent, antioxidant, bulking agent, emulsifier, flour treatment agent, humectant,  
206 preservative, raising agent, sequestrant, stabilizer, and. Tartaric acid from a plant source (i.e. nonsynthetic  
207 L(+) tartaric acid) is permitted for use as a food additive in organic food production (although exclusions of  
208 the GFSA still apply). Tartaric acid is listed as an acceptable acidity regulator in the *Codex General Standard  
209 for Food Additives* (CODEX STAN 192-1995; CODEX Alimentarius Commission, 2011).

210  
211 **Evaluation Questions for Substances to be used in Organic Handling**

212  
213 **Evaluation Question #1: Describe the most prevalent processes used to manufacture or formulate the**  
214 **petitioned substance. Further, describe any chemical change that may occur during manufacture or**  
215 **formulation of the petitioned substance when this substance is extracted from naturally occurring plant,**  
216 **animal, or mineral sources (7 U.S.C. § 6502 (21)).**

217  
218 Both nonsynthetic and synthetic forms of L(+) tartaric acid (referred to simply as 'tartaric acid') are  
219 available for commercial use.

220  
221 The nonsynthetic form of L(+) tartaric acid is isolated from the undesirable wastes created during the  
222 winemaking process. These unwanted materials include grape pomace, grape stalks, grape seeds, and vine  
223 prunings, which naturally contain a significant amount of tartaric acid (Yalcin et al., 2008). An excess of  
224 tartaric acid is generally unwanted in winemaking because it creates a sour and undesirable taste (Bastos et  
225 al., 2009). The available excess tartaric acid is precipitated using potassium hydroxide or calcium hydroxide  
226 in order to create a wine with the desired taste. Then the resulting waste mixture is evaporated. This  
227 process produces a powder containing calcium or potassium tartrate and additional substances including  
228 polyphenols and tannins. The powder is then sold to facilities that purify tartaric acid (Yalcin et al., 2008).  
229 The process for extracting tartaric acid from waste materials is similar to the processing of excess tartaric  
230 acid in that potassium hydroxide is added to the waste mixture. Activated carbon is also added to remove  
231 unwanted pigmentation. The potassium tartrate is precipitated by adding saturated pure tartaric acid  
232 solution and then the precipitate is redissolved with acidic water at 70° C. Potassium and sulfate ions must  
233 be removed from the remaining solution so cation exchanges are performed followed by evaporation. The  
234 solution is then crystallized at 4° C (Yalcin et al., 2008).

235  
236 A synthetic process for producing large quantities of L(+) tartaric acid for commercial use was described by  
237 Church and Blumberg (1951). In this process, maleic acid anhydride is dissolved in water, and a catalyst  
238 solution containing tungstic oxide (a metallic catalyst) is added along with hydrogen peroxide. The  
239 solution is held in a reaction vessel that is set to a temperature of 70° C for 12 hours. The reaction mixture is  
240 then cooled, causing the acid to crystallize. Centrifugation is used to separate out tartaric acid crystals from  
241 the mixture. The tartaric acid is of a sufficient level of purity and does not require an additional  
242 purification step (Church and Blumberg, 1951).

243  
244 In 2006, an alternative method for the manufacture of synthetic L(+) tartaric acid was declared as GRAS by  
245 the FDA. Using this method, tartaric acid produced by the conversion of maleic anhydride to tartaric acid.

246 This conversion is facilitated by the enzymatic action of cis-exopoisuccinate hydrolase. This enzyme is  
247 contained in immobilized *Rhodococcus ruber* cells. *Rhodococcus ruber* cells are produced by fermentation and  
248 are subsequently immobilized by the addition of carrageenan, a commonly used food additive that comes  
249 from red seaweed. The reaction substrate is produced in the presence of a metallic catalyst and by the  
250 reaction of maleic anhydride with hydrogen peroxide. The reaction product is then calcified, separated, and  
251 acidified to yield tartaric acid (FDA, 2009; Brenn-O-Kem Ltd., 2011). This is the process most commonly  
252 used in the manufacture of synthetic tartaric acid (Brenn-O-Kem Ltd., 2011).

253

254 **Evaluation Question #2: Is the substance synthetic? Discuss whether the petitioned substance is**  
255 **formulated or manufactured by a chemical process, or created by naturally occurring biological**  
256 **processes (7 U.S.C. § 6502 (21)).**

257

258 Both nonsynthetic and synthetic forms of L(+) tartaric acid are manufactured in commercially available  
259 quantities.

260

261 Synthetic tartaric acid is currently available for commercial use and is manufactured primarily by the  
262 conversion of maleic anhydride to tartaric acid using the enzymatic action of cis-exopoisuccinate hydrolase  
263 contained in immobilized *Rhodococcus ruber* cells (FDA, 2009). Details on this process are provided in  
264 Evaluation Question #1. Note that the D(-) form of tartaric acid is generally not used for practical  
265 applications (The Chemical Company, 2010).

266

267 Nonsynthetic tartaric acid is also available for commercial use and is produced following precipitation  
268 from sediment and wine wastes obtained during the production of grape wines. Tartaric acid is a naturally  
269 occurring organic acid found in grapes and it is estimated that the average concentration of tartaric acid in  
270 winery waste is approximately 50 to 75 kg/ton in grape pomace and approximately 100 to 150 kg/ton in  
271 yeast lees (Nerantzis and Tartaridis, 2006). Tartaric acid is observed at the end of the winemaking in the  
272 form of crystals. These crystals form after potassium and calcium present naturally in wine combine with  
273 tartaric acid and form the compounds potassium bitartrate and calcium tartrate, respectively. During  
274 fermentation, these compounds precipitate out and evidence of this action is noted in the formation of  
275 crystals (YSI Environmental, 2006).

276

277 **Evaluation Question #3: Provide a list of non-synthetic or natural source(s) of the petitioned substance**  
278 **(7 CFR § 205.600 (b) (1)).**

279

280 L(+) tartaric acid is found as a secondary organic acid in many fruits including grapes, cherries, apples,  
281 mangos, raspberries, and strawberries. In tamarinds, tartaric acid is a predominant organic acid (Sortwell  
282 et al., 1996). The nonsynthetic form of tartaric acid used for many food and industrial applications is  
283 derived from the wastes associated with winemaking. Grape growers and wine makers produce a  
284 significant amount of waste materials and tartaric acid is contained in grape pomace and yeast lees.  
285 Tartaric acid can be precipitated out of wastes and the actual wine solution by adding potassium  
286 hydroxide or calcium hydroxide. After evaporation, tartaric acid in the form of crystals remains and can be  
287 sent for purification (Nerantzis and Tartaridis, 2006). Nonsynthetic tartaric acid is commercially available  
288 from a large number of distributors throughout the world. Details on some of these distributors are  
289 provided below:

290

- 291 • Penta Manufacturing: 50 Okner Parkway, Livingston, NJ 07039
- 292 • Brenn-O-Kem (Pty) Ltd: P.O. Box 71, Wolseley, 6830, South Africa
- 293 • Randi Group: Via Spallanzani, 7, 48018 Faenza, Italy
- 294 • Australian Tartaric Products Pty. Ltd.: PMB 25, Red Cliffs Victoria, 3496. Australia
- 295 • Industria Chimica Valenzana: Viale dei Platani, 101, Partinico (PA), Palermo 90047, Italy

296

297 A manufacturer of synthetic tartaric acid produced from maleic anhydride has also been identified:

298

- 299 • Changmao Biochemical Engineering Co.: No. 1228, N. Changjiang Rd., Changzhou City, Jiangsu  
300 Province, P.R. China

301  
302 **Evaluation Question #4: Specify whether the petitioned substance is categorized as generally**  
303 **recognized as safe (GRAS) when used according to FDA's good manufacturing practices (7 CFR §**  
304 **205.600 (b)(5)). If not categorized as GRAS, describe the regulatory status. What is the technical function**  
305 **of the substance?**

306  
307 Nonsynthetic L(+) tartaric acid and its salts (i.e. L(+) potassium acid tartrate, L(+) sodium potassium  
308 tartrate acid) are classified by the FDA to be GRAS. These substances are not believed to be hazardous to  
309 the general public if used at levels that are now current (a total of about 0.2mg per kg in an adult),  
310 or that might reasonably be expected in the future (FDA, 2011a).

311  
312 The FDA ruled in 2006 that a synthetic form of tartaric acid is also considered GRAS. This form of synthetic  
313 tartaric acid is produced by the conversion of maleic anhydride to tartaric acid through the enzymatic  
314 action of the enzyme cis-epoxisuccinate hydrolase contained in immobilized *Rhodococcus ruber* cells (FDA,  
315 2009).

316  
317 **Evaluation Question #5: Describe whether the primary function/purpose of the petitioned substance is**  
318 **a preservative. If so, provide a detailed description of its mechanism as a preservative (7 CFR § 205.600**  
319 **(b)(4)).**

320  
321 One of the many functions of L(+) tartaric acid is the ability to act as a preservative (Smith and Hong-  
322 Shum, 2003). The other primary functions of L(+) tartaric acid are discussed in more detail in the sections  
323 on Specific Uses and the Action of the Substance.

324  
325 Tartaric acid acts as an effective preservative by controlling the pH of a variety of food products by altering  
326 the acidity and preventing the growth of spoilage microbes. The first dissociation constant or  $pK_1$  of tartaric  
327 acid is equal to 2.98 and the second dissociation constant or  $pK_2$  is equal to 4.34. Typically an acidic  
328 environment causes a loss in enzymatic function in spoilage microbes, thereby destroying them (Waite and  
329 Daeschel, 2007). Tartaric acid is used to alter the acidity of milk, margarine, meat and poultry products,  
330 fruit preserves, jellies, and jams, canned fruits, sherbets, beverages (including fruit juices), and soft drinks.  
331 A small amount of tartaric acid is added to a solution (1-3% of the total solution) that meat carcasses are  
332 dipped in for the reduction of microbial populations present on the carcass (Smith and Hong-Shum, 2003).

333  
334 In wine and juices, tartaric acid acts as a preservative by reducing the pH. Tartaric acid is typically added  
335 prior to fermentation of grapes or after fermentation to correct the solution's overall acidity level (Smith  
336 and Hong-Shum, 2003; Waite and Daeschel, 2007).

337  
338 **Evaluation Question #6: Describe whether the petitioned substance will be used primarily to recreate**  
339 **or improve flavors, colors, textures, or nutritive values lost in processing (except when required by law)**  
340 **and how the substance recreates or improves any of these food/feed characteristics (7 CFR § 205.600**  
341 **(b)(4)).**

342  
343 L(+) tartaric acid is used to improve flavors, colors, and textures lost in food processing (Smith and Hong-  
344 Shum, 2009).

345  
346 As an acidulant, tartaric acid is used to improve the taste and enhance flavors of fruit-flavored products  
347 and can add intensity to the sweetness of sucrose (Heath, 1981). A wide variety of products may contain  
348 tartaric acid, including fruit-flavored carbonated and noncarbonated beverages, dry beverage powders,  
349 low-calorie beverages, candies, fruit gums, and thermal processed fruits. Specifically, tartaric acid enhances  
350 lime, cranberry, and grape flavors (Hui, 2006a).

351  
352 Tartaric acid is also considered a chelating agent and prevents discoloration that might occur during food  
353 processing. Chelating agents are capable of binding metal ions and in doing so improve color, aroma, and  
354 texture. Tartaric acid is added to canned fruit products because it increases the stability of the product's  
355 color and aroma (Belitz et al., 2009).

356

357 The texture of food is altered by the presence of tartaric acid or one of its salts, cream of tartar. Tartaric acid  
358 and cream of tartar are examples of fast-acting baking powders. Fast-acting baking powders contain acids  
359 that release a large amount of gas in a short amount of time during the mixing process or while a batter or  
360 other baking mixture is at rest. Tartaric acid and cream of tartar are important components of cookie,  
361 pancake, and cake mixes and are often sold as 'double-acting baking powder' (Hui, 2006b).

362  
363 **Evaluation Question #7: Describe any effect or potential effect on the nutritional quality of the food or**  
364 **feed when the petitioned substance is used (7 CFR § 205.600 (b)(3)).**  
365

366 No effects of L(+) tartaric acid on the nutritional quality of food have been identified.  
367

368 **Evaluation Question #8: List any reported residues of heavy metals or other contaminants in excess of**  
369 **FDA tolerances that are present or have been reported in the petitioned substance (7 CFR § 205.600**  
370 **(b)(5)).**  
371

372 No information on the report of residues of heavy metals or other contaminants has been identified for the  
373 substance.  
374

375 **Evaluation Question #9: Discuss and summarize findings on whether the manufacture and use of the**  
376 **petitioned substance may be harmful to the environment or biodiversity (7 U.S.C. § 6517 (c) (1) (A) (i)**  
377 **and 7 U.S.C. § 6517 (c) (2) (A) (i)).**  
378

379 If appropriate use patterns and disposal recommendations are followed, it is unlikely that tartaric acid  
380 would cause harm to the environment. However, tartaric acid is an acidulant and its release to the  
381 environment in large quantities could alter the pH of aquatic and soil environments. If a large amount of an  
382 organic acid (i.e., tartaric acid) was released to the soil after improper disposal of excess tartaric acid or  
383 following improper use patterns, the increased acidity could create an environment incapable of  
384 supporting native soil organisms (Bickelhaupt, 2011).  
385

386 A large release of tartaric acid to the aquatic environment is likely to have an effect on aquatic organisms.  
387 Many aquatic organisms would die if the pH of the waterbody became too low (Brenn-O-Kem Ltd., 2011).  
388

389 Environmental persistence and degradability data are available for tartaric acid. The biodegradability of  
390 tartaric acid is 95% after 3 days and the substance is considered readily biodegradable. No bioaccumulation  
391 is to be expected ( $\log P_{ow}^1 < 1$ ) (Brenn-O-Kem Ltd., 2011; Fisher Scientific, 2008).  
392

393 **Evaluation Question #10: Describe and summarize any reported effects upon human health from use of**  
394 **the petitioned substance (7 U.S.C. § 6517 (c) (1) (A) (i), 7 U.S.C. § 6517 (c) (2) (A) (i) and 7 U.S.C. § 6518**  
395 **(m) (4)).**  
396

397 L(+) tartaric acid in both the nonsynthetic and synthetic forms and its salts (i.e., L(+) potassium acid  
398 tartrate, L(+) sodium potassium tartrate acid) have been classified by the FDA as GRAS (FDA, 2009, 2011a).  
399 While there are no known specific hazards to human health associated with normal use patterns of tartaric  
400 acid, acute effects associated with exposure are reported in Material Safety Data Sheets (MSDS) for L(+) tartaric acid. Brief contact with tartaric acid is noted to cause possible irritation to the eyes. However, scientific literature supporting these effects was not identified. One study reported no signs of irritation after humans were administered a dermal dose of hand lotion containing tamarind and 2 percent (w/w) tartaric acid for 30 minutes daily for 5 days under semi-occlusive patch (Maenthaisong et al., 2007).  
404

405  
406 An MSDS (Industria Chimica Valenzana, 2007) notes that prolonged contact with L(+) tartaric acid may  
407 cause irritation to the skin, upper respiratory tract, and mucous membranes. Ingestion of large quantities  
408 may cause irritation to the gastro-intestinal tract and could result in nausea or vomiting. Chronic toxicity is  
409 determined as low.  
410

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<sup>1</sup>  $P_{ow}$  refers to the octanol water coefficient of a substance.



411 The oral LD<sub>50</sub> of tartaric acid is between 3310 and 3530 mg/kg body weight in the rat and is 5000 mg/kg  
412 body weight in the dog. The acceptable daily intake of tartaric acid and its potassium and sodium salt is up  
413 to 30 mg/kg body weight for humans (Smith and Hong-Shum, 2008). The daily intake of tartaric acid that  
414 is added to foods is orders of magnitude below that which could be expected to cause human toxicity  
415 (FDA, 2011a).

416  
417 **Evaluation Information #11: Provide a list of organic agricultural products that could be alternatives for**  
418 **the petitioned substance (7 CFR § 205.600 (b)(1)).**  
419

420 Tartaric acid has a variety of applications in food handling, including use in baking applications and as an  
421 acidulant, flavoring agent, preservative, pH adjuster, and chelating agent.  
422

423 No organic agricultural products have been identified as appropriate alternatives for tartaric acid in food  
424 applications (including winemaking). However, other organic acids, including citric acid and malic acid,  
425 have demonstrated similar properties as tartaric acid with respect to its function as an acidulants, flavoring  
426 and chelating agent, pH adjuster, and preservative. Both citric and malic acids are included on the National  
427 List as non-organic, non-agricultural substances permitted for use in organic agriculture (7 CFR §205.605).  
428

429 In baking, L(+) tartaric acid is a critical component of baking powder. Baking powder can be replaced with  
430 baking soda, but cream of tartar must be added to maintain the properties of baking powder. Therefore, no  
431 sound alternative is available for tartaric acid in many baking applications (Hui, 2006b).  
432

433 When used as an acidulant and flavoring agent, citric acid can sometimes act as a replacement of tartaric  
434 acid (Smith and Hong-Shum, 2008). The National List includes nonsynthetic citric acid as permitted for use  
435 in organic food processing and handling.  
436

437 Tartaric acid is a critical component in winemaking and cannot be replaced with an organic alternative.  
438 Although both malic acid and tartaric acid are natural components of grapes and are used to alter the  
439 acidity in wine and possess characteristics of a preservative, they generally cannot be used interchangeably  
440 because the substances contribute differently to the wine's overall taste. In addition, the concentration of  
441 malic acid in grapes is much smaller than tartaric acid. It is because of this phenomenon that additional  
442 malic and/or tartaric acid is added by winemakers in order to produce the desired taste and to obtain the  
443 proper pH for the wine solution. L-Malic acid (CAS # 97-67-6) is included on the National List as a  
444 nonorganic substance allowed as an ingredient in or on processed products labeled as "organic" or "made  
445 with organic (specified ingredients or food group(s))" (Volschenk et al., 2006).  
446

447 When simply seeking to adjust pH, many organic acids can be used in place of tartaric acid. Citric acid and  
448 malic acid are useful replacements for tartaric acid; however it is important to note that these acids also  
449 have flavors associated with their presence in a substance. If seeking a purely grape flavor, then tartaric  
450 acid is the primary organic acid that should be used because malic acid adds an apple flavor to a product  
451 and citric acid adds many citrus flavors. These alternative flavors may not be desirable to the product's  
452 flavor profile (Hui, 2006a).  
453

454 Citric acid is also known to be an effective chelator and could be used in place of tartaric acid for this  
455 purpose. Chelators are important in the processing of fruit and vegetables. Specifically, citric acid is used to  
456 prevent enzymatic browning because of its effectiveness at chelating copper (Hui, 2006a).  
457

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