

Vitamins

Livestock

Identification of Petitioned Substance

This technical report discusses 15 vitamins currently allowed for use in organic livestock production for fortification and enrichment. The scope of vitamin compounds presented in this report is reflective of vitamins defined as “required nutrients” by the National Research Council’s (NRC’s) Nutrient Requirements of cattle, sheep, swine and poultry. Herein, information is provided about the vitamins individually and collectively per the availability of information. Individual vitamins potentially exist in a variety of biologically active forms; for the purposes of this discussion, the chemical derivative most likely present in vitamin supplements was chosen. Vitamins C and D are well known examples of vitamins, and a previous technical report evaluated the use of vitamin D₃ as a rodenticide (USDA, 2009). As such, vitamins C and D₃ are discussed as specific examples in portions of this report.

Table 1. Nutrient Vitamins for Livestock

Common Name	Chemical Name	CAS Number	Trade Names	Other Codes
Vitamin A (Retinyl Acetate, etc.)	(2E,4E,6E,8E)-3,7-Dimethyl-9-(2,6,6-trimethylcyclohex-1-en-1-yl)nona-2,4,6,8-tetraen-1-yl acetate	127-47-9	Vitamin A acetate	EINECS: 204-844-2
Vitamin B ₁ (Thiamine)	2-[3-[(4-Amino-2-methylpyrimidin-5-yl)methyl]-4-methylthiazol-5-yl] ethanol	59-43-8	Vitamin B ₁ hydrochloride	EINECS: 200-425-3
Vitamin B ₂ (Riboflavin)	7,8-Dimethyl-10-[(2S,3S,4R)-2,3,4,5-tetrahydroxypentyl] benzo[g]pteridine-2,4-dione	83-88-5	Riboflavin (B2)	EINECS: 201-507-1
Vitamin B ₃ (Niacin)	Pyridine-3-carboxylic acid	59-67-6	Nicotinic Acid	EINECS: 200-441-0
Vitamin B ₅ (Pantothenic Acid)	3-[(2,4-Dihydroxy-3,3-dimethylbutanoyl)amino] propanoic acid	137-08-6	D-pantothenic acid hemicalcium salt	EINECS: 205-278-9
Vitamin B ₆ (Pyridoxine)	4,5-Bis(hydroxymethyl)-2-methylpyridin-3-ol	58-56-0	Vitamin B ₆ hydrochloride	EINECS: 200-386-2
Vitamin B ₇ (Biotin)	5-[(3aS,4S,6aR)-2-oxohexahydro-1H-thieno[3,4-d]imidazol-4-yl]pentanoic acid	58-85-5	Biotin; Coenzyme R; Vitamin H)	EINECS: 200-399-3
Inositol	(1R,2R,3S,4S,5R,6S)-cyclohexane-1,2,3,4,5,6-hexol	87-89-8	myo-inositol	EINECS: 201-781-2
Vitamin B ₉ (Folic Acid)	(2S)-2-[(4-[(2-amino-4-hydroxypteridin-6-yl)methyl]amino)phenyl]formamido]pentanedioic acid	59-30-3	Folic acid	EINECS: 200-419-0
Choline	(2-hydroxyethyl) trimethylammonium chloride	67-48-1	Choline Chloride	EINECS: 200-655-4
Vitamin C (L-Ascorbic Acid)	(R)-3,4-dihydroxy-5-((S)-1,2-dihydroxyethyl)furan-2(5H)-one	50-81-7	L-Ascorbic acid	EINECS: 200-066-2
Vitamin D (Cholecalciferol, etc)	(3β,5Z,7E)-9,10-secocholesta-5,7,10(19)-trien-3-ol	67-97-0	Cholecalciferol (D ₃); Vitamin D ₃	EINECS: 200-673-2

Common Name	Chemical Name	CAS Number	Trade Names	Other Codes
Vitamin E (Tocopherols)	(2R)-2,5,7,8-Tetramethyl-2- [[4R,8R)-(4,8,12- trimethyltridecyl)]-6-chromanol	59-02-9	α -Tocopherol	EINECS: 200-412-2
Vitamin K (menadione sodium bisulfate)	2-methyl-1,4-naphthoquinone sodium bisulfite	130-37-0	Vitamin K ₃	EINECS: 204-987-0
Vitamin B ₁₂ (cobalamin)	α -(5,6-dimethylbenzimidazolyl cyanocobamide	68-19-9	Cyanocobalamin	EINECS: 200-680-0

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Summary of Petitioned Use

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17 The National Organic Program (NOP) final rule currently allows the use of vitamins, as feed additives, in
 18 organic livestock production under 7 CFR §205.603(d)(3) in amounts needed for adequate nutrition and
 19 health maintenance (7 CFR §205.237). In crop production, vitamins B₁, C and E are allowed as plant or soil
 20 amendments (7 CFR 205.601(j)(8)) and vitamin D₃ may be used as a rodenticide (7 CFR 205.601(g)).
 21 Synthetic sources of vitamins are also allowed in processed products labeled as “organic” or “made with
 22 organic (specified ingredients or food group(s))” (7 CFR 205.605(b)). This technical report provides targeted
 23 technical information regarding the identity and dietary requirements of various vitamin species for the
 24 production of cattle, sheep, swine, poultry and other livestock. In addition, this review addresses the
 25 potential toxicity or environmental impact of vitamins, as well as the availability of alternatives and
 26 compatibility of synthetic vitamins in organic production. The compiled technical information will be used
 27 in the National Organic Standard’s Boards review of supplemental vitamins in livestock production under
 28 the sunset process.

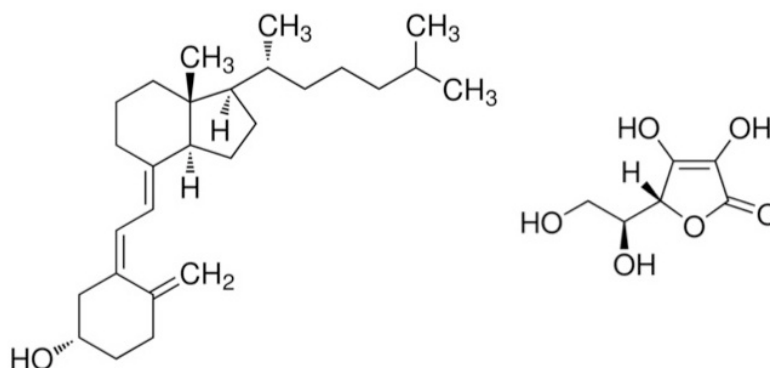
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Characterization of Petitioned Substance

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Composition of the Substance:

32 Vitamin premixes used to fortify animal feed are composed of 10–15 essential vitamins, organic chemical
 33 compounds not ingested or synthesized in sufficient quantities by a given animal species. Additional
 34 vitamins may be individually supplemented in the feed depending on the organism being fed (Sewell,
 35 1993). Traditionally, vitamins are categorized based on their solubility properties: Vitamin C and most of
 36 the B-vitamin complex group compounds are water-soluble while vitamins A, D, E, and K are fat-soluble.
 37 In Figure 1, the structures of vitamin D₃ (cholecalciferol) and vitamin C (ascorbic acid) are presented as
 38 examples of fat- and water-soluble vitamins, respectively. In contrast to fat-soluble vitamin compounds,
 39 the structures of water-soluble vitamins contain multiple polar functionalities and/or exist as the
 40 corresponding salt (Friedrich, 1988).



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Figure 1. Structures of Vitamin D₃ (left) and Vitamin C (right).

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45 Source or Origin of the Substance:

46 Vitamins can be extracted from foods or synthesized by chemical or biofermentation processes. Regarding
47 the former, certain vitamins can be obtained from natural dietary sources in varying quantities. For
48 example, Vitamin C (ascorbic acid) is a major nutritional component of citrus fruits and Vitamin D is a
49 natural constituent nutrient of cold-water fish. Individual vitamin compounds used in vitamin
50 supplements may be generated chemically using synthetic methods, obtained through extraction from
51 biological sources, and/or produced through biological fermentation processes. Regarding the latter
52 category, the patent literature indicates that the last decade has seen the development of a growing number
53 of methods for the fermentative production of individual vitamin compounds utilizing genetically
54 modified microorganisms (GMMs). This report provides information on both the current commercial
55 production methods and an analysis of trends in the application of GMMs in the synthesis of individual
56 vitamins.

57 Properties of the Substance:

58 As a result of the structural diversity among the vitamin compounds, there is great variability in the
59 physical and chemical properties of vitamins as a chemical class. Vitamins are organic (i.e., carbon-
60 containing) compounds and are typically grouped depending on their solubility in water vs. organic
61 solvents. The more hydrophilic vitamin compounds tend to have multiple polar functionalities (i.e.,
62 hydroxyl groups, amino groups, carboxylic acids, alkoxy groups, and/or salts of carboxylic acids). Due to
63 their enhanced aqueous solubility, molecules not metabolized by the organism are rapidly excreted.
64 Alternatively, more lipophilic vitamins are primarily comprised of aliphatic and aromatic carbon
65 frameworks and are stored in animal fat tissues upon consumption of an excess of the vitamin. As a class of
66 substances, vitamins have a relatively low vapor pressure (HSDB, 2005a; 2006; 2010a).

67 *Vitamin A*

68 Retinyl acetate (acetic ester of retinol) is a synthetic form of vitamin A commonly used in vitamin
69 supplements and processed foods. The substance has been physically described as a crystalline pale yellow
70 solid and a yellow to yellow-brown viscous oil (HSDB, 2005b). The melting point of crystalline retinyl
71 acetate is listed as 57–58 °C. Most forms of vitamin A are practically insoluble in water or glycerol;
72 however, solubility is observed in alcoholic solvents, chloroform, ether, fats and oils. For example, the
73 solubility of retinyl acetate in absolute ethanol is 25 mg/mL (ChemicalBook, 2010b).

74 *Vitamin C*

75 Vitamin C (L-ascorbic acid) is a colorless crystalline powder or solid. It has a pH of 1.0–2.5 at 176 g/L at
76 25 °C. The melting point/range of pure L-ascorbic acid is 190–194 °C. L-ascorbic acid is highly soluble in
77 water (solubility of 176 g/L at 20 °C). In addition, L-ascorbic acid exhibits air and light sensitivity and, as
78 an antioxidant, it acts as a strong reducing agent with some organic compounds (Sigma Aldrich, 2015;
79 Fisher Scientific, 2012).

80 *Vitamin D*

81 Vitamin D₃ (cholecalciferol) exists as a white crystalline powder or solid. While cholecalciferol has
82 negligible water solubility (<0.1 g/L at 20 °C), it is soluble in organic hydrocarbon and aromatic solvents.
83 Cholecalciferol is a neutral compound with no acid-base properties. It has a melting point/range of 84–
84 85 °C. Cholecalciferol may react vigorously and exothermically in the presence of strong oxidizing and
85 reducing agents, respectively (ChemicalBook, 2010; Acros Organics, 2009).

86 *Vitamin B₁*

87 Vitamin B₁ (thiamine) and thiamine hydrochloride, a commonly used supplemental form of vitamin B₁, are
88 colorless solids with melting points of 164 and 250 °C (HSDB, 2010c; ChemicalBook, 2010k). One gram of
89 thiamine dissolves in approximately 1 mL water, 18 mL glycerol, 100 mL 95% alcohol, or 315 mL absolute
90 alcohol; thiamine is practically insoluble in ether, benzene, hexane, and chloroform. The pH of 1% wt/vol
91 solution of thiamine in water is 3.13 (HSDB, 2010c). Chemical forms of vitamin B₁ are generally light
92 sensitive and hygroscopic (ChemicalBook, 2010k).

93 *Vitamin B₂*

94 Pure vitamin B₂ (riboflavin) is a solid and may be isolated as orange to yellow needles or crystals. The
95 melting point of vitamin B₂ is approximately 280–290 °C, at which point the substance decomposes.
96 Vitamin B₂ is soluble in saline (aqueous sodium chloride solutions) and has a solubility of 0.0045 g/100 mL
97 in absolute ethanol at 27.5 °C. Slight solubility has been observed in cyclohexanol, amyl acetate and benzyl
98 alcohol, phenol and vitamin B₂ is insoluble in ether, chloroform, acetone, and benzene. Saturated aqueous
99 solutions of vitamin B₂ have a pH of approximately 6. Vitamin B₂ exhibits light sensitivity and is
100 incompatible with strong oxidizing and reducing agents, bases, calcium and metallic salts (HSDB, 2010b;
101 ChemicalBook, 2010c).

102 *Vitamin B₃*

103 Nicotinic acid, a commercial form of vitamin B₃, exists as a colorless powder with a melting point/range of
104 236–239 °C. It has a superior water solubility of 15 g/L at 20 °C and 150 g/L at 100 °C as well as ethanol
105 solubility (12.5 g/L at 25 °C) (Sigma Aldrich, 2014). Vitamin B₃ is stable overall, but is incompatible with
106 strong oxidizing agents and may be light sensitive (ChemicalBook, 2010e).

107 *Vitamin B₅*

108 Calcium pantothenate is a common form of vitamin B₅ used for fortification. It has a melting point of 190
109 °C and water solubility of 50 mg/mL at 25 °C. A concentrated aqueous solution (50 g/L) of vitamin B₅ has
110 a pH of 7–8. The pure substance is stable but may be air or moisture sensitive. Vitamin B₅ is incompatible
111 with strong acids and bases (ChemicalBook, 2010f; Sigma Aldrich, 2015).

112 *Vitamin B₆*

113 Pyridoxine hydrochloride, which is the common supplemental form of vitamin B₆, is typically isolated as a
114 white powder or colorless crystals with a melting point/range of 214–215 °C. Its solubility in water is
115 0.1 g/mL at 20 °C, and forms acidic solutions in water (pH = 3.2 at 10% weight in volume). In addition,
116 vitamin B₆ exhibits solubility in alcohol (1 g in 90 mL alcohol), but is sparingly soluble in acetone and
117 insoluble in ether and chloroform. The substance is considered to be light sensitive (ChemicalBook, 2010d;
118 HSDB, 2002).

119 *Vitamin B₇*

120 Vitamin B₇ (i.e., vitamin H, biotin) is a colorless crystalline solid with a melting point/range of 231–233 °C,
121 at which point the substance decomposes. It is slightly soluble in organic solvents, such as chloroform and
122 ether. Likewise, it is slightly soluble in aqueous solution (0.2 mg/mL), but its salts are significantly more
123 soluble in water. Additionally, vitamin B₇ is light sensitive, incompatible with strong oxidizing agents,
124 strong acids and bases, and formaldehyde (ChemicalBook, 2010g; HSDB, 2007).

125 *Inositol*

126 *Myo*-inositol, the biologically prominent form of inositol, is generally isolated as a white powder or
127 crystalline solid. It has a melting point/range of 220–228 °C. The water solubility of *myo*-inositol is 140 g/L
128 at 25 °C, and is likely soluble in some polar organic solvents, such as ethanol and acetone. It is incompatible
129 with strong oxidizing agents and decomposes to carbon monoxide and carbon dioxide (Sigma Aldrich,
130 2014; Acros Organics, 2011).

131 *Vitamin B₉*

132 Folic acid, the dietary form of vitamin B₉ is a yellow-orange crystalline powder having a melting point of
133 250 °C. In this form, vitamin B₉ is practically insoluble in water (water solubility = 1.6 mg/L). The pH of a
134 saturated aqueous solution of vitamin B₉ (1 gram per 10 mL suspension) is 4.0–4.8. Vitamin B₉ is
135 incompatible with heavy metal ions, and strong oxidizing and reducing agents. Solutions of vitamin B₉
136 may be light and heat sensitive (Acros Organics, 2009; ChemicalBook, 2010h).

137 *Vitamin B₁₂*

138 Synthetic vitamin B₁₂ is generally isolated as a dark red crystalline solid having a melting point of > 300 °C.
139 Vitamin B₁₂ is moderately soluble in water; aqueous solutions of the substance have a neutral pH. Stability
140 is generally observed under standard temperatures and pressures, but decomposition may occur upon

141 exposure to light. Hazardous decomposition products include carbon monoxide, oxides of nitrogen and
142 phosphorus, carbon dioxide, and oxides of cobalt (Acros Organics, 2009).

143 *Choline*

144 Pure choline chloride exists as a white solid. The melting point/range of choline chloride is 302–305 °C at
145 which point the substance decomposes. Choline chloride is readily soluble in aqueous solution (water
146 solubility = 140 g/L). Saturated aqueous solutions of choline chloride (concentration = 140 g/L) exhibit a
147 pH range of 5.0–6.5 at 25 °C. The substance is incompatible with strong oxidizing and reducing agents as
148 well as strong acids and bases (Sigma Aldrich, 2014; ChemicalBook, 2010).

149 *Vitamin E*

150 The most biologically active form of vitamin E is α -tocopherol. It exists as a yellow-brown viscous oil with
151 a melting point/range of 200–220 °C and a density of 0.95 g/mL at 20 °C. As a fat-soluble vitamin, all
152 forms of vitamin E are insoluble in water and soluble in many non-polar organic solvents. Due to its
153 antioxidant properties, vitamin E may also react violently with oxidizing agents. Combustion of vitamin E
154 may lead to the production of carbon oxides (Sigma Aldrich, 2014; ChemicalBook, 2010i).

155 *Vitamin K*

156 Menadione sodium bisulfite, a synthetic form of vitamin K, is a solid material with a melting point/range
157 of 121–124 °C. Due to its ionic nature, vitamin K₃ exhibits water solubility. Combustion of vitamin K₃ may
158 result in the formation of carbon oxides, sulfur oxides, and sodium oxides (ChemicalBook, 2008). Vitamin
159 K₁, a natural form of vitamin K, is a viscous liquid having a density of 0.984 g/mL at 25 °C. In contrast to
160 synthetic vitamin K₃, vitamin K₁ is insoluble in aqueous solution and soluble in various non-polar organic
161 solvents (ChemicalBook, 2010l).

162 **Specific Uses of the Substance:**

163 Vitamins are included in nutritional supplements, pest control substances, and feedstock chemicals for
164 research and industrial processes. Green chemistry research has exploited the reactivity of these naturally
165 derived compounds; for example, thiamine salts were developed as catalysts for the Benzoin
166 Condensation, effectively replacing toxic cyanide salts (Jenkins, 2009). Vitamin D₃ has also been developed
167 as an effective rodenticide in gel and pellet baiting products for gophers, mice, rats, and other rodents
168 (ATTRA, 2006). This section summarizes the available information regarding the fortification of animal
169 feed with vitamin premixes in conventional and organic livestock production, as well as the occurrence of
170 vitamins in dietary supplements for human consumption. Vitamins are commonly supplemented by
171 injection (vitamins A, D and E); fortification of grain mixes or silage-based rations to ensure each animal
172 receives some vitamins each day; and free choice supplementation through free choice mineral
173 supplements, protein licks/blocks or in salt/mineral/vitamin mixes (Alberta, 2015).

174 Ruminants such as cattle and sheep typically produce adequate amounts of the water-soluble B-vitamin
175 complex and fat-soluble vitamin K, making supplementation with these vitamins unnecessary (Adams,
176 2010; Gadberry, undated). Indeed, vitamin supplementation is generally not as critical as mineral
177 supplementation for ruminants grazing actively growing forages (Parish & Rhinehart, 2008). Although
178 bacteria in the rumen of these animals are able to synthesize sufficient quantities vitamin K and the B
179 vitamins, these animals are typically supplemented with external sources of vitamins A, D, and E (Sewell,
180 1993). Deficiencies in these required nutrients are commonly observed in animals provided diets devoid of
181 leafy roughage and/or vitamin fortification of the animal feed. Young animals or animals under stress with
182 low levels of fermentation in the rumen can be deficient in B vitamins (Adams, 2010); incorporation of B-
183 vitamin supplements may be required in these situations.

184 Vitamin A is the vitamin that is most likely to be deficient for beef cattle. The liver can store large amounts
185 of vitamin A, and stores will generally last from two to four months following extended time periods
186 grazing green forage. Because of these factors, vitamin A deficiency in much of the United States is most
187 likely to occur during the latter portion of the wintering period when animals have been fed stored hay for
188 several months, or during an extended period of drought (Gadberry, undated). Vitamin A is more heavily
189 fortified in cattle feed than vitamins D and E, with an application rate of 1,000 to 1,500 IU of vitamin A per
190 pound of feed. Muscular injection of vitamin A more efficiently increases liver stores of this vitamin than

191 feed supplementation (Sewell, 1993). Another resource indicated that the dietary requirements for vitamin
192 A are 1,270 IU/pound dry feed for pregnant beef heifers and cows and 1,770 IU/pound dry feed for
193 lactating cows. Supplementation with vitamin A can be given either in the diet or by injection (Gadberry,
194 undated). Injections are considered more effective than providing vitamin A through the diet in situations
195 of extreme vitamin deficiency (Parish & Rhinehart, 2008).

196 With their ruminant digestive system, sheep are able to generate many of the required vitamins from the
197 raw materials consumed in their diet. They efficiently produce all B-vitamins, and vitamins A and E are
198 readily generated inside the body from compounds found in green forage. Vitamin A can be stored in the
199 liver for two to three months after sheep have consumed green forage over an extended period of time
200 (Wahlberg & Greiner, 2006). Accordingly, no supplemental vitamins are needed when ruminants such as
201 sheep and cattle are eating fresh pasture or well-made hay. When sheep are feeding on forage that is old,
202 weathered, mature or otherwise low in the vitamin A precursor compound, this vitamin should be added
203 to the mineral mixture used to fortify animal feed. For example, supplementation is important when
204 feeding sheep and other ruminants fibrous materials that may have inadequate concentrations of vitamin
205 A, such as corn silage, corn stalks and straw. Most commercial mineral premixes for sheep designed for
206 free-choice feeding will contain added A, D and E (Wahlberg & Greiner, 2006).

207 In contrast to ruminants, swine and poultry must obtain a greater number of vitamins through the diet. Of
208 all farmed species, poultry receives the highest proportion of its feed, and therefore vitamins, from
209 manufactured sources (DSM, 2011a). The production of poultry, meat and eggs relies on dietary intake of
210 13 required vitamins (NRC, 1994), many of which are supplied through synthetic sources. For swine, the
211 fat-soluble vitamins A, D, E and K, as well as specific B-vitamins that may be deficient in corn- or milo-
212 based diets (i.e., pantothenic acid, riboflavin, niacin, choline and vitamin B₁₂) are generally included in
213 vitamin premixes for supplementation of feed sources. Research has also indicated that additions of folic
214 acid and biotin may improve sow and litter performance when added to gestation and lactation diets
215 (NCSU, undated). In addition to the fat-soluble and water-soluble vitamins, fortification of swine animal
216 feed with choline chloride is recommended to avoid possible choline deficiency in growing-finishing pigs
217 being fattened for slaughter (NCSU, undated).

218 Human dietary supplements generally contain a combination of essential nutrients, including vitamins.
219 Higher intake or topical application (e.g., vitamin A) of certain vitamins is particularly important for post-
220 operative patients. For example, recent scientific literature suggested the intake of 500 mg/day of vitamin
221 C to minimize postoperative oxidative stress (Fukushima, 2010). Additionally, food products are
222 commonly fortified with vitamins and other essential nutrients to facilitate sufficient public consumption
223 of these compounds. Typical examples of food vehicle-vitamin combinations include oils and dairy
224 products for vitamin D supplementation, and cereals and grain products for B complex vitamins and other
225 vitamin fortification (FAO, undated).

226 **Approved Legal Uses of the Substance:**

227 Vitamins are legally allowed for use as feed additives for animal production, supplements for human
228 consumption, and soil/plant amendments in crop production. This section summarizes the legal uses of
229 various vitamin compounds according to relevant federal regulations.

230 *Conventional and Organic Livestock Feed*

231 The U.S. Food and Drug Administration (FDA) enforces provisions of the Federal Food, Drug and
232 Cosmetic Act (FFDCA) associated with additives used in animal feed and food for human consumption.
233 According to the FFDCA, any substance that is added or expected to directly or indirectly become a
234 component of animal food must be used according to the relevant food additive regulation unless the
235 substance is generally recognized as safe (GRAS) under 21 CFR 582 and 584 for that use pattern (FDA,
236 2014a). In addition, substances listed as FDA-approved food additives (21 CFR 570, 571, and 573) may also
237 be incorporated into animal feeds. The following synthetic compounds used as vitamins in animal
238 supplements are classified as GRAS by the FDA and therefore not subject to additional regulatory
239 oversight (OMRI, 2013):

- 240 • Vitamin A (vitamin A acetate) 21 CFR 582.5933

- 241 • Vitamin B₁ (thiamine hydrochloride) 21 CFR 582.5875
- 242 • Vitamin B₂ (riboflavin) 21 CFR 582.5695
- 243 • Vitamin B₃ (niacin, nicotinic acid) 21 CFR 582.5530
- 244 • Vitamin B₅ (calcium pantothenate) 21 CFR 582.5212
- 245 • Vitamin B₆ (pyridoxine hydrochloride) 21 CFR 582.5676
- 246 • Vitamin B₇ (biotin) 21 CFR 582.5159
- 247 • Vitamin B₁₂ (cyanocobalamin) 21 CFR 582.5945
- 248 • Vitamin C (ascorbic acid) 21 CFR 582.5013
- 249 • Choline chloride 21 CFR 582.5252
- 250 • Vitamin D₃ (cholecalciferol) 21 CFR 582.5953
- 251 • Vitamin E (α-Tocopherol acetate) 21 CFR 582.5892
- 252 • Inositol 21 CFR 582.5370

253 With the exception of vitamin K₃ (menadione dimethylpyrimidinol bisulfite), all of the fat- and water-
 254 soluble vitamins commonly included in animal feed supplements are referenced in 21 CFR 582, GRAS
 255 substances. Menadione, a synthetic version of vitamin K, is listed under 21 CFR 573, Food Additives
 256 Permitted in Feed and Drinking Water of Animals. Although K₃ is allowed as nutritional supplement in
 257 conventional chicken and turkey feed for the prevention of vitamin K deficiency (21 CFR 573.620), it is not
 258 approved for use in human or prenatal supplements or any other food products (FDA, 2014b).

259 The National Organic Program (NOP) final rule currently allows the use of vitamins in organic livestock
 260 production under 7 CFR 205.603, Synthetic Substances Allowed for Use in Organic Livestock Production,
 261 for enrichment or fortification when FDA approved. Further, the USDA organic regulations require
 262 producers to meet certain standards for livestock health care practices. As part of this requirement,
 263 livestock feed rations must meet nutritional requirements, including vitamins, minerals, protein and/
 264 amino acids, fatty acids, energy sources, and fiber (ruminants) (7 CFR 205.238(a)(2)). The USDA organic
 265 regulations define livestock to include “any cattle, sheep, goats, swine, poultry, or equine animals used for
 266 food or in the production of food, fiber, feed, or other agricultural-based consumer products; wild or
 267 domesticated game; or other nonplant life” (7 CFR 205.2):

268 *Human Food Additives and Dietary Supplements*

269 The National Organic Program (NOP) final rule currently allows nutrient vitamins in the organic handling
 270 of food for human consumption under 7 CFR 205.605, synthetic substances allowed as ingredients in or on
 271 processed products labeled as “organic” or “made with organic (specified ingredients or food group(s)).”
 272 Organic handlers must also comply with the FDA Nutritional Quality Guidelines for Foods (21 CFR 104.20)
 273 in the fortification of processed foods. The nutrient profiles are provided below (Table 2). In contrast to its
 274 role in the regulation of drugs and animal feed additives, the FDA does not regulate human dietary
 275 supplements; however, if an unsafe product is marketed, it is the responsibility of the FDA to take any
 276 necessary regulatory action and/or ensure the accuracy of the supplement’s label (FDA, 2014c).

277 **Table 2. FDA Nutrition Quality Guidelines for Foods: Vitamins**

Vitamin	Unit of Measurement	DRV or RDI	Amount per 100 calories
Vitamin A	IU	5,000	250
Vitamin C	mg	60	3
Vitamin D	IU	400	20
Vitamin E	IU	30	1.5
Vitamin B ₁ (thiamine)	mg	1.5	0.08
Vitamin B ₂ (riboflavin)	mg	1.7	0.09
Vitamin B ₃ (niacin)	mg	20	1
Vitamin B ₆ (pyridoxine)	mg	2.0	0.1
Vitamin B ₉ (folate)	µg	400	20
Vitamin B ₁₂ (cobalamin)	µg	6.0	0.3

Vitamin B ₇ (biotin)	mg	0.3	0.015
Vitamin B ₅ (pantothenic acid)	mg	10	0.5

278 IU = International Unit, unit of activity or potency for vitamins and other substances; mg = milligram
 279 (gram/1,000); µg = microgram (gram/1,000,000); DRV = Dietary Reference Values; RDI = Reference
 280 (Recommended) Daily Intake

281 *Use in Organic Crop Production*

282 Four synthetic vitamin compounds are also allowed for use in organic crop production. Vitamins B₁, C, and
 283 E are included on the National List of allowed synthetic substances for use as plant or soil amendments in
 284 organic crop production (7 CFR 205.601(j)(8)). In addition, vitamin D₃ (cholcalciferol) is on the National List
 285 as an allowed synthetic rodenticide in organic crop production (7 CFR 205.601(g)).

286 **Action of the Substance:**

287 Dietary intake of vitamins is essential for the health and well being of all animals, including livestock. In
 288 particular, most vitamins aid in the metabolism of proteins, carbohydrates, and fats while some vitamin
 289 compounds have important antioxidant properties. Common signs of vitamin deficiency include anorexia,
 290 poor growth, reduced feeding efficiency and, in some cases, mortality. The functions of vitamins currently
 291 included in vitamin premixes for cattle, sheep, swine and poultry are as follows:

292 *Vitamin A (retinol)*

293 This fat-soluble vitamin is essential for vision, reproduction, growth and maintenance of epithelial tissue,
 294 and mucous secretions. Vitamin A is required for normal vision; in the retina of the eye vitamin A is
 295 combined with a specific protein (opsin) to form a visual pigment, which in turn functions in the reception
 296 and transmission of light from the eye to the brain. In addition, vitamin A is required for the maintenance
 297 of the mucous secreting epithelial tissues of the reproductive tract, skin, bone and gastro-intestinal tract.
 298 Reduced growth, exophthalmia (bulging eyes), degradation of the retina, and anorexia are common
 299 symptoms of vitamin A deficiency. In vitamin A deficient poultry, egg production drops markedly,
 300 hatchability decreases, and embryonic mortality with incubated eggs increases.

301 *Vitamin B₁ (thiamine)*

302 In the form of its di-phosphate ester (thiamine pyrophosphate, TPP), vitamin B₁ functions as a coenzyme in
 303 carbohydrate metabolism. In particular, TPP is involved in formation of acetylcoenzyme A and succinyl
 304 coenzyme A via carbon dioxide removal of pyruvic acid and alpha-ketoglutaric acid, respectively. It is also
 305 involved in the oxidation of glucose via the pentose phosphate pathway. Symptoms of vitamin B₁
 306 deficiency include decreased appetite, anorexia, poor growth, neuromuscular disorders, and ataxia.

307 *Vitamin B₂ (riboflavin)*

308 As a constituent of flavin mononucleotide (FMN) and flavin adenine dinucleotide (FAD), vitamin B₂
 309 functions as a coenzyme for many enzyme oxidases and reductases, and therefore plays an important role
 310 in energy metabolism. FMN and FAD facilitate the enzymatic breakdown of energy-yielding nutrients such
 311 as fatty acids, amino acids and pyruvic acid. Deficiency may result in anorexia, poor growth, corneal
 312 vascularization, spinal deformities, and increased mortality rate. In poultry, egg production is affected, and
 313 riboflavin-deficient eggs do not hatch.

314 *Vitamin B₃ (nicotinic acid)*

315 A constituent of nicotinamide adenine dinucleotide (NAD) and nicotinamide adenine dinucleotide
 316 phosphate (NADP), vitamin B₃ functions as a coenzyme for electron transfer in metabolic processes (i.e.,
 317 hydrogen removal and transport), plays a central role in tissue oxidation and therefore essential for the
 318 release of energy from carbohydrates, fats and proteins. Loss of appetite, anorexia, poor growth, reduced
 319 feed efficiency, and edema of the stomach may result from vitamin B₃ deficiency.

320 *Vitamin B₅ (pantothenic acid)*

321 In the form of 3 phospho-adenosine-5-diphospho-pantotheine (commonly known as acetyl coenzyme A),
 322 vitamin B₅ functions as a coenzyme and plays a central role in all reactions involving the formation or

323 transfer of a 2-carbon acetyl group. Pantothenic acid is essential for the release of energy from fats and
324 proteins, which are converted to acetyl coenzyme A before being oxidized in the Krebs or tricarboxylic acid
325 cycles. Signs of deficiency include decreased food consumption, anorexia, reduced growth, anemia,
326 sluggishness, and mortality.

327 *Vitamin B₆ (pyridoxine)*

328 In the form of its phosphate ester (pyridoxal phosphate), vitamin B₆ functions as a coenzyme in nearly all
329 reactions involved in the non-oxidative degradation of amino acids (protein metabolism), which include
330 amino and carboxyl group transformations. It is required for the metabolic breakdown of tryptophan, the
331 synthesis of hemoglobin, acetyl coenzyme A and messenger RNA, and the metabolic release of glycogen
332 from muscle and liver (carbohydrate metabolism). Nervous disorders, dermatitis, hyperirritability,
333 anorexia, ataxia, anemia, and retarded growth may result from vitamin B₆ deficiency.

334 *Vitamin B₇ (biotin)*

335 Vitamin B₇ functions as a coenzyme in tissue reactions involving the transfer of carbon dioxide from one
336 compound to another (i.e., carboxylation reactions). For example, as a component of the enzymes pyruvate
337 carboxylase and acetyl coenzyme A carboxylase, B₇ is responsible for the conversion of pyruvic acid to
338 oxaloacetic acid (an intermediate in gluconeogenesis and the Krebs cycle). Signs of vitamin B₇ deficiency
339 include anorexia, reduced growth, poor feed efficiency, lesions in the colon, muscle atrophy, and increased
340 mortality. In poultry, biotin deficiency may result in dermatitis of the feet and the skin around the beak
341 and eyes similar to that observed in pantothenic acid deficiency.

342 *Vitamin B₉ (folic acid)*

343 In the form of tetrahydrofolic acid, vitamin B₉ functions as a coenzyme for reactions effecting the transfer of
344 one-carbon units (i.e., formyl, methyl, formate and hydroxymethyl units) from one compound to another.
345 For example, tetrahydrofolic acid is involved in the synthesis of hemoglobin, glycine, methionine, choline,
346 thymine (pyrimidine) and purines, and in the metabolism of the amino acids phenylalanine, tyrosine and
347 histidine. Vitamin B₉ deficiency results in anemia, poor growth, anorexia, and lethargy.

348 *Vitamin B₁₂ (cyanocobalamin)*

349 Vitamin B₁₂ is required for normal red blood cell formation and the maintenance of nerve tissue. It is
350 involved in the synthesis of nucleic acids, the recycling of tetrahydrofolic acid, the maintenance of
351 glutathione activity (carbohydrate metabolism), the conversion of methylmalonyl coenzyme A to succinyl
352 coenzyme A (fat metabolism), and in the methylation of homocysteine to methionine (amino acid
353 metabolism). Deficiency may result in anorexia, reduced growth, and poor feed efficiency. Poor feathering,
354 nervous disorders and reduced egg hatchability are observed in deficient poultry.

355 *Vitamin C (ascorbic acid)*

356 Vitamin C acts as a physiological antioxidant, facilitating hydrogen transport within the animal cell. It is
357 also required for numerous hydroxylation reactions within the body, including the hydroxylation of the
358 amino acids tryptophan, tyrosine, lysine, phenylalanine and proline. Vitamin C plays a vital role in
359 maintaining the integrity of connective tissue, blood vessels, bone tissue and wound tissue, and is required
360 for the conversion of folic acid into its metabolically active form of tetrahydrofolic acid, for the conversion
361 of tryptophan to serotonin, and for the synthesis of steroid hormones by the adrenal cortex. Reduced
362 growth, impaired collagen formation, scoliosis, poor wound repair, and increased mortality rates are
363 commonly observed in the absence of vitamin C.

364 *Vitamin D (cholecalciferol)*

365 Vitamin D₃ plays an essential role in calcium and phosphorus metabolisms and is necessary for proper
366 bone growth and ossification in animals. In particular, cholecalciferol is required for the absorption of
367 calcium and phosphorus from the gastro-intestinal tract and for the calcification of growing bone tissue (i.e.,
368 deposition in the bone matrix). Stiff joints, irritability, anorexia, convulsions, brittle bones, decreased
369 appetite, digestive problems, labored breathing, and weakness are deficiency signs in livestock. Laying
370 hens fed a vitamin D-deficient diet show loss of egg production within two to three weeks, and
371 deteriorated shell quality is observed in severe cases.

372 *Vitamin E (tocopherol)*

373 Vitamin E acts as a fat-soluble extracellular and intracellular antioxidant that prevents the formation of
374 peroxides that can damage tissues within the animal body. In particular, tocopherols protect the highly
375 unsaturated fatty acids present in cellular and subcellular membranes, and other reactive compounds (i.e.,
376 vitamins A and C) from oxidative damage by acting as free radical traps. It has also been suggested that
377 tocopherols play an important role in cellular respiration and in the biosynthesis of DNA and coenzyme Q.
378 Its function is related to that of selenium, which detoxifies peroxides once they are formed. Reduced
379 growth, bulging eye-balls, anemia, damage/degeneration of muscle, and increased mortality may be
380 observed in the absence of vitamin E.

381 *Vitamin K (phylloquinone)*

382 Vitamin K is required for the maintenance of normal blood coagulation by facilitating the production
383 and/or release of various plasma proteins required for blood coagulation, including; prothrombin,
384 proconvertin, plasma thromboplastin, and the Stuart-Prower factor. It has been suggested that vitamin K
385 may play a role in electron transport and oxidative phosphorylation reactions. Impairment of blood
386 coagulation and prolonged blood clotting time are the major clinical signs of vitamin K deficiency.

387 *Inositol*

388 Inositol is an important structural component of skeletal, heart and brain tissue when in the form of *myo*-
389 inositol. Although the physiological role of *myo*-inositol is still unclear, it is believed to play an important
390 role in the growth of liver and bone marrow cells, liver lipid (cholesterol) transport, and in the synthesis of
391 RNA. No coenzyme function has so far been ascribed to *myo*-inositol. Reduced growth, distended
392 abdomen, increased gastric emptying timeskin and fin lesions/hemorrhage have been observed in
393 salmonids having inositol deficiency.

394 *Choline*

395 Choline is an essential component of phospholipids and acetylcholine, and as such plays a vital role in the
396 maintenance of cell structure and the transmission of nerve impulses respectively. Choline also acts as a
397 methyl donor in certain methylation reactions (i.e., synthesis of methionine) and in the form of the
398 phospholipid lecithin plays an important role in the transport of lipid within the body. No coenzyme
399 functions have so far been ascribed to choline. A deficiency in choline may result in reduced growth, fatty
400 liver, poor feed efficiency, hemorrhagic kidney and intestine, and mortality.

401 *Data Sources:* FAO, 1987; Bermudez & El-Begearmi, 2012; Gadberry, undated; Parish & Rhinehart,
402 2008; Cromwell, 2011; Adams, 2010; Stewart, 2013

403 **Combinations of the Substance:**

404 In organic and conventional livestock production, vitamins are combined in feed rations of grains, beans,
405 oilseeds, and other meals with minerals, amino acids, and vitamins (Pond et al., 1995). Depending on the
406 raw nutrients available to the animal, individual vitamins or a premix of multiple vitamins may be added
407 to feed rations (Sewell, 1993). Antibiotics are routinely added to grain feed as a growth stimulant in
408 conventional livestock production; however, this practice is not permitted under the USDA organic
409 regulations (Board on Agriculture, 1999).

410 Human vitamin tablets and supplements usually contain additives that aid in the manufacturing process or
411 alter how the pill is accepted by the body. These additives include fillers that impart proper bulk to the
412 vitamin pill, such as microcrystalline cellulose, lactose, calcium or maltodextrin; lubricants, such as
413 magnesium stearate or stearic acid; flow agents, such as silicon dioxide; disintegration agents, such as
414 cellulose gum or starch; cellulose or carnauba wax coatings; and coloring and flavoring agents. In addition,
415 multivitamins may contain various herbs and essential minerals (Woodward, undated). It should be
416 emphasized that not all of these additives are allowed in organic handling (7 CFR 205.605–205.606).

417

418

419	Status
420	
421	<u>Historic Use:</u>
422	The existence and importance of vitamins, a group of compounds considered essential to life, in various
423	natural food products became understood toward the beginning of the 20 th century. Vitamin A was
424	discovered between 1912-1914, and the first synthesis of vitamin A was developed in 1947. Vitamin B ₂ was
425	discovered in 1926, while other B vitamins niacin, folic acid, and vitamin B ₆ were discovered in the mid-
426	1930s. In 1747, naval surgeon James Lindin observed the importance of a nutrient contained within citrus
427	fruits in preventing scurvy; Vitamin C was rediscovered in 1912 and was the first vitamin to be artificially
428	synthesized in 1935. The causal relationship between vitamin D deficiency and incidence of rickets led to
429	the discovery of vitamin D in 1922. In the same year, vitamin E was found as a component nutrient in
430	green leafy vegetables (Obikoya, 2010). The addition of nutrients to specific foods can be an effective way
431	of maintaining and improving the quality of the food supply, and a number of food products are fortified
432	with vitamin compounds. As examples, dairy products are often fortified with vitamin D, while breakfast
433	cereals and other grain products are commonly fortified with B vitamins.
434	<u>Organic Foods Production Act, USDA Final Rule:</u>
435	Vitamins are included in Section 2118 of the Organic Foods Production Act of 1990 (OFPA). Specifically,
436	the OFPA states that the National List may allow the use of substances that would otherwise be prohibited
437	under organic regulations (i.e., synthetics) if the substance contains an active ingredient in the following
438	categories: “copper and sulfur compounds; toxins derived from bacteria; pheromones, soaps, horticultural
439	oils, fish, emulsions, treated seed, <u>vitamins</u> and minerals; livestock parasiticides and medicines and
440	production aids including netting, tree wraps and seals, insect traps, sticky barriers, row covers and
441	equipment cleansers” (OFPA 2118(c)(B)(i)).
442	The NOP final rule currently allows the use of vitamins in the organic production livestock (e.g., cattle,
443	sheep, swine and poultry) under 7 CFR 205.603(d)(3) according to the nutritional requirements of livestock
444	feed (7 CFR 205.237). In crop production, vitamins B ₁ , C and E are allowed as plant or soil amendments (7
445	CFR 205.601(j)(8)) and vitamin D ₃ may be used as a rodenticide (7 CFR 205.601(g)). Synthetic sources of
446	vitamins are also allowed in processed products labeled as “organic” or “made with organic (specified
447	ingredients of food group(s))” and intended for human consumption (7 CFR 205.605(b)).
448	<u>International</u>
449	Several international organizations have provided guidance on the fortification of feed for organic livestock
450	production with synthetically produced vitamins. Among these are regulatory agencies (Canada, Japan
451	and the EU) and independent standards organizations (Codex and IFOAM). International organic
452	regulations and standards concerning vitamins are described in the following subsections.
453	<i>Canadian General Standards Board</i>
454	According to the Canadian General Standards Board General Principles and Management Standards
455	(CAN/CGSB-32.310-2006), organic operators may not use “feed and feed additives, including amino acids
456	and feed supplements that contain substances not in accordance with CAN/CGSB-32.311, Organic
457	Production Systems - Permitted Substances Lists” (CAN, 2011a). Vitamins are included in the definition of
458	feed additives and therefore subject to regulation. From the Permitted Substances List (CAN/CGSB-32.311-
459	2006), vitamins may be used for enrichment or fortification of livestock feed, and synthetic vitamins may be
460	used if non-synthetic sources are not commercially available (CAN, 2011b). Under no circumstances should
461	vitamins be used to stimulate growth or production (CAN, 2011b). The Canadian Organic Aquaculture
462	Standard, a non-binding and unregulated version of the official government standards for organic
463	agriculture, considers vitamins used in aquaculture the same as those used in livestock (CAN, 2012).
464	<i>Codex Alimentarius Commission</i>
465	The Codex Guidelines for the Production, Processing, Labeling and Marketing of Organically Produced
466	Foods (CAC GL 32-1999) provides criteria for feedstuffs and nutritional elements. Specifically, the section
467	of these guidelines pertaining to livestock production states that “feedstuffs of mineral origin, trace

468 minerals, vitamins, or provitamins can only be used if they are of natural origin. In case of shortage of these
469 substances, or in exceptional circumstances, chemically well-defined analogic substances may be used”
470 (Codex, 2013).

471 *European Union*

472 The European Economic Community (EEC) Council Regulations, EC No. 834/2007 and 889/2008, state that
473 “feed of mineral origin, trace elements, vitamins or provitamins shall be of natural origin. In case these
474 substances are unavailable, chemically well-defined analogic substances may be authorized for use in
475 organic production.” Specifically, vitamins are allowed nutritional additives for use in animal production
476 under the following conditions:

- 477 (1) Vitamins derived from raw materials occurring naturally in feedstuffs;
478 (2) Synthetic vitamins identical to natural vitamins for monogastric animals and aquatic animals;
479 (3) Synthetic vitamins A, D, and E identical to natural vitamins for ruminants with prior authorization
480 of the Member States based on the assessment of the possibility for organic ruminants to obtain the
481 necessary quantities of the said vitamins through their feed rations.

482 EEC Council Regulation EC No. 710/2009 specified the addition of “aquatic animals” to criteria number
483 two for describing the use of synthetic vitamin sources.

484 *United Kingdom Soil Association*

485 Nature identical synthetic vitamins may be used in the production of non-herbivores without permission,
486 while producers of herbivores must seek approval to use nature identical synthetic vitamins A, D and E.
487 Regarding the latter group, the operator must demonstrate nutritional deficiency of the animals’ feed. Soil
488 Association standards do not permit the use of concentrated vitamins and minerals to encourage early
489 maturity or high levels of production (Soil Association, 2014).

490 *Japan Ministry of Agriculture, Forestry, and Fisheries*

491 The Japan Ministry of Agriculture, Forestry, and Fisheries Standard for Organic Feed do not specify the
492 allowed or prohibited status of vitamins in organic livestock feed materials. However, the standard permits
493 natural feed additives:

494 *Feed additives (except for those produced by using antibiotic and recombinant DNA technology), which are*
495 *natural substances or those derived from natural substances without being chemically treated. In case of a*
496 *difficulty to obtain feed additives listed in 8, the use of similar agents to the described food additives are*
497 *permitted only for supplementing nutrition and effective components in feeds.*

498 This statement suggests that synthetic vitamins may be allowed if naturally derived substitutes are not
499 available (JMAFF, 2012).

500 *International Federation of Organic Agricultural Movements*

501 Within their norms, the International Federation of Organic Agricultural Movements (IFOAM) allows
502 vitamins, trace elements and supplements from natural sources in animal feed. An exception to this rule
503 states that “synthetic vitamins, minerals and supplements may be used when natural sources are not
504 available in sufficient quantity and quality” (IFOAM, 2014).

505 **Evaluation Questions for Substances to be used in Organic Crop or Livestock Production**

506
507 **Evaluation Question #1: Indicate which category in OFPA that the substance falls under: (A) Does the**
508 **substance contain an active ingredient in any of the following categories: copper and sulfur**
509 **compounds, toxins derived from bacteria; pheromones, soaps, horticultural oils, fish emulsions, treated**
510 **seed, vitamins and minerals; livestock parasiticides and medicines and production aids including**
511 **netting, tree wraps and seals, insect traps, sticky barriers, row covers, and equipment cleansers? (B) Is**
512 **the substance a synthetic inert ingredient that is not classified by the EPA as inerts of toxicological**
513 **concern (i.e., EPA List 4 inerts) (7 U.S.C. § 6517(c)(1)(B)(ii))? Is the synthetic substance an inert**
514 **ingredient which is not on EPA List 4, but is exempt from a requirement of a tolerance, per 40 CFR part**
515 **180?**

516 (A) Vitamins currently allowed for use as supplements in organic animal feed fall under the category of
 517 vitamins and minerals; thus, these synthetic substances are eligible for consideration under OFPA.
 518 Vitamins B₁ (thiamine) and B₇ (biotin) are sulfur-containing substances.

519 (B) Since the vitamins under consideration are not used in pesticide formulations, they are not, by
 520 definition, inert ingredients. The previous paragraph provides sufficient information to determine
 521 eligibility of the substance under OFPA; however, the inert status of the substance is briefly described.
 522 Vitamin E and L-ascorbic acid appear on US EPA List 4A, minimal risk inert ingredients. Thiamine
 523 mononitrate, vitamin A, vitamin B complex, vitamin B₁₂ vitamin D3, choline chloride are present on List
 524 4B, minimal risk other ingredients. Biotin, retinol acetate, riboflavin, nicotinic acid, pantothenic acid,
 525 vitamin E acetate appear on List 3, inert ingredients of unknown toxicity. Synthetic vitamin K (menadione sodium
 526 bisulfite) is not considered to be an inert ingredient, as defined under 7 CFR 205.2 because it is not included
 527 in EPA-regulated pesticide products.

528 **Evaluation Question #2: Describe the most prevalent processes used to manufacture or formulate the**
 529 **petitioned substance. Further, describe any chemical change that may occur during manufacture or**
 530 **formulation of the petitioned substance when this substance is extracted from naturally occurring plant,**
 531 **animal, or mineral sources (7 U.S.C. § 6502 (21)).**

532 Individual vitamin compounds are produced on an industrial scale by chemical synthesis or partial
 533 synthesis, fermentation and/or by extraction from natural material sources. Selection of the manufacturing
 534 processes typically depends on available technology, cost of raw materials/chemical feedstocks, market
 535 prices and size, cost of implementing fermentation versus chemical processes (synthesis or extraction) and,
 536 to a lesser extent, the overall environmental impact of the production method.

537 There is a high degree of structural diversity among individual vitamin compounds; as such, a large
 538 number of chemical reactions may be applied to the synthesis of vitamins. Chemical synthesis is
 539 advantageous for the commercial production of vitamins as it can be carried out in a continuous manner on
 540 an industrial scale. However, chemical synthetic processes can become increasingly complex when specific
 541 stereoisomers (i.e., enantiomers, diastereomers, etc.) of a given vitamin must be selectively generated in the
 542 reaction sequence or isolated from a mixture of stereoisomers. For example, the chemical synthesis of *myo*-
 543 inositol, an essential nutrient for many aquatic organisms, suffers from the difficulty of isolating it free of
 544 the other eight stereoisomeric forms (Henry, 1996).

545 While chemical synthesis remains the dominant industrial production method for many vitamins, an
 546 increasing number of fermentation processes are being developed for vitamin production (Festel, 2005).
 547 Fermentation is an enzymatic process whereby microorganisms convert natural carbon-based nutrients
 548 (e.g., glucose, molasses, etc.) to desired compounds. Many recently developed fermentation methods for
 549 manufacturing vitamins utilize genetically engineered microorganisms, generating concerns over the use
 550 of these vitamin sources in organic food production (Roseboro, 2008). Proponents of fermentative processes
 551 cite production cost savings, reduction in waste and energy requirements, and the use of renewable
 552 resources (e.g., sugar or plant oil) (Stahmann, 2000).

553 Extraction from natural sources is widely considered inefficient and low yielding, making this the least
 554 utilized method of vitamin production for use in animal feeds and human supplements (Survase, 2006). An
 555 extraction method is described below in the context of vitamin E (tocopherol) extraction from various
 556 vegetable oils.

557 The following subsections summarize common manufacturing methods used for vitamins. Processes
 558 reviewed in this section are provided as examples, and should not be considered the sole manufacturing
 559 procedures used for vitamin compounds. A breakdown of the commonly used production technologies for
 560 a subset of vitamin compounds is presented below in Table 3.

561 **Table 3. Technologies Used in the Production of Vitamins**

Vitamin	Commercial Methods	Other Methods
Vitamin A	Chemical synthesis	Fermentation, Extraction
Vitamin B ₁	Chemical synthesis	Fermentation

Vitamin	Commercial Methods	Other Methods
Vitamin B ₂	Fermentation, Chemical synthesis	N/A
Vitamin B ₆	Chemical synthesis	Fermentation
Vitamin B ₁₂	Fermentation	N/A
Vitamin C	Chemical synthesis	Fermentation
Vitamin D ₃	Chemical synthesis	Extraction
Vitamin E	Extraction, Chemical Synthesis	N/A
Vitamin K	Chemical synthesis	Extraction
Biotin	Chemical synthesis	Fermentation
Folic acid	Chemical synthesis	Fermentation
Niacin	Chemical synthesis	N/A
Pantothenic acid	Chemical synthesis	Fermentation

562 Source: Festel, 2005

563 Vitamin A

564 Vitamin A is produced via a step-wise synthetic procedure. A representative synthetic method involves the
 565 reaction of geranial and acetone in the presence of sodium ethoxide and ethanol (i.e., Claisen-Schmidt
 566 reaction). The reaction initially forms pseudolonone, which is subsequently transformed to ionone in the
 567 presence of boron trifluoride/acetic acid (Solomons, 2000). Two sequential Wittig reactions complete the
 568 commercial synthesis of vitamin A acetate (Pommer, 1977). Hoffmann-La Roche employed a related
 569 synthetic method for the industrial production of vitamin A (McMurry, 2011).

570 A 2010 patent was filed for a vitamin A production process using biofermentation with algae or yeast that
 571 are genetically modified to enhance the production of geranylgeraniol and farnesol, potential starting
 572 materials in the syntheses of vitamins A and E (Maurina-Brunker, 2010).

573 Vitamin B₁

574 Commercial production involves a six-step synthetic procedure (Williams, 1936). Beginning with ethyl 3-
 575 ethoxypropionate as the feedstock for vitamin B₁ production, the synthetic reactions include (1)
 576 formylation using ethyl formate, (2) reaction with acetamide hydrochloride leading to aminopyrimidine
 577 ring formation, (3) replacement of aminopyrimidine hydroxyl group with a chlorine atom (chlorination)
 578 using phosphorus(V) oxychloride, (4) replacement of the labile chlorine atom with an amino group using
 579 alcoholic ammonia, (5) ammonium salt formation using hydrobromic acid, (6) introduction of the thiazole
 580 ring using 4-methyl 5-hydroxyethyl thiazole.

581 A search of the patent literature revealed two methods for vitamin B₁ (thiamine) production by
 582 fermentative methods. The first patent describes the development of mutants of the genus *Saccharomyces*
 583 *Meyen emend Reess* (yeast) for synthesizing vitamin B₁ from sugars and inorganic salts (Silhankova, 1980). A
 584 more recent invention provides a method for producing thiamine products using a microorganism of the
 585 genus *Bacillus* containing a mutation (i.e., gene deletions or other mutations) that causes it to overproduce
 586 and release thiamin products into the medium (Goese, 2012).

587 Vitamin B₂

588 As of 2000, chemical production still accounted for a major component of industrial riboflavin synthesis. D-
 589 ribose is the chemical feedstock for this method. Reaction of D-ribose with 3,4-xylidine in methanol begins
 590 the synthesis, followed by hydrogenation of the intermediate riboside to give *N*-(3,4-dimethylphenyl)-D-1'-
 591 ribamine. Subsequent coupling with a phenyl diazonium halogenide provides an azo compound, which is
 592 used in a cyclocondensation with barbituric acid to give riboflavin. The final step eliminates aniline, and
 593 trace amounts of aniline are commonly found in chemically synthesized riboflavin products (Stahmann,
 594 2000).

595 Microbial processes are currently replacing chemical riboflavin production methods in industry. Naturally
 596 occurring overproducers of riboflavin include hemiascomycetes *Ashbya gossypii* (fungus) and *Candida*

597 *famata* (yeast). In addition, the Gram-positive bacterium *Bacillus subtilis* overproduces riboflavin upon
598 deregulation of purine synthesis and mutation in flavokinase/FAD-synthase (Stahmann, 2000). Patents
599 describing the use of genetically engineered bacteria, which overexpress the genes of enzymes involved in
600 vitamin B₂ biosynthesis, have been known since the late 1990s. A more recent patent developed a mutant of
601 *Bacillus subtilis* bearing proline analogue resistance, which resulted in decreased susceptibility of the
602 organisms to osmotic dehydration and increased vitamin B₂ production (Lee, 2006).

603 Vitamin B₃

604 Chemical synthesis remains the primary means of producing vitamin B₃. One method for the generation of
605 nicotinic acid involves the oxidation of 3-methylpyridine using nitric acid in air as the oxidizing agent
606 (Friedrich, 1988). Alternatively, the electrochemical oxidation of pi-deficient N-heterocyclic precursor
607 compounds was described as a facile method for the synthesis of niacin in the patent literature; specifically,
608 the electro-oxidative synthesis of niacin from 3-methylpyridine (Toomey, 1993).

609 Vitamin B₅

610 Calcium pantothenate is the form of vitamin B₅ commonly employed in vitamin supplements and the
611 fortification of food products. The conventional synthesis of calcium pantothenate involves three sequential
612 chemical operations. Reaction of isobutyraldehyde with formaldehyde and cyanide initially yields racemic
613 pantoyl lactone. The racemic mixture is then subjected to optical resolution using quinine, quinidine,
614 cinchonidine, and/or brucine, providing enantiomerically-enriched D-(-)-pantoyl lactone. Condensation of
615 D-(-)-pantoyl lactone with β -alanine, followed by isolation as the calcium salt affords calcium pantothenate
616 (Vandamme, 1989).

617 Methods for the fermentative production of vitamin B₅ using genetically modified microorganisms have
618 also been developed. A recent invention utilized *Bacillus subtilis* mutants wherein the gene encoding PanB
619 had been modified to increase production of pantothenic acid (Perkins, 2010). An earlier example
620 developed a process for the fermentative preparation of D-pantothenic acid and its salts (including the
621 commonly used calcium salt) by fermentation of microorganisms from the *Enterobacteriaceae* family having
622 modified glyA genes (Hermann, 2005).

623 Vitamin B₆

624 The chemical synthesis of vitamin B₆ begins with reaction of ethoxyacetylacetone and cyanoacetamide in
625 the presence of ethanol and a catalytic amount of piperidine. Treatment of the resulting pyridone with
626 nitric acid in acetic anhydride introduces a nitrogroup, and subsequent reaction with phosphorus
627 pentachloride in chlorobenzene aromatizes the cyclic system via replacement of the ring carbonyl group
628 with a chlorine atom. The nitro and cyano groups are reduced using hydrogen gas over platinum and
629 hydrogen gas over platinum in the presence of palladium charcoal, respectively. Treatment with
630 hydrochloric acid generates the ammonium chloride, and subsequent reaction of the ammonium
631 compound with sulfuric acid and sodium nitrite converts both ammonium chlorides to hydroxyl groups.
632 Reaction of the resulting dihydroxylated pyridine derivative with hydrobromic acid generates the
633 pyridinium bromide, which is converted to the corresponding pyridinium chloride following treatment
634 with an aqueous mixture of silver chloride (Harris, 1939).

635 As discussed in the patent literature, recombinant microorganisms of the genus *Escherichia* have also been
636 developed for the fermentative production of vitamin B₆. Specifically, these microorganisms carry cloned
637 genes for over-expression of the enzymes involved in the vitamin B₆ biosynthetic pathway. The forms of
638 vitamin B₆ generated using this method include pyridoxol, pyridoxal, and pyridoxamine (Hoshino, 2007).

639 Vitamin B₇

640 Current industrial production methods for vitamin B₇ are based on the original total synthesis of Goldberg
641 and Sternbach of Hoffmann-La Roche Inc. The synthesis begins with fumaric acid as the starting material
642 and involves 15 linear synthetic steps. In short, vicinal bromination of fumaric acid followed by
643 diamination with benzylamine, and subsequent treatment with oxalyl chloride provides a dibenzyl
644 imidazolidinone. Reaction of this species with acetic anhydride forms a *meso*-anhydride, which then
645 undergoes acetylation in the presence of zinc, acetic anhydride, and acetic acid. Incorporation of sulfur to
646 give a thiolactone is accomplished through reactions of the core structure with dihydrogen sulfide,

647 potassium hydrosulfide, and zinc/acetic acid. The alkyl chain adjacent to sulfur is inserted using an
648 appropriate Grignard reagent followed by reduction with hydrogen over palladium. Reaction with
649 hydrobromic acid results in cyclization to form a zwitterionic compound. Resolution with silver *d*-
650 camphorsulfonate followed by fractional crystallization leads to enrichment of the desired stereoisomer.
651 Treatment with sodium diethyl malonate followed by hydrobromic acid affords the final product, biotin
652 (Shioiri, 2010).

653 The chemical synthetic production of biotin is both costly and low yielding. Since only one optical isomer
654 of biotin is biologically active, the above and related chemical synthetic methods must separate active and
655 inactive isomers (i.e., resolve stereoisomers) or prepare intermediates that yield only the active isomer.
656 Microbial fermentation methods have been developed to address this issue, as microbes produce only the
657 biologically active isomer of biotin (Cheung, 1994). As an example, a microorganism of the genus *Kurthia*
658 (bacteria) was developed with resistance to biotin antimetabolites (i.e., acidomycin, amiclennomycin,
659 bisnorbiotinol, etc.) and capability of producing d-biotin under aerobic conditions (Hoshino, 2002).

660 *Inositol*

661 Structurally, inositol is a sixfold alcohol (polyol) of cyclohexane with formula C₆H₁₂O₆. Of its nine possible
662 stereoisomers, *cis*-1,2,3,5-*trans*-4,6-cyclohexanehexol or *myo*-inositol is the most abundant form in nature.
663 While *myo*-inositol can be chemically synthesized, its purification from the other stereoisomeric forms
664 renders this method too expensive. Rather, industrial production of *myo*-inositol is accomplished through
665 hydrolysis of phytic acid, or IP₆, derived from plant sources. Some disadvantages to this method include
666 the intensive energy requirement and its production of acidic byproducts that are environmental pollutants
667 (Henry, 1996). For additional details regarding the synthetic procedure, please see the recent technical
668 evaluation report for the use of inositol in organic handling/processing (USDA, 2012a).

669 Fermentative methods for the production of inositol have also been disclosed. The dephosphorylated (i.e.,
670 desired) form of inositol has been recovered from cultures of *Saccharomyces cerevisiae* (yeast) containing a
671 functional stable recombinant DNA sequence that disallows the encoding of a negative regulator of
672 phospholipid biosynthesis and bears multiple copies of an INO1 gene (Henry, 1996). The claims for this
673 method cite reduced energy costs and cleaner inositol production lacking the generation of environmental
674 pollutants. Although various fermentation methods are known, it is unlikely that a commercial-scale
675 process for inositol recovery from yeast cultures has been developed (Makoto Shirai, 1997).

676 *Vitamin B₉ Folic acid*

677 Researchers from the American Cyanamid Company reported the first industrial synthesis of folic acid, a
678 form of vitamin B₉ in 1948. This method of manufacturing vitamin B₉ utilized only halogen free
679 compounds, and began with the reaction of p-aminobenzoyl-L-glutamic acid diethyl ester with 2-
680 hydroxymalondialdehyde yielding p-(2,3-dihydroxy-2-ene-propylideneamino)-benzoic acid diethyl ester.
681 This intermediate was then reacted with triaminopyrimidinone (Angier, 1948). A variation of this method
682 involves the condensation of 2,4,5-triamino-6-hydroxypyrimidine, 1,1,3-trichloroacetone and p-
683 aminobenzoylglutamic acid in a sodium nitrite/sodium acetate solution to give PteGlu, the crude product
684 of folic acid (Miyata, 2001).

685 More recent developments in the patent literature include improved chemical synthetic processes and
686 fermentative methods of producing folic acid in high purity and yield. Specifically, the former invention
687 presents a novel synthetic strategy for producing folic acid, which utilizes diimine compounds as
688 intermediates (Wehrli, 1995). The latter invention describes the incubation of yeast or bacterial strains
689 having the ability to overproduce folic acid in the culture medium. For this method, yeast strains include
690 *Candida famata*, *Candida fuilliermondii*, *Torulopsis petrophilum*, *Pichia glucozyma*, *Torulopsis glabrata* or
691 *Saccharomyces cerevisiae*, and bacterial strains belong to the genus *Bacillus* (Miyata, 2001).

692 *Choline*

693 Chemical synthesis is the method of choice for generating choline derivatives. Industrial production of
694 choline chloride is straightforward, involving the chemical reaction of ethylene oxide, trimethylamine, and
695 hydrochloric acid (Choline Chloride, 2012). Recently developed methods include a patented process for
696 generating a variety of choline salts from inexpensive, impure, halogen-free sources of choline (Lustig,

697 2012). For variations of this synthetic procedure, please see the recent technical evaluation report for use of
698 choline in organic handling/processing (USDA, 2012b).

699 *Vitamin C*

700 Hoffmann-La Roche company synthesizes vitamin C from glucose through a five-step route. Glucose is
701 first reduced to sorbitol using hydrogen and a transition metal catalyst. The microorganism *Acetobacter*
702 *suboxydans* is then employed to oxidize sorbitol since no chemical oxidant is selective enough to oxidize
703 only one of the six hydroxyl groups in sorbitol. Subsequent treatment with acetone and an acid catalyst
704 converts four of the other hydroxyl groups into acetal linkages; the remaining hydroxyl group is
705 chemically oxidized to the corresponding carboxylic acid through reaction with aqueous sodium
706 hypochlorite (bleach). Hydrolysis with acid removes the two acetal groups and leads to an internal
707 esterification yielding vitamin C (McMurry, 2011).

708 More recently developed synthetic strategies for producing vitamin C have also been described in the
709 patent literature. One example involves the esterification of 2-keto-L-gulonic acid with a subsequent
710 lactonization step and crystallization to form vitamin C (Fur, 1995). A related invention utilizes a similar
711 synthetic process wherein L-ascorbic acid is produced in high yield through conversion of an aqueous
712 solution of 2-keto-L-gulonic acid in the presence of an acid catalyst (Arumugam, 2003).

713 Recently a breakthrough fermentative method of vitamin C synthesis was disclosed, effectively
714 transforming a 3-5 step chemical synthesis into a one-pot process (Festel, 2005). The patent literature also
715 reveals a number of fermentative methods utilizing genetically modified microorganism for the
716 overproduction of vitamin C (Beuzelin-Ollivier, 2012; Berry, 2001). The available information suggests that
717 many vitamin C producing industries will ultimately shift toward fermentative methods using genetically
718 modified microorganisms due to the increasing global demand for vitamin C and cost saving potential of
719 these developing technologies (Festel, 2005).

720 *Vitamin D*

721 The commercial manufacturing process of vitamin D₃ mimics the biosynthesis of the cholecalciferol in
722 animals. Cholesterol extracted from the lanolin of sheep wool is commonly used as the chemical feedstock
723 (Norman, 2011). In the Windaus oxidation procedure, 3-hydroxy protected cholesterol is oxidized to form
724 the 7-keto cholesteryl acetate. This intermediate is then reduced to the 7-hydroxycholesterol with
725 aluminum isopropylate in isopropyl alcohol. The 3,7-dihydroxycholesterol is benzoylated followed by
726 dehydration of the 3,5-dibenzoate at elevated temperatures to furnish 7-dehydrocholesterol benzoate.
727 Crystalline 7-dehydrocholesterol is then dissolved in organic solvent and irradiated with UV light to
728 generate cholecalciferol (Feldman, 2011). Following further purification and crystallization, cholecalciferol
729 can be formulated for use in dairy milk and animal feed supplements (Norman, 2011).

730 *Vitamin E*

731 Synthetic vitamin E (α -tocopherol) is not identical to the form that occurs in nature; rather, it is a mixture of
732 eight stereoisomers collectively known as all-rac-alpha-tocopherol, consisting of four 2R- and four 2S-
733 isomers (Survase, 2006). Alternatively, a natural mixture of tocopherols can be extracted from vegetable oil
734 sources (Vandamme, 1992). Extraction of tocopherols from vegetable oils typically involves a series of
735 neutralization and separation stages following contact of the tocopherol containing substance with a
736 caustic aqueous methanol solution and various aliphatic hydrocarbon solvents (Swanson, 1991).

737 Genetically modified organisms are potentially used in the production of vitamin E. Members of the
738 organic community have voiced concerns over the use of vitamin E containing oils originating from
739 genetically modified crop materials, particularly soybeans (Roseboro, 2008). In addition, a 2010 patent was
740 filed for a vitamin E production process using biofermentation with algae or yeast that are genetically
741 modified to enhance the production of farnesol and geranylgeraniol, potential starting materials in the
742 syntheses of vitamins E and A (Maurina-Brunker, 2010).

743 *Vitamin K*

744 Both natural (i.e., vitamin K₁ and K₂) and synthetic (vitamin K₃, etc.) versions of vitamin K may be used as
745 supplements in animal feeds. Oxidation of the requisite naphthalene derivative to a 1,4-naphthoquinone is

746 likely the first step in commercial synthesis of natural K₁ and K₂ as well as K₃, an inexpensive form of
747 vitamin K commonly used in the supplementation of pet food and livestock feeds (Braude, 1953).
748 Subsequent alkylation of the 1,4-naphthoquinone leads to generation of the biologically active vitamin K
749 derivative (Büchi, 1987).

750 Vitamin B₁₂

751 Microorganism fermentation is the exclusive commercial method of synthesizing vitamin B₁₂. Species of
752 *Pseudomonas* or *Propionibacterium* have been used for both complete and partial anaerobiosis. However, the
753 primary industrial organisms are *Pseudomonas denitrificans* and *Propionibacterium shermanii*. Genetic
754 modification of *P. denitrificans* increased production of vitamin B₁₂ by approximately 100% (El-Mansi, 2007).

755 **Evaluation Question #3: Discuss whether the petitioned substance is formulated or manufactured by a**
756 **chemical process, or created by naturally occurring biological processes (7 U.S.C. § 6502 (21)).**

757 According to USDA organic regulations, the NOP defines synthetic as “a substance that is formulated or
758 manufactured by a chemical process or by a process that chemically changes a substance extracted from
759 naturally occurring plant, animal, or mineral sources” (7 CFR 205.2). The following vitamin supplements
760 are likely derived synthetically or through a combination of chemical synthetic and fermentation methods:
761 Vitamins A, B₁, B₃, B₅, B₆, B₇, B₉, C, D, E, K, choline and inositol. Vitamin E (tocopherols) is typically
762 extracted from natural materials (e.g., vegetable oils) using aliphatic hydrocarbon solvents and acid-base
763 extraction methods. In contrast, commercial production of vitamin B₂ (riboflavin) and B₁₂ (cobalamin) is
764 performed exclusively using biological fermentation. Vitamins produced through biological fermentation
765 may be considered non-synthetic or synthetic, depending on the feedstocks, fermentation organisms used,
766 and processing aids used. Alternatively, chemical synthesis and extraction techniques are typically
767 considered chemical processes due to the application of synthetic chemical reagents in these methods. In
768 the case of chemical synthesis, the chemical structures of natural feedstock chemicals are necessarily
769 modified in the process of generating the desired vitamin compound.

770 **Evaluation Question #4: Describe the persistence or concentration of the petitioned substance and/or its**
771 **by-products in the environment (7 U.S.C. § 6518 (m) (2)).**

772 In the course of production, use, and disposal, vitamins may possibly be released to soil and water. Water-
773 soluble vitamins, such as vitamin C, are expected to have slight to high mobility if released to soil and
774 therefore may spread to other soil areas and waterways (HSDB, 2005a; 2010a). Water-soluble vitamins are
775 also unlikely to volatilize from moist or dry soils due to their high polarity and low vapor pressures,
776 respectively. If released to the water, most of the water-soluble vitamins are not expected to adsorb to
777 suspended solids and sediment (HSDB, 2010a). Others, such as folic acid, may adsorb to solids and
778 sediments (HSDB, 2005a). For many of these chemical species, the presence of functional groups that
779 hydrolyze means hydrolysis is expected to be an important environmental fate process, while volatilization
780 of these chemicals from water surfaces is less likely. Most water-soluble vitamins have low
781 bioconcentration factors (BCFs) suggesting minimal potential for bioaccumulation in aquatic organisms
782 (HSDB, 2005a; 2010a).

783 Fat-soluble vitamins, such as cholecalciferol, are generally less polar than water-soluble vitamins, making
784 soil mobility unlikely (HSDB, 2006). In a similar sense, it is unlikely that fat-soluble vitamins would
785 volatilize from dry soil based on their relatively low vapor pressures. Fat-soluble vitamins are essentially
786 insoluble in water and will adsorb preferentially to sediments and other suspended solids present in the
787 water column (HSDB, 2006). Most lipid-soluble vitamins lack functional groups that hydrolyze under
788 environmental conditions, making hydrolysis an unlikely environmental breakdown process. Overall, the
789 observed BCFs are low, suggesting that lipid-soluble vitamins do not pose a significant risk of
790 bioaccumulation in aquatic organisms (HSDB, 2006).

791 Erosion of soils contaminated with animal feed and manure will increase the rate at which phosphates,
792 nitrates and other nutrients enter streams, rivers, lakes and coastal regions (Muir, 2012). Ultimately, the
793 persistence of the given vitamin compound may not be of paramount concern when there is a continuous
794 supply of nutrients from the animal feed or other agricultural activities. Laboratory-scale aquaculture

795 studies have suggested that the accumulation of nutrients, including vitamins, in bottom sediments may
796 encourage the growth of algal blooms and red tide species (Wu, 1995).

797 While the effects of vitamins on aquatic environments are not well established, the half-lives of vitamins in
798 oxic (i.e., oxygen rich) environments are believed to be short, and accumulation of vitamins in the
799 environment is highly unlikely (Wu, 1995). Scientific studies revealed a half-life of less than seven days for
800 the breakdown of biotin in seawater versus one to two months in fish farm sediments (Wu, 1995). In
801 addition, the half-life for vitamin C in surface water and at a meter depth exposed to continuous sunlight
802 was reported as 3.5 and nine hours, respectively (HSDB, 2010a). The Henry's Law constant for vitamin D₃
803 points to volatilization half-lives of seven hours and ten days from a model river and model lake,
804 respectively. However, volatilization from water surfaces is attenuated by adsorption to suspended solids
805 and sediment in the water column, giving an estimated volatilization half-life of 85 years for cholecalciferol
806 from a model pond if adsorption is considered (HSDB, 2006). It is unlikely that the latter process (i.e.,
807 volatilization) is chemically significant for the degradation of vitamin compounds. Overall, vitamins
808 should not be considered persistent in marine environments, as these compounds readily decompose
809 under oxic conditions.

810 Literature information regarding the potential for bioconcentration of individual vitamins in aquatic
811 organisms is limited. In general, lipid-soluble vitamins are chemically predisposed to accumulate in an
812 organism's fatty tissues, while water-soluble vitamins are more readily excreted. However, both ascorbic
813 acid and cholecalciferol have bioconcentration factors (BCFs) of three, indicating that the potential for
814 bioconcentration in aquatic organisms is low. A number of vitamins, including cholecalciferol and ascorbic
815 acid, contain chromophores that absorb wavelengths of >290 nm and therefore may be susceptible to
816 degradation in water or soil upon exposure to sunlight (HSDB, 2005; 2006; 2010).

817 **Evaluation Question #5: Describe the toxicity and mode of action of the substance and of its**
818 **breakdown products and any contaminants. Describe the persistence and areas of concentration in the**
819 **environment of the substance and its breakdown products (7 U.S.C. § 6518 (m) (2)).**

820 The potential for toxicity in livestock is generally dependent upon the vitamin's solubility properties and
821 amount consumed. As water-soluble vitamins, thiamine (B₁), riboflavin (B₂), pyridoxine (B₆), pantothenic
822 acid (B₅), nicotinic acid (B₃), biotin (B₇), folic acid (B₉), cobalamin (B₁₂), inositol, choline, and ascorbic acid
823 (C) are rapidly depleted in the absence of regular dietary intake, and appreciable quantities of these
824 vitamins do not build up in the animal body. In contrast, the lipid-soluble vitamins retinol (A),
825 cholecalciferol (D), tocopherols (E), and phylloquinone (K) are readily absorbed from the gastrointestinal
826 tract and stored in the animal's fatty tissues whenever dietary intake exceeds metabolic demands for the
827 vitamin compound. Hypervitaminosis, increasing vitamin storage to the extent that a toxic condition is
828 produced, in cattle and other livestock is therefore most commonly associated with the fat-soluble vitamins
829 A and D (Parish & Rhinehart, 2008; NIH, 2015a; NIH, 2015b).

830 Limited technical information exists regarding the toxicity of vitamins to livestock. Reports of acute or
831 chronic toxicity related to the dietary intake of water-soluble vitamins B vitamin complex, vitamin C,
832 choline, inositol or lipid-soluble vitamins D and E are not readily available. Vitamin A toxicity is rare in
833 practical feeding scenarios for beef cattle. Rumen microorganisms can break down vitamin A, which helps
834 to prevent toxic effects associated with excess vitamin A intake (Parish & Rhinehart, 2008). Symptoms of
835 vitamin D toxicity in cattle and other mammals include calcification of soft tissues, bone demineralization,
836 decreased appetite and weight loss (Parish & Rhinehart, 2008). There is less toxicity risk with vitamin E
837 than with vitamins A and D for livestock fed supplemental sources of vitamins. The National Research
838 Council (NRC) considers the following vitamin concentrations in feed – based on a daily digestive energy
839 intake of 6,450 kcal/day – safe for gestating and lactating swine:

- 840 • Vitamin A: 3,760 – 7,520 IU/day (1.3 – 2.6 mg/day retinyl acetate);
- 841 • Vitamin D: 376 IU/day (9.4 µg/day cholecalciferol);
- 842 • Vitamin E: 83 IU/day (83 mg/day dl-α-tocopherol acetate);
- 843 • Vitamin K (menadione): 1.0 mg/day;
- 844 • Vitamin B₇ (Biotin): 376 mg/day;
- 845 • Choline: 2.35 g/day;

- 846 • Vitamin B₉ (Folacin): 2.44 mg/day;
- 847 • Vitamin B₃ (Niacin): 18.8 mg/day;
- 848 • Vitamin B₅ (Pantothenic acid): 22.6 mg/day;
- 849 • Vitamin B₂ (Riboflavin): 7.1 mg/day;
- 850 • Vitamin B₁ (Thiamine): 1.9 mg/day;
- 851 • Vitamin B₆ (Pyridoxine): 1.9 mg/day;
- 852 • Vitamin B₁₂ (Cobalamin): 28 µg/day.

853 Data Sources: NRC, 2012; Cromwell, 2011; de Lange, 2013

854 Concerns have been noted regarding the use of synthetic vitamin K in livestock products, human
855 supplements, and pet foods. In certain cases, high dietary levels of menadione sodium bisulfite (synthetic
856 vitamin K₃) of 2,4000 mg/kg had no adverse effects on growth, survival, blood coagulation or the number
857 of erythrocytes of young brook trout (*Salvelinus fontinalis*) (DSM, 2011b). In another study, small Atlantic
858 salmon (*Salmo salar*) were fed a ration supplemented with 30 mg/kg menadione sodium bisulfite (K₃) or
859 the molar equivalent of natural phyloquinone (K₁) (Gridale-Helland, 1991). After 28 weeks, the fish that
860 were fed the K₃ ration displayed reduced growth and increased mortality compared with animals fed an
861 equivalent quantity of natural vitamin K₃. Other factors, such as reduced absorption efficiency of K₃ versus
862 K₁, oxidation of K₃, and/or leaching of K₃ from the feed pellet, may also contribute to the reduced
863 performance of fish fed vitamin K₃ instead of natural K₁ (Gridale-Helland, 1991).

864 Synthetic menadione (vitamin K₃) and its derivatives have also been linked to health issues in humans and
865 are considered controversial ingredients in pet foods. Menadione may promote oxidative damage to cell
866 membranes through interfering with the function of glutathione, an important biological antioxidant
867 compound. When injected in infants, menadione has induced liver toxicity, jaundice, and hemolytic
868 anemia (Higdon, 2004). For these reasons, menadione is no longer used to treat vitamin K deficiency, no
869 tolerable upper level (UL) of intake has been established for menadione, and no FDA-approved
870 prescription or over-the-counter drugs containing menadione are currently available (FDA, 2012).

871 Vitamin A, another example of a lipid soluble vitamin, has also generated toxicity concerns. The bulk of the
872 available literature information concerns human toxicity associated with abuse of vitamin A supplements
873 and diets extremely high in preformed vitamin A. For example, it is stated that human consumption of
874 25,000–50,000 IU per day for periods of several months or more may produce a number of adverse effects
875 (Hathcock, 1990). Vitamin A, along with other lipid-soluble vitamins D, E, and K, has the potential for
876 bioaccumulation in fatty tissues. Although the potential bioaccumulation of these vitamins may be of
877 concern to humans consuming farmed animal meats, no health reports to this effect were identified.

878 Finally, vitamin D₃ (cholecalciferol) exhibits toxicity when used in high quantities as a rodenticide (USDA,
879 2009). If ingested in sufficiently high doses, vitamin D₃ can result in hypercalcemia from mobilization of
880 calcium from the bone matrix into blood plasma, which leads to metastatic calcification of soft tissues (U.S.
881 EPA, 2011a). Rodenticides containing vitamin D₃ have been used to control various species of rats, mice,
882 and other rodents. Vitamin D₃ rodenticides have exhibited the potential for acute and chronic toxicity in
883 some non-target organisms, including the federally endangered salt marsh harvest mouse (SMHM,
884 *Reithrodontomys raviventris*). Although possible, it is unlikely that the specific use pattern for vitamin D₃ in
885 organic livestock production would lead to toxic effects in SMHM. Further, the U.S. EPA has indicated no
886 potential for adverse effects to terrestrial invertebrates, terrestrial plants, or aquatic wildlife resulting from
887 vitamin D₃ exposure (U.S. EPA, 2011a; 1984). Toxicological studies in birds have indicated that vitamin D₃
888 is of low toxicity (U.S. EPA, 1984).

889 The potential for the occurrence of residues of synthetic materials (i.e., solvents, reagents) used in the
890 production or extraction of a substance in the final product depends on how rigorously the manufacturer
891 purifies the compound following the synthetic procedure. While most manufacturers utilize quality
892 assurance protocols to ensure purity, concerns regarding the quality and purity of specific vitamin
893 compounds have been noted (Balchem, 2010).

894

895 **Evaluation Question #6: Describe any environmental contamination that could result from the**
896 **petitioned substance's manufacture, use, misuse, or disposal (7 U.S.C. § 6518 (m) (3)).**

897 The potential exists for environmental contamination resulting from the industrial production of several
898 vitamin compounds. In particular, materials safety data sheets for several feedstock chemicals and other
899 chemical reagents used in the synthesis of calcium pantothenate (vitamin B₅) and biotin (vitamin B₇)
900 indicate the potential for ecological damage if accidentally released into the environment.
901 Isobutyraldehyde and cyanide salts used in the synthesis of calcium pantothenate as well as ethylene oxide
902 used for choline chloride generation have shown toxicity toward fish and aquatic invertebrates. Further,
903 hydrogen sulfide, which is used in the synthesis of biotin, is toxic to fish at low doses, and is therefore
904 listed as very toxic to aquatic life. Strong acids (e.g., nitric acid, hydrochloric acid) used in the syntheses of
905 numerous vitamins may alter the pH of aquatic systems if accidentally released to the environment. Strong
906 acids and bases are also utilized in the extraction of tocopherols from vegetable oils, and may lead to
907 environmental impairment if accidentally released or improperly handled. Many of the vitamins
908 synthesized for supplements and feed fortification are derived from petroleum products or genetically
909 modified crop materials. Acetone, for example, is a commonly used chemical reagent derived from
910 petroleum as well as genetically modified corn.

911 Waste streams resulting from the fermentative production of vitamins may also pose risks to the
912 environment. In general, the EPA assumes “no control features for the fermentor offgases, and no
913 inactivation of the fermentation broth for the liquid and solid waste releases,” suggesting that
914 environmental exposure to these waste streams is highly likely (EPA, 1997). However, lacking are specific
915 examples of environmental damage resulting from exposure to recombinant DNA from genetically
916 modified microorganisms used in food and food additive production. Some potential risks to the
917 environment include the transfer of novel genes into crops, poisoned wildlife, the creation of new and
918 more potent viruses, as well as unanticipated health risks (UCS, 2002).

919 There is a slight risk of environmental contamination directly associated with the use of vitamins in organic
920 livestock production. Chemical nutrients, such as vitamins, present in livestock feeds could be introduced
921 to aquatic environments through accidental spills or leaching of nutrients from manure. Some of these
922 organic and inorganic nutrients have a propensity to accumulate in the bottom sediments, which may lead
923 to high sediment oxygen demand, anoxic sediments, production of toxic gases, and a decrease in benthic
924 diversity (Wu, 1995). However, it is unlikely that vitamins are primarily responsible for environmental
925 impairment due to their short half-lives in aquatic systems. Rather, laboratory studies suggest that a
926 continuous supply of vitamins may provide nutritional support to any algal blooms and red tides that
927 develop in eutrophic water bodies (Wu, 1995; NAS, 1969). Once algal proliferation commences, available
928 vitamins may therefore support the growing population. In particular, unicellular photosynthetic algae
929 require nutritional intake of vitamin B₁ (thiamine), B₇ (biotin), and B₁₂ (cobalamin) (NAS, 1969). Therefore,
930 a deficiency of these vitamins, as well as other macro- and micronutrients, can be a limiting growth factor
931 for environmentally beneficial and deleterious algae.

932 Overall, accidental release of small amounts of vitamins into the environment is not assumed to pose any
933 significant risk. Material safety data sheets for many synthetic vitamins, including vitamins C and D,
934 advise that containers holding synthetic vitamins be “suitable” and closed containers for disposal. No
935 further disposal instructions are provided (Sigma Aldrich, 2015; Acros Organics, 2009). However, release of
936 large amounts of vitamins – particularly the combination of these vitamins with nutrients in animal feed
937 and manure – into the environment may result in eco-toxic events, such as the promotion of algal blooms
938 and red tides (Wu, 1995; Muir, 2012).

939 **Evaluation Question #7: Describe any known chemical interactions between the petitioned substance**
940 **and other substances used in organic crop or livestock production or handling. Describe any**
941 **environmental or human health effects from these chemical interactions (7 U.S.C. § 6518 (m) (1)).**

942 No direct chemical interactions between vitamins and other additives used in organic crop or livestock
943 production were identified. In the body, vitamins interact as coenzymes and cofactors in a variety of
944 biological processes including respiration, metabolism, and cellular growth and differentiation. Please see
945 the “action of the substance” section for further details regarding the specific biological functions of the
946 reviewed vitamins.

947 The primary chemical interactions of vitamins occur physiologically once inside the animal's body. Some
948 vitamins are involved in biochemical reactions that generate essential compounds; for example, choline
949 acts as a methyl donor in the biological synthesis of methionine. In other cases vitamins interact with one
950 another to effect important biochemical transformations, such as the cooperative interaction of riboflavin
951 and pyridoxine that is responsible for converting tryptophan to nicotinic acid (FAO, 1987). Alternatively,
952 excesses of one particular vitamin may cause deficiencies in another vitamin or lead to toxic effects. As an
953 example, it has been shown that large doses of vitamin A may interfere with the absorption of vitamin K
954 when taken at excessively high doses (Chandler, 2011). It is presumed that the prescribed vitamin
955 supplementation in terrestrial animal feed will be balanced for optimum health of the particular animal
956 (NRC, 1994; NRC, 2011).

957 Excessive vitamin loadings can also lead to synergistic and/or antagonistic effects for the absorption and
958 bioavailability of minerals and other trace nutrients (Sandström, 2001; Vannucchi, 1991). The role played by
959 vitamin D in calcium and phosphorus metabolism is a prime example of a synergistic interaction between
960 vitamins and minerals (Vannucchi, 1991). Vitamin C acts as a strong promoter of dietary iron absorption
961 while also counteracting the inhibitory effects of dietary phytate and tannins. Long-term vitamin C
962 supplementation may diminish the absorption of copper, thereby countering the beneficial effect on iron
963 absorption. Further, there is evidence that vitamin C affects the bioavailability of selenium both positively
964 and negatively depending on the dietary conditions (Sandström, 2001). The synergistic interaction of
965 vitamin E and selenium as an oxidant defense system has been observed in a number of species
966 (Vannucchi, 1991). Vitamin A may also increase iron absorption, thereby indirectly contributing to an
967 increase in hemoglobin levels (Sandström, 2001). On the other hand, sufficient dietary levels of zinc are
968 necessary for absorption of vitamin A (Smith, 1980).

969 **Evaluation Question #8: Describe any effects of the petitioned substance on biological or chemical**
970 **interactions in the agro-ecosystem, including physiological effects on soil organisms (including the salt**
971 **index and solubility of the soil), crops, and livestock (7 U.S.C. § 6518 (m) (5)).**

972 This technical evaluation report concerns the use of synthetic vitamins in feed for organically raised
973 livestock, such as cattle, sheep, swine and poultry. It is highly likely that small amounts of the
974 supplemental vitamins used in animal feeds would regularly interact with components of the terrestrial
975 agro-ecosystem through animal waste and other releases. Synthetic vitamins are widely used in
976 conventional and organic livestock production with no reported toxicity observed in non-target wildlife or
977 livestock.

978 No studies have been found indicating toxic effects of vitamins on soil-dwelling organisms. Some bacteria,
979 for example, do not require growth factors such as vitamins, while other bacterial strains (e.g., *Lactobacillus*)
980 require vitamins and other nutrients in order to grow (Todar, 2012). Therefore, despite the fact that some
981 water-soluble vitamins have the potential for high soil mobility, vitamins are unlikely to exhibit toxicity
982 toward the agro-ecosystem (HSDB, 2005a; 2010a). Accidental release of chemical reagents during the
983 production process, however, may lead to ecological impairment. Specifically, strong acids and bases are
984 used in the synthetic or extraction process of vitamin compounds. Improper use or disposal of these
985 chemicals during the production of vitamins could affect both the pH and chemical composition of the soil,
986 potentially resulting in physiological effects on soil organisms. Reports of large-scale environmental
987 releases or contamination associated with the industrial production of vitamins were not identified during
988 the review of vitamin supplements used in animal feed.

989 **Evaluation Question #9: Discuss and summarize findings on whether the use of the petitioned**
990 **substance may be harmful to the environment (7 U.S.C. § 6517 (c) (1) (A) (i) and 7 U.S.C. § 6517 (c) (2) (A)**
991 **(i)).**

992 Limited information is available regarding the environmental toxicity of vitamin compounds. Lipid-soluble
993 vitamins are virtually insoluble in water and are most likely to adsorb to suspended solids and sediments
994 (HSDB, 2006). Some water-soluble vitamins, such as vitamin C, are unlikely to adsorb to surfaces, while
995 others, such as folic acid, are more likely to adsorb sediments and suspended solids (HSDB, 2005a; 2010a).
996 It is unlikely that any of the reviewed vitamins would bioaccumulate in aquatic life (HSDB, 2005a; 2006;
997 2010a). Based on their chemical properties, water-soluble vitamins may exhibit some level of soil mobility

998 ranging from low to high (HSDB, 2005a; 2010a). Lipid-soluble vitamins, however, are unlikely to show any
999 soil mobility (HSDB, 2006). Data regarding the biodegradation of vitamins in soil are not available. Water-
1000 soluble vitamins are not expected to volatilize from moist or dry soils, while volatilization of lipid-soluble
1001 vitamins from moist soils may be an important fate process. If released to the ambient atmosphere,
1002 vitamins are expected to remain as particular matter due to their vapor pressures and may be removed
1003 from the air by wet and dry deposition (HSDB, 2005a; 2006; 2010a). Photolysis (i.e., photochemical
1004 degradation) from direct sunlight is likely to occur because most vitamins can absorb light at wavelength
1005 of 290 nm or greater (HSDB, 2005a; 2006; 2010a).

1006 Commercially available forms of supplemental vitamins used in livestock feed pose a slight toxicological
1007 risk to overexposed non-target organisms. Synthetic vitamin K₃ (menadione) may promote oxidative
1008 damage to cell membranes through interfering with the function of glutathione, an important biological
1009 antioxidant compound. When injected in infants, vitamin K₃ has induced liver toxicity, jaundice, and
1010 hemolytic anemia (Higdon, 2004). No FDA-approved prescription or over-the-counter drugs containing
1011 menadione are currently available; only discontinued menadione drug products are listed (FDA, 2012).
1012 Vitamin D₃ (cholecalciferol) is used in a rodenticide, exhibiting toxicity in both target and non-target rodent
1013 species, including the federally endangered salt marsh harvest mouse. However, the U.S. EPA has
1014 indicated no potential for adverse effects to birds, terrestrial invertebrates and plants, or aquatic wildlife
1015 resulting from vitamin D₃ exposure (U.S. EPA, 2011a; 1984).

1016 Aquatic ecosystems are particularly sensitive to the introduction of nutrients from nearby agricultural
1017 operations. Releasing excessive amount of agricultural materials—including phosphate and nitrate
1018 fertilizers, feed materials and manure—to waterways can encourage the growth of algae (algal bloom) and
1019 other aquatic plants and ultimately oxygen depletion in the affected water zone (Wu, 1995; NAS, 1969). The
1020 occurrence of eutrophication due to agricultural activities is generally associated with runoff of phosphate
1021 and nitrate fertilizer from soils rather than the introduction of vitamins in animal feed (NAS, 1969).
1022 Depending on the contamination level, eutrophication can be manifested as occurrences of algal blooms
1023 and red tides, fish kills, and overall loss of biodiversity from the aquatic system (Wu, 1995). Only accidental
1024 spills containing large quantities of vitamins in feed have the potential to encourage eutrophication in
1025 receiving waters. As such, typical use of vitamin premixes for fortification of feed materials in organic
1026 livestock production should not directly contribute to adverse impacts in aquatic ecosystems.

1027 Industrial methodologies used to synthesize vitamin compounds pose potential risks to the environment. If
1028 released, strong acids and bases may affect the pH and chemical composition of soils and aquatic
1029 ecosystems. Likewise, accidental release of toxic organic (e.g., isobutyraldehyde and ethylene oxide) and
1030 inorganic (e.g., cyanide salts and hydrogen sulfide) may present toxicological issues for terrestrial and
1031 aquatic organisms. The ecological risks associated with the chemical production of vitamin compounds are
1032 generally low when manufacturers exercise prudent standard operating procedures.

1033 As with prescription and over-the-counter medications, improper disposal of vitamins and other
1034 supplements may lead to environmental and toxicological issues. Water treatment plants are typically not
1035 equipped to routinely remove these types of organic compounds, and overloads of these substances may
1036 lead to toxic effects (EPA, 2011). Supplemental vitamins are intended for use in livestock production and
1037 therefore should not routinely enter waterways. In the event of large accidental releases, however, human
1038 exposure to these substances could occur.

1039 **Evaluation Question #10: Describe and summarize any reported effects upon human health from use of**
1040 **the petitioned substance (7 U.S.C. § 6517 (c) (1) (A) (i), 7 U.S.C. § 6517 (c) (2) (A) (ii) and 7 U.S.C. § 6518**
1041 **(m) (4)).**

1042 In addition to being essential nutrients, vitamins are generally considered non-toxic and safe for human
1043 consumption at levels typically ingested through the diet and dietary supplements taken according to label
1044 directions. This response provides technical information regarding reported human health effects
1045 associated with direct consumption of vitamins included in this review. Supplementation of animal feeds
1046 with vitamins is unlikely to result in excessive vitamin intake for humans; hence, the agricultural use
1047 pattern for vitamins under review should not adversely impact human health.

1048 *Vitamin A:*

1049 Liver abnormalities are critical adverse effects of vitamin A poisoning for adults. In the case of women of
1050 childbearing age, teratogenicity (potential to cause malformations of an embryo or fetus) becomes the
1051 critical effect. Other adverse effects include nausea, vomiting, headache, increased cerebrospinal fluid
1052 pressure, vertigo, blurred vision, muscular incoordination, bulging, fontanel in infants, nervous system
1053 changes, and bone and skin abnormalities. The tolerable upper intake level (UL, maximum level of daily
1054 nutrient intake that is likely to pose no risk of adverse effects) for preformed vitamin A (i.e., retinol) is 3,000
1055 micrograms per day (Driskell, 2009; Institute of Medicine, 2001).

1056 *Vitamin B₁:*

1057 No adverse effects have been observed relating to the consumption of foods or dietary supplements
1058 containing vitamin B₁ (thiamine). There have been occasional reports of anaphylaxis to parenteral thiamin
1059 as well as pruritus due to allergic sensitivity to thiamine injection. UL for thiamine was not determined due
1060 to lack of data of adverse effects (Driskell, 2009; Institute of Medicine, 1998).

1061 *Vitamin B₂:*

1062 No adverse effects have been observed relating to the consumption of foods or dietary supplements
1063 containing vitamin B₂ (riboflavin). UL for thiamine was not determined due to lack of data of adverse
1064 effects (Driskell, 2009; Institute of Medicine, 1998).

1065 *Vitamin B₃:*

1066 No adverse effects have been observed relating to the consumption of naturally occurring vitamin B₃
1067 (niacin) in foods. One form of vitamin B₃, nicotinic acid, is associated with vasodilation (flushing) and
1068 gastrointestinal effects. Another common form, nicotinamide, does not appear to be associated with these
1069 flushing effects. Hepatic toxicity has been reported in patients medically treated with vitamin B₃. The UL of
1070 vitamin B₃ (35 mg/day) is based on the flushing effects observed with nicotinic acid (Driskell, 2009;
1071 Institute of Medicine, 1998).

1072 *Vitamin B₅:*

1073 No adverse effects have been associated with high intakes of vitamin B₅ (pantothenic acid) from foods or
1074 supplements. UL for thiamine was not determined due to lack of data of adverse effects (Driskell, 2009;
1075 Institute of Medicine, 1998).

1076 *Vitamin B₆:*

1077 The consumption of vitamin B₆ (pyridoxine) from food sources is not associated with adverse health
1078 effects. The critical adverse effect from high supplemental intake is neuropathy, a collection of disorders
1079 that occur when nerves of the peripheral nervous system are damaged. UL for vitamin B₆ is 100 mg/day
1080 (Driskell, 2009; Institute of Medicine, 1998).

1081 *Vitamin B₇:*

1082 No adverse effects have been observed relating to the consumption of foods or dietary supplements
1083 containing vitamin B₇ (biotin). UL for vitamin B₇ was not determined due to lack of data of adverse effects
1084 (Driskell, 2009; Institute of Medicine, 1998).

1085 *Inositol:*

1086 Humans are able to synthesize inositol in the body from glucose. Inositol consumption from the average
1087 diet is about one gram daily, obtained in high quantities from cereals and legumes. Although no acute or
1088 chronic toxic effects are known, diarrhea has been noted with the intake of very high doses of inositol
1089 (Inositol Toxicity, 2010). Rather, inositol deficiency may potentially lead to more severe human health
1090 issues, including eczema, constipation, eye problems, hair loss, and elevated cholesterol levels (Haas, 2006).

1091 *Vitamin B₉:*

1092 No adverse effects have been associated with the consumption of vitamin B₉ (folate) at levels naturally
1093 present in foods or in fortified foods. However, excess vitamin B₉ has been shown to precipitate or

1094 exacerbate neuropathy in vitamin B₁₂-deficient individuals. UL for vitamin B₉ is 1,000 micrograms per day
1095 (Driskell, 2009; Institute of Medicine, 1998).

1096 *Choline:*

1097 The critical adverse effect of excess dietary choline is hypotension (low blood pressure). Incidence of a fishy
1098 body odor as well as nausea and diarrhea are secondarily considered effects. UL for choline is 3.5 g/day
1099 (Driskell, 2009; Institute of Medicine, 1998).

1100 *Vitamin C:*

1101 Excess vitamin C intake is associated with osmotic diarrhea and gastrointestinal disturbances as the
1102 primary adverse effects. Other possible effects include increased oxalate excretion and kidney stone
1103 formation, increased uric excretion, pro-oxidant effects, rebound scurvy, increased iron absorption leading
1104 to iron overload, reduced vitamin B₁₂ and copper levels, increased oxygen demand, and erosion of dental
1105 enamel. UL for vitamin C is 2,000 mg/day (Driskell, 2009; Institute of Medicine, 2000).

1106 *Vitamin D:*

1107 Hypercalcemia is the primary adverse effect for excess vitamin D intake. In addition, anorexia, nausea,
1108 vomiting, increased thirst and urination, metastatic calcification of soft tissues (i.e., kidneys, blood vessels,
1109 heart, and lungs), and renal disorders may develop due to vitamin D poisoning. UL for vitamin D is 50
1110 micrograms or 2,000 IU per day (Driskell, 2009; Institute of Medicine, 1997).

1111 *Vitamin E:*

1112 Adverse effects have not been observed from the consumption of vitamin E naturally occurring in foods.
1113 High intakes of vitamin E from fortified foods, dietary supplements, or pharmacologic agents have
1114 resulting in an increased tendency to hemorrhage as the primary adverse health effect. This anticoagulant
1115 effect can be particularly severe for individuals deficient in vitamin K, including those taking coumarin
1116 drugs. UL for vitamin E in the α -tocopherol form is 1,000 mg/day (Driskell, 2009; Institute of Medicine,
1117 2000).

1118 *Vitamin K:*

1119 Consumption of foods or dietary supplements containing natural forms of vitamin K (vitamin K₁ and K₂) is
1120 not associated with any adverse health effects. Due to the lack of data regarding the adverse health effects,
1121 a UL for vitamin K intake has not been determined (Driskell, 2009; Institute of Medicine, 2001). However,
1122 synthetic vitamin K (vitamin K₃ or menadione) may promote oxidative damage to cell membranes through
1123 interfering with the function of glutathione, an important biological antioxidant compound. When injected
1124 in infants, vitamin K₃ has induced liver toxicity, jaundice, and hemolytic anemia (Higdon, 2004).

1125 *Vitamin B₁₂:*

1126 No adverse effects have been observed relating to the consumption of foods or dietary supplements
1127 containing vitamin B₁₂ (cobalamin). UL for vitamin B₁₂ was not determined due to lack of data of adverse
1128 effects (Driskell, 2009; Institute of Medicine, 1998).

1129 **Evaluation Question #11: Describe all natural (non-synthetic) substances or products which may be**
1130 **used in place of a petitioned substance (7 U.S.C. § 6517 (c) (1) (A) (ii)). Provide a list of allowed**
1131 **substances that may be used in place of the petitioned substance (7 U.S.C. § 6518 (m) (6)).**

1132 There are no direct substitutes for essential vitamins; however, natural, non-synthetic sources of vitamin
1133 compounds do exist. Approximately 15 vitamins have been isolated from biological materials, and the
1134 essentiality of the individual compounds for use as vitamins depends on the animal species, growth rate of
1135 the animal, feed composition, and synthesizing capacity of the gastrointestinal tract of the animal.
1136 Microorganisms within the rumen of cattle, sheep and other ruminants produce sufficient amounts of the
1137 B-vitamins and vitamin K for the host animal. However, ruminants still require supplementation with
1138 vitamin A and, to a lesser extent, vitamins D and E. Other livestock, including swine and poultry are
1139 incapable of synthesizing the majority of water- and fat-soluble vitamins at a rate sufficient to meet
1140 metabolic requirements. Vitamins are present in very small quantities within animal and plant foodstuffs;
1141 natural (non-synthetic) sources of the 15 most commonly recognized vitamins are provided:

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- **Vitamin A:** Exists only in animal tissues in the form of retinol (vitamin A₁: mammals and marine fish) or 3,4-dehydroretinol (vitamin A₂: freshwater fish); however, a vitamin A precursor is found in plant tissues in the form of the carotenoid pigments. Rich dietary sources of retinol include fish liver oils, animal liver meals, carrots, spinach, and watercress. Of specific relevance to livestock, large amounts of the vitamin A precursor (beta-carotene) are typically found in green, growing forages and freshly-stored green forages, with significantly lower concentrations in drought-stricken forage and hay that has been stored for prolonged periods of time.
 - **Vitamin B₁:** Dried brewers yeast, wheat middlings, wheat mill run, rice bran, rice polishings, dried torula yeast, groundnut (peanut) meal, wheat bran, barley, dried fish solubles, cottonseed meal, soybean meal, linseed meal, dried distillers solubles, broad beans, lima beans, dried delactose whey, glandular meals (liver/kidney), green leafy crops, outer coat or germ of cereals.
 - **Vitamin B₂:** Dried torula yeast, dried brewers yeast, liver and lung meal, dried delactose whey, chicken egg white, dried skim milk, dried distillers solubles, safflower seed meal, dried fish solubles, alfalfa meal, poultry by-product meal, fish meal, meat meal, meat and bone meal, groundnut meal, rapeseed meal, green vegetables, germinated cereal grains.
 - **Vitamin B₃:** Rice polishings, dried torula yeast, dried brewers yeast, rice bran, wheat bran, dried fish solubles, sunflower seed meal, groundnut meal, rapeseed meal, liver and lung meal, dried distillers solubles, wheat meal run, fish meal, wheat middlings, safflower seed meal, corn gluten meal, meat and bone meal, meat meal, dried brewers grains, poultry by-product meal, sorghum, alfalfa meal, barley grain, dried cane molasses, rice mill run, green leafy vegetables.
 - **Vitamin B₅:** Dried brewers yeast, dried torula yeast, dried delactose whey, dried fish solubles, whole hens eggs, rice polishings, groundnut meal, sunflower seed meal, wheat bran, safflower meal, dried skim milk, alfalfa meal, dried cane molasses, rice bran, wheat middlings, wheat mill run, dried distillers solubles, fish meal, soybean meal, linseed meal, sorghum, maize, cottonseed meal, poultry by-product meal, oats, glandular meals (liver/kidney), green leafy chops.
 - **Vitamin B₇:** Dried brewers yeast, dried torula yeast, dried distillers solubles, rapeseed meal, safflower seed meal, sunflower seed meal, whole hens eggs, rice polishings, dried brewers grains, liver and lung meal, rice bran, dried delactose whey, cottonseed meal, groundnut meal, soybean meal, dried skim milk, alfalfa meal, oats, sorghum, dried blood meal, dried fish solubles, fish meal, wheat bran, wheat mill run, legumes, green vegetables.
 - **Inositol:** Animal tissues (skeletal, brain, heart, liver), dried brewers yeast and fish meal. In plant tissues, inositol exists as phytic acid (inositol hexaphosphate); rich dietary sources include cereal grains and legumes.
 - **Vitamin B₉:** Dried torula yeast, dried brewers yeast, dried brewers grains, alfalfa meal, full-fat soybeans, liver, lung and kidney meal, wheat germ meal, rapeseed meal, rice bran, linseed meal, sunflower seed meal, cottonseed meal, whole hens eggs, dried distillers solubles, wheat bran, wheat mill run, safflower seed meal, dried delactose whey, mushrooms, fruits (lemons, strawberries, bananas), and dark green leafy vegetables.
 - **Choline:** Rapeseed meal, poultry by-product meal, shrimp meal, liver and lung meal, dried fish solubles, dried distillers solubles, dried brewers yeast, sunflower seed meal, dried delactose whey, brown fish meal, dried torula yeast, wheat germ meal, white fish meal, safflower seed meal, cottonseed meal, soybean meal, meat meal, meat and bone meal, groundnut meal, whole hens eggs, wheat bran, dried brewers grains, wheat middlings, linseed meal, sesame meal, alfalfa meal, barley, rice bran, rice polishings, wheat mill run, and oats.
 - **Vitamin C:** Citrus fruits, black currants, green leafy vegetables, green peppers, cauliflower, watercress, green cabbage, strawberries, green cabbage, potatoes, fresh insects, and glandular meals (liver/kidney).
 - **Vitamin D:** Fatty fish (bloaters, herring, kipper, mackerel, pilchard, salmon, sardines, tuna), fish liver oils (e.g., cod liver oil), fish meal and roe, animal liver meals and oils, and egg yolks. Vitamin D is found in sun-cured forages and is also synthesized in the skin of animals exposed to sunlight (Gadberry, undated).
 - **Vitamin E:** Alfalfa meal, wheat germ meal, whole chicken eggs, rice polishings, rice bran, wheat middlings, dried brewers grains, dried distillers solubles, barley grain, full fat soybean meal, maize grain, wheat mill run, corn gluten meal, wheat bran, rye grain, sorghum, fish meal, oats, sunflower

- 1196 seed meal, cottonseed meal, virtually all vegetable oils, and green leafy chops. Vitamin E is found
1197 in forages, but it may be destroyed during sun-curing and long-term storage.
- 1198 • **Vitamin K:** Alfalfa meal, fish meal, beef and pork liver meal, and green leafy vegetables (e.g.,
1199 spinach, kale, cabbage, pine needles, nettles).
 - 1200 • **Vitamin B₁₂:** Animal by-products, liver, kidney, heart, muscle meats, fish meals, shellfish, meat
1201 and bone meal, condensed fish solubles, and poultry by-product meal.

1202 *Data Sources:* FAO, 1987; Ensminger, 1994; Gadberry, undated; Adams, 2010

1203 Raising livestock without the use of synthetic vitamins premixes may be possible depending on the animal
1204 species being raised, local weather conditions and nutritional quality of available feeds. Based on the
1205 naturally occurring sources of vitamins A, D and E, it is unlikely that ruminants such as cattle and sheep
1206 will require year-round supplementation of feed rations with vitamin premixes. When fresh green forage
1207 and periods of sunlight exposure are reduced, however, supplementation of feed or injection with
1208 synthetic sources of vitamins A and D are commonly required for ruminants raised in most regions of the
1209 United States (Gadberry, undated). Further, providing non-ruminants such as poultry and swine with
1210 natural forage materials may reduce or, during certain periods of the year, eliminate the need for grains
1211 and processed feeds fortified with vitamin premixes. Although pigs have limited ability to utilize pasture
1212 roughage, operators providing excellent quality forage can reduce swine consumption of processed grain
1213 by 30 to 60% (Schivera, 2015a). Additional information related to the provision of high quality forage in
1214 cattle, swine and poultry is reviewed in Evaluation Question #12.

1215 Poultry and other livestock animals receiving the highest percentage of their diets from manufactured feed
1216 sources typically require some form of vitamin supplementation to maintain a healthy diet. For example, a
1217 recent report indicates that certified organic corn meal, sorghum grain, and mechanically-extruded
1218 soybean meal may be provided to organically produced swine (Shurson, 2013). Essential vitamins readily
1219 decompose during feed storage or are lost as a result of animal feed processing and extrusion (Riaz, 2009).
1220 Specifically, the high temperatures and pressures of the feed extrusion process lead to decomposition of
1221 many sensitive vitamins, prompting feed manufacturers to fortify feeds with vitamins and other key
1222 nutrients (Riaz, 2009). As such, organic feed mixes for broiler chickens typically contain peas, wheat,
1223 barley, linseed meal (extruded), corn, camelina meal (extruded) and fish meal in combination with FDA-
1224 approved version of synthetic vitamins, including vitamin E, niacin, vitamin A, d-calcium pantothenate,
1225 riboflavin, biotin, vitamin D₃, menadione sodium bisulfite, thiamine mononitrate, pyridoxine
1226 hydrochloride, vitamin B₁₂ and folic acid (Scratch and Peck Feeds, 2014). Open literature reports indicate
1227 that milder extrusion conditions – high moisture content, low residence time, low temperature – typically
1228 improve the nutritional quality of processed feeds when compared to feeds produced according to
1229 traditional extrusion methods involving low moisture and temperatures in excess of 200 °C (Singh, 2007).

1230 **Evaluation Question #12: Describe any alternative practices that would make the use of the petitioned**
1231 **substance unnecessary (7 U.S.C. § 6518 (m) (6)).**

1232 Modern agricultural practices at large-scale operations have increased the prominence of vitamin and
1233 mineral supplementation because of the changes in feeding, housing and management systems. The
1234 amount of available forage directly affects the need for supplemental feeding, and thus fortification of
1235 these feed materials. Reducing the number of grazing animals can lessen the demand for supplemental
1236 feeding for the remaining livestock (Hammack & Gill, 2012). Taking swine production as a specific
1237 example, several common practices have resulted in the supplementation of feed materials with synthetic
1238 vitamins (NCSU, undated). Pigs raised in confinement are sometimes denied free access to soils and
1239 grazing crops, which naturally provide many of the vitamins and minerals needed for proper nutrition.
1240 Although confinement practices are not permitted in organic production, other agricultural trends are
1241 relevant to both conventional and organic livestock producers. The increased use of slotted floors may
1242 prevent the recycling of feces rich in B-vitamins and vitamin K, nutrients that are synthesized by
1243 microorganisms in the large intestine of some animals (NCSU, undated). In addition, feeding practices that
1244 provide less diverse protein sources limit the variety of vitamins and minerals available in the diet of raised
1245 animals. More artificial nutrients are also required when swine producers seek to decrease the weaning
1246 period of pigs. Lastly, swine feeds are commonly fortified to compensate for potentially low bioavailability
1247 of nutrients in heat-dried grains and feed ingredients (NCSU, undated).

1248 Producing nutritionally balanced livestock requires operators to provide all of the good quality forage that
1249 animals desire, and dietary supplementation with nutrients that may be deficient. Therefore, animal
1250 nutrition is related to good forage management, including proper fertilization, growing mixtures of grasses
1251 and legumes, maintaining forage at a nutritional stage of growth and providing the forage in adequate
1252 quantities (Wahlberg & Greiner, 2006). Livestock producers are encouraged to provide fresh forage
1253 whenever possible, and not to use feeds excessively exposed to sunlight, heat and air; heavily processed
1254 feeds; or feed materials stored for long periods of time. Regarding the latter issue, exposure of cut hay –
1255 including baled hay, silage, round bale silage – to the air for 60–90 days results in destruction of the fat
1256 soluble vitamins (Alberta, 2015). Pasture can be beneficial for all livestock, and may even reduce the
1257 amount of vitamin-supplemented mash and grain required for poultry. In fact, free-range chickens receive
1258 large amounts of protein and essential nutrients from the insects they eat while pecking at the soil in
1259 pasture areas (Schivera, 2015b). For cattle, vitamin A deficiency is highly probable when cattle are fed diets
1260 primary consisting of bleached pasture or hay during drought conditions (Parish & Rhinehart, 2008).
1261 Therefore, in more extreme conditions (e.g., drought), supplementation with synthetic vitamins may be
1262 unavoidable for organic livestock producers maintaining large animal herds.

1263 While unlikely to obviate the need for synthetic vitamins supplements, the combination of alternative
1264 natural materials and cultural practices may allow conventional and organic livestock producers to provide
1265 vitamin-fortified processed animal feed less often and in smaller quantities.

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